

# General Template of the Annual Performance Report (APR)

**Reference Number (FP061): Funding Proposal Title:** "Integrated Physical Adaptation and Community Resilience Through an Enhanced Direct Access Pilot in the Public, Private, and Civil Society Sectors of Three Eastern Caribbean Small Island Developing States."

**Accredited Entity Name:** Department of Environment, Antigua and Barbuda

**Annual Reporting Period Covered in this Report:**  
(From 16-07-2019 to 31-12-2019)

**Sections in this report:**

- Section 1: General Information
- Section 2: Implementation Progress
- Section 3: Financial Information<sup>1</sup> (Excel worksheet attached).
- Section 4: Report on Environmental and Social Safeguards & Gender
- Section 5: Annexes
- Section 6: Attachments

Please submit the APR to [opm@gcfund.org](mailto:opm@gcfund.org)

SUBMITTED BY	
<i>Martin Barriteau</i> <i>Project Coordinator</i> <i>Name and title</i>	<i>March 9<sup>th</sup>, 2020</i> <i>Date</i>
<i>Please indicate if this report has been shared with the relevant NDA (Department of Environment) for this Funded Activity (Yes)</i>	<i>Date of submission to NDA (March 01<sup>st</sup>, 2020)</i>

<sup>1</sup> Please refer to excel worksheet attached "APR Section 3 (Financial Information)". Provide as attachments to this report any detailed additional financial information if required in the Funded Activity Agreement.

**SECTION 1: GENERAL INFORMATION**

This section provides general information on the funded activity.

<p><b>1. Funded Activity Title:</b></p>	<p>"Integrated Physical Adaptation and Community Resilience Through an Enhanced Direct Access Pilot in the Public, Private, and Civil Society Sectors of Three Eastern Caribbean Small Island Developing States."</p>
<p><b>2. Funding Proposal Number:</b></p>	<p><b>FP061</b></p>
<p><b>3. Date of Board approval - Board Meeting Number:</b></p>	<p>3/1/2018 <b>B.19</b></p>
<p><b>4. Accredited Entity:</b></p>	<p>Department of Environment, Ministry of Health, Wellness and the Environment, Antigua and Barbuda</p>
<p><b>5. Focal Point of the Accredited Entity for this Project:</b></p>	<p><i>(Full name/email/telephone number)</i></p> <p>Diann Black-Layne diann.black-layne@ab.gov.ag +1.268.462.4625</p>
<p><b>6. Executing Entity(ies):</b></p>	<p>Ministry of Climate Resilience, the Environment, Forestry, Fisheries, Disaster Management and Information and Ministry of Finance, Planning, Economic Development &amp; Physical Development, Grenada</p> <p>The Ministry of Environment, Rural Modernisation and Kalinago Upliftment, Commonwealth of Dominica</p> <p>Department of Environment, Antigua and Barbuda</p>
<p><b>7. Implementation Period:</b></p>	<p><b>From:</b> 7/16/2019 <b>To:</b> 7/15/2023</p>
<p><b>8. Current year of Implementation:</b></p>	<p><b>Year 1</b></p>
<p><b>9. Date of Submission of the Report:</b></p>	<p>3/9/2020</p>
<p><b>10. Annual Reporting period covered in this report:</b></p>	<p><b>From:</b> 7/16/2019 <b>To:</b> 12/31/2019</p>
<p><b>11. Total Project Budget<sup>2</sup>:</b></p>	<p><b>Grant: USD 21,800,000</b></p>
<p><b>12. Total amount of GCF Proceeds Approved:</b></p>	<p><b>Grant: USD 20,000,000</b></p>
<p><b>13. Total amount of GCF Proceeds disbursed (cumulative) to the Accredited Entity:</b></p>	<p><b>Grant: USD 3,270,000)</b></p>

<sup>2</sup> Total project budget including co-financing as reflected in the relevant Funded Activity Agreement.

## SECTION 2: IMPLEMENTATION PROGRESS

### 2.1 OVERALL (SUMMARY) PROJECT PROGRESS (*less than one (1) page*<sup>3</sup>).

*Provide a narrative report describing the overall progress on the implementation of the funded activity, focusing on implementation achievements, delays and challenges according to the planned activities. As relevant, include references to other sections of this report (including Annexes or Attachments).*

*Include a description of key milestones of the funded activity achieved during this reporting period including any deviations from original expectations. Also, describe challenges encountered and actions undertaken to resolve these challenges, and lessons learned during the implementation, including issues related to non-compliance with GCF standards or conditions, if any. In parallel, include positive achievements and better-than-expected results.*

*If any issues have arisen in the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project, please provide a description of those items and how they have impacted the implementation period and final targets.*

**The Eastern Caribbean Enhanced Direct Access (EDA) Project “FP061” was approved on March 1, 2018, executed on April 18<sup>th</sup>, 2019, became effective on July 16<sup>th</sup>, 2019 and received the first disbursement on October 23<sup>rd</sup>, 2019. The project’s technical inception workshop took place a year before the project became effective. It produced the Project Implementation Manual with project guidelines and procedures to ensure key stakeholders understand their roles and responsibilities.**

**During the reporting period, the Project Management Committees (PMC)—oversight committees) in all executing countries were established and begun adopting sanction resolutions for the EDA Project. Among the resolutions passed by the PMCs is the approval of the EDA budget, workplan, procurement plan, staff hiring, contract negotiations for Output 1, 2, 3 & 4. For the implementation of Output 1, The Department of Environment (DoE) finalized an agreement with the OECS Commission to develop policy documents, design a sustainable procurement system for construction materials, support accreditation of direct access entities, to perform monitoring and evaluation activities and promote learning, as well as execute the final project evaluations. With the DoE acting as a delivery partner, a readiness support grant for Grenada's Direct Access Entities (DAE) was approved in December 2019. The purpose of the readiness is to assist Grenada to supplement the funds from component 1 to assist its two entities to become accredited. The EDA accreditation target is for one entity in each of the project countries. Grenada will be submitting two entities for accreditation and have the funds being requested.**

**With regards to Component 2, Grenada and Dominica have not agreed on the type of ecosystem-based adaptation interventions, they are going to pursue and in the process of reviewing guidelines submitted on public sector intervention. Alternately, Antigua and Barbuda has prioritized its intervention location and type. Building on the just completed EU-OECS Global Climate Change Alliance (GCCA) Project, the implementation plan developed for the Cashew Hill Watershed have been submitted to the Public Sector Investment Programme (PSIP) for consideration for the public sector intervention projects. Both Grenada and Antigua and Barbuda are addressing capacity gaps within their PSIP for project intervention.**

**Component 3: Antigua and Barbuda also has advanced planning for community adaptation resilience projects. An on-granting programme has been established and operationalized through the DoE for adaptation intervention in communal buildings in the McKinnons Watershed. This mechanism will be scaled up through the EDA Project and serve as a pilot for Dominica and Grenada.**

**Component 4: Alternative options for managing the revolving fund of Component 4 have been identified through knowledge-based studies and field missions to Grenada and Dominica. The required legislation for the operationalization of the Sustainable Island Resource Financing Fund (SIRF Fund) was passed in July 2019, and the SIRF**

<sup>3</sup> Please remove text below to fit report to one page. Additional reports can be provided as other attachment to the APR.

Fund was recently constituted. A Term Sheet was submitted to the SIRF Fund Board indicating the arrangements proposed for the management of Component 4 of the EDA project in Antigua and Barbuda.

The timeline for implementation in Annex 1. The new arrangement will follow a project setup and oversight capacity in place within year one, implementation of activities in years two and three, and reporting and developing knowledge products in year four. Monitoring and evaluation will be conducted throughout the life of the project. All project staff are expected to be in place soon, which will accelerate activities in all countries and facilitate simultaneous implementation, which the updated implementation planning has proposed.

Major delays and challenges (see section 2.6) thus far came from the delays in hiring staff and rotation of senior public servants. The DoE is addressing these matters by overseeing the procurement of staff for EEs, providing hands-on support to EE, including drafting ToRs and contracts and communicating frequently with EEs.

## 2.2 Performance against the GCF Investment Criteria (summary) (max two (2) pages).

Provide a narrative report describing the progress on the funded activity's performance against [the GCF investment criteria framework](#). The performance should be compared against the initial assessment provided in the Board-approved Funding Proposal (section E). The list of the investment criteria as per the current framework is provided below.

For each investment criteria outlined below, please include an assessment of current status, changes, progress and impact of the project as well as any impact of project context on the project during this reporting period against the initial baseline scenario and planned activities as per the assessment presented in the approved Funding Proposal.

### 2.2.1 Impact Potential (max one (1) page).

As per the initial assessment against the investment criteria provided in the Funding Proposal approved by the GCF Board, please provide an assessment of the performance of the funded activity during the relevant reporting period against the investment criteria "Impact Potential".

**At this early stage of the project, the impact potential is expected to be the same as indicated in the investment criteria provided in the funding proposal (FP). The GCF core indicators, other relevant indicators, gender action plan and M&E plan are all still gender-disaggregated of which 50% are female. The direct and indirect beneficiaries are also all gender-disaggregated of which 50% are female as well.**

**In an early assessment, one change was made to the maximum contribution to the individual grant under Component 3. This was as a result of changing the eligibility criteria for civil society projects from a maximum contribution of USD 50,000 to USD250,000.00. Whereas a total of 10 to 14 sub-projects were to be funded per country only 3 – 4 sub-projects will eventually be funded.**

The following justifications were used to provide a basis for the change which is mainly due to the very high cost of infrastructural projects, particularly in small islands.

- Output 3 targets the building sector and in particular built communal/public good assets, such as schools, churches, and other public buildings. These buildings are large and will require a large injection of cash to strengthen resilience in these buildings. The activities to be funded for overall resilience could be a combination of, renewable energy, water management use and efficiency, climate-smart buildings, flood control, and structural adjustments.
- The amount proposed of USD50,000.00 was too small and the transaction cost is way too high for the above types of projects. Therefore, Component 3 budget had to be adjusted to take into consideration the high transaction cost of these small projects. Small grants of USD50,000.00 was initially designed for biodiversity and other types of projects that are not capital intensive. It is rare to find good adaptation projects for USD50,000.00.

### 2.2.2 Paradigm shift potential (max one (1) page).

*As per the initial assessment against the investment criteria provided in the Funding Proposal approved by the GCF Board, please provide an assessment of the performance of the funded activity during the relevant reporting period against the investment criteria "Paradigm shift potential".*

**The contractual arrangement for robust monitoring, reporting, evaluation and learning framework is finalized with the OECS Commission to provide external M&E services to independently evaluate project results (*Component 1.4 Monitoring, evaluation, reporting and promoting learning*).**

The OECS Commission working along with the DoE will develop an evidence-informed monitoring and evaluation process, captured in a gender-disaggregated monitoring and evaluation plan that can help all stakeholders learn what works to increase adaptation action, for whom, and under what circumstances. The M&E Framework will be inclusive of data collection and project tracking tools, reporting templates tailored to Outputs 1, 2, 3, 4, and 5.

Within the M&E Framework, qualitative indicators will be added to existing project indicators to assess the quality of critical achievements to meet the expectation of the funded activity. The development of a communications plan would be included in the M&E plan based on lessons captured from the results collected and analyzed. Based on the limited capacity existing and the demands on the OECS Monitoring and Evaluation Unit consideration is given to sourcing an M&E expert to provide technical designs and coordination to the Output 1.4: Facilitate effective monitoring and evaluation, and lessons learned consistent with an enhanced direct access approach.

### 2.2.3 Sustainable development potential (max one (1) page).

*As per the initial assessment against the investment criteria provided in the Funding Proposal approved by the GCF Board, please provide an assessment of the performance of the funded activity during the relevant reporting period against the investment criteria "Sustainable development potential".*

**The EDA Project still aims to contribute to the achievement of several Sustainable Development Goals in the EDA countries through environmental, social and economic co-benefits, including gender-sensitive development impact taking into consideration the *Ecology, Economic development, Health and Social Cohesion***

**The EDA Project still aims to contribute to the achievement of several Sustainable Development Goals in the EDA countries, including the Sustainable Development Goals (SDGs).**

Linkages between improvements in housing and buildings (EBA activities) will lead to the achievement of the following SDGs:

- SDG 1— End poverty in all its forms everywhere
- SDG 3— Ensure healthy lives and promote well-being for all at all ages
- SDG 5— Achieve gender equality and empower all women and girls
- SDG 8 Promote sustained, inclusive and sustainable economic growth, full and productive employment and decent work for all
- SDG 10—Reduce inequality within and among countries
- SDG 11— Make cities and human settlements inclusive, safe, resilient and sustainable:
- SDG 12—Ensure sustainable consumption and production patterns
- SDG 15— Protect, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss
- SDG 16— Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels

***It is too early in the Implementation phase to assess potential impact. The Project is however placing the systems in place to collect baseline information and necessary staff to ensure that these indicators are being tracked and reported.***

#### 2.2.4 Needs of the recipient (max one (1) page).

*As per the initial assessment against the investment criteria provided in the Funding Proposal approved by the GCF Board, please provide an assessment of the performance of the funded activity during the relevant reporting period against the investment criteria "Needs of the recipient".*

The economic growth of *Dominica, Grenada, and Antigua and Barbuda* remained stagnant. With the threat of the Coronavirus, tourism which is the leading driver of these economies is expected to slow down. The OECS economies are small and highly open, which makes them volatile and prone to external shocks. OECS economies rely extensively on tourism and to lesser extent agriculture, and are dependent on external markets for food and fuel imports and so are subject to excessive terms of trade volatility. Unemployment, especially among women and youth, remains high, which also contributes to high emigration rates. Since 2010, some of the OECS countries have implemented strong fiscal consolidation programs and engaged in debt restructuring agendas.

The economic sectors of these economies are highly climate-dependent and weather-sensitive. Any gains experienced by these countries are subject to natural disaster shock. Economic assets such as residential and nonresidential buildings are at risk. These assets that are exposed to natural disasters are referred to as a country's Building Exposure.

Climate change projections for Grenada and Antigua and Barbuda predict that these countries are expected to enter into an extended drought for 2020. Dominica and Antigua and Barbuda are still recovering from category 5 hurricanes that occurred during 2017.

According to the Global Climate Risk Index 2017, Dominica and Antigua and Barbuda are ranked as two of the top 15 countries most affected worldwide by climate impacts. See the table below:

*Climate Risk Index for the Year 2017 (Source: Germanwatch)*

CRI Rank	Country	CRI Score	Fatalities in 2017		Fatalities per 100k people		Losses in M US\$ (PPP)		Losses per unit GDP in %	
			Total	Rank	Total	Rank	Total	Rank	Total	Rank
13	Antigua & Barbuda	20.67	3	86	3.297	4	1101.44	24	45.932	3
3	Dominica	9.33	31	30	43.66	2	1686.89	20	215.440	1
124	Grenada	116.00	0.00	108	0.00	108	0.000	124	0.00	114

#### 2.2.5 Country Ownership (max one (1) page).

*As per the initial assessment against the investment criteria provided in the Funding Proposal approved by the GCF Board, please provide an assessment of the performance of the funded activity during the relevant reporting period against the investment criteria "Country Ownership".*

Since the EDA Project approval, the implementing countries continued to develop laws and policies to adapt to the projected impacts of climate change. Grenada has completed its national adaptation planning (NAP) and Nationally Appropriate Mitigation Actions (NAMA) whilst Dominica and Antigua and Barbuda have started their NAPs processes.

In Antigua and Barbuda, a new and updated Environmental Protection Management Act (EPMA, 2019) was passed by parliament, legally establishing all of the required legislation for the operationalizing of the SIRF Fund. The SIRF Fund is integral to the EDA Project and it is through the Fund the DOE can manage on-granting and on-lending within the OECS Economic Union. The SIRF Fund was recently constituted and a Term Sheet was submitted to its board for the management of Component 4 of the EDA Project in Antigua and Barbuda.

In Dominica, the government seeks to build the first climate-resilient country in the world and passed the Climate Resilience Act #16, 2018 which established the statutory government Climate Resilience Execution Agency for Dominica (CREAD). In 2018, the country also established a new Ministry of Environment and Climate Resilience and in 2019, launched the 2030 National Resilient Development Strategy (NRDS) and the Low-Carbon Climate Resilient Development Strategy (LCCRDS), which encapsulate the vision for a climate-resilient country.

Similarly, in Grenada in 2018, the country established a new Ministry of Climate Resilience and in 2019, the Grenada National Sustainable Development Plan 2020 - 2035 was approved which sets out the vision for sustainable development and long-term transformation through sustainable economic, social and environmental progress and climate resilience for all.

The DOE will continue to actively bring together stakeholders in a similar fashion to ensure all processes and engagements are accountable and active and promote transparency. From pre-project and post-project consultations, over 400 stakeholders were directly consulted through seven different methods in about 30 engagements in the process of bringing partners, services providers, beneficiaries and regional entities together. Sixteen consultation reports have been produced.

To commence preparations to implement EDA activities in the three participating countries, a high-level ministerial launch was held that brought together heads of environment departments, ministers, regional and international organizations, including the OECS Commission to consolidate implementing partner commitment to the EDA approaches.

The EDA Project is bringing countries together in a robust partnership to address climate change. It is evident already that participating countries and others in the region are seeking support from the DOE to pursue accreditation. The consultations thus far have been able to minimize risks and align the approach towards the EDA mandate.

The EDA consultative processes have been inclusive and sought to engage all relevant actors within the government, donor and regional entities, the private sector, academia, civil society and other related stakeholder sectors. These processes will be ongoing throughout the life of the EDA Project with the continuous update and regular assessment against progress as guided by the multi-stakeholder engagement plan.

### **2.2.6 Efficiency and Effectiveness (max one (1) page).**

*As per the initial assessment against the investment criteria provided in the Funding Proposal approved by the GCF Board, please provide an assessment of the performance of the funded activity during the relevant reporting period against the investment criteria "Efficiency and Effectiveness".*

A comprehensive legal and policy framework for implementing climate change exists within the Eastern Caribbean. The countries in the region are some of the first countries to ratify the Paris Agreement and pass national climate change laws.

For the EDA Project, the key barriers determined to mainstream adaptation are: 1) lack of institutional capacity in key departments and executing entities to sustain long-term adaptation impact, and 2) lack of adequate and predictable finance for adaptation and resilience in micro, small and medium enterprises (MSMEs) and the public sector.

In addressing these barriers, the Antigua and Barbuda Department of Environment (Accredited Entity) has committed to carrying out the Funded Activity with due diligence and efficiency and in conformity with appropriate financial practices. In supporting the institutional capacity barrier, the DoE is providing hands-on support guided by its existing policies, manuals and procedures to ensure financial best practices to the Green Climate Fund as set out in the Accreditation Master Agreement (AMA) and Funded Activity Agreement (FAA). Accounting and financial oversight from a technical value-for-money perspective are being provided by the Project Management Committee (PMC), the Technical Advisory Committee (TAC) and the Audit Committee in all the implementing countries using already existing structures, committees and decision making bodies.

The Accredited Entity and Executing Entities have committed USD 2,569,360.00 of co-financing, to realize the full implementation of the Project. A comprehensive monitoring and evaluation framework is being developed by the OECS Commission to easily track and present complex project activity in simple (maps and visuals) knowledge products for communicating to the general public for accountability and transparency.

Antigua and Barbuda has chosen to not have a single pathway for receiving, coordinating and managing incoming financial flows. Instead, it is establishing a coordinated approach and setting standards for efficiency and effectiveness through its SIRF Fund. The SIRF Fund will create a streamlined platform to work with all external climate and environment financing sources, with access provisions for government, NGOs, and the private sector. The demand for the revolving loans program is high in Grenada and Dominica and the aim is to replicate SIRF Fund approach in these countries.

2.3 PROJECT OUTPUTS IMPLEMENTATION STATUS <sup>4</sup>			
Project Output	Project Activity	Status <sup>5</sup>	<sup>6</sup> (%)
<p><b>Output 1.</b> Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access</p>	<p><i>Project Activity 1.1</i> Appoint implementation, oversight and transparency mechanisms with adequate capacity</p>	<p>Activity Started - progress on track</p>	<p>2%</p>
	<p><i>Provide updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.</i></p> <p><b><u>Key Achievements</u></b>            During the reporting period the key achievements of the project included:</p> <ul style="list-style-type: none"> <li>- Coordination and oversight mechanisms established in all participating countries;</li> <li>- Development and circulation of the EDA Project implementation manual;</li> <li>- Signing of contract with the OECS Commission on GCF oversight and Monitoring and Evaluation for the EDA;</li> <li>- Hiring of Knowledge and Information Management Officers within the OECS Commission and Ministry of Finance in Antigua and Barbuda.</li> </ul> <p><b><u>Challenges and Lessons Learned</u></b></p> <ul style="list-style-type: none"> <li>- The presence of multiple decision-making bodies led to bureaucratic delays in the hiring process in Grenada and Dominica;</li> <li>- At times, there was not a clear understanding of the oversight body responsible for making key decisions. This issue contributed to a two-month implementation delay in Grenada when sanction resolutions were needed from the Project Management Committee (PMC) on procurement and project management matters;</li> <li>- Absence of administrative (EDA) staff in Dominica needed to facilitate the planning and coordination of meetings and reporting on PMC resolutions created communication gaps and slowness in reporting on PMC matters to the Delivery Partner;</li> <li>- The attrition policy in Grenada, which was adopted as part of a Structural Adjustment Programme has impacted on government providing staff to implement project activities.</li> </ul>	<p><i>Please include a list of key milestones and deliverables expected to be executed in the next reporting period.</i></p> <p>-Implementing Manuals developed for Implementing countries;            -Training provided on guides and manuals.</p>	

<sup>4</sup> Outputs and Activities reported here should be aligned with the Activities in the Logic Framework and Implementation Timetable of the project.

<sup>5</sup> Activity Not Yet Due; Activity Started -ahead of schedule; Activity started – progress on track; Activity started but progress delayed; Activity start is delayed.

<sup>6</sup>Implementation progress on a cumulative basis as of the date of the report.

	<p><b>Project Activity 1.2 Design a Sustainable Procurement system for construction supplies in pilot countries</b></p> <p><i>Provide updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.</i></p> <p><b><u>Key Achievement</u></b></p> <ul style="list-style-type: none"> <li>- Contract signed with the OECS Commission to coordinate the sustainable procurement system.</li> </ul> <p><b><u>Challenges and Lessons Learned</u></b></p> <ul style="list-style-type: none"> <li>- There were initially key differences in how to approach the execution of project activities with the OECS;</li> <li>- The OECS Commission had an existing procurement system and had prior experience in managing procurement consultancies with a core support staff. But there are still capacity constraints to implement. Consultants will be needed to assist in the implementation of activities.</li> </ul>	<p>Activity Started - progress on track</p>	<p>1%</p>
	<p><b>Project Activity 1.3 Support accreditation of direct access entities in the Eastern Caribbean</b></p> <p><i>Provide updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.</i></p> <p><b><u>Key Achievements</u></b></p> <ul style="list-style-type: none"> <li>- Contract signed with the OECS Commission to coordinate support for accreditation of the accreditation activities within project countries;</li> <li>- Grenada's Readiness Accreditation Grant was developed by the DOE and approved by the GCF with the DoE as the delivery partner;</li> <li>- Dominica and Grenada submitted official endorsements from their governments for accreditation assistance through the EDA Project;</li> <li>- Antigua and Barbuda endorsed the accreditation of the CARICOM Development Fund as an entity to be accredited under this project;</li> <li>- Knowledge and Information Management Officer hired through the OECS Commission;</li> <li>- Staff procurement on the way in Antigua and Barbuda.</li> </ul> <p><b><u>Challenge and Lessons Learned</u></b></p> <ul style="list-style-type: none"> <li>- The need to recruit additional personnel with specific skills to support the building of the OECS Commission's capacity to serve as an accreditation hub for the sub-region.</li> </ul>	<p>Activity Started - progress on track</p>	<p>(1%)</p>

	<p><b>Project Activity 1.4</b> Facilitate effective monitoring and evaluation, and lessons learned</p>	<p>Activity Started -progress on track</p> <p>(1%)</p>	
	<p><i>Provide updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.</i></p> <p><b>Key Achievements</b></p> <ul style="list-style-type: none"> <li>- Contract signed with the OECS Commission to coordinate M&amp;E;</li> <li>- ToR developed for the M&amp;E consultancy;</li> <li>- Procurement of M&amp;E staff in Grenada and Antigua is being finalized.</li> </ul> <p><b>Challenges and Lessons Learned</b></p> <ul style="list-style-type: none"> <li>- There is general organizational ownership for the project at the OECS Commission. At the unit level, there is a need for more buy-in and better acquaintance with the project design and activities;</li> <li>- Roles and responsibilities of the OECS Commission M&amp;E Unit, M&amp;E Associates and the DOE in the monitoring and evaluation process had to be properly defined during the Term Sheet negotiations;</li> <li>- There is a need to hire additional M&amp;E staff to support project activities;</li> <li>- The need to hire additional M&amp;E Expert to support the building of the OECS Commission’s capacity to support project activities.</li> </ul>	<p><i>Please include a list of key milestones and deliverables expected to be executed in the next reporting period.</i></p> <ul style="list-style-type: none"> <li>- M&amp;E consultants and staff hired;</li> <li>- Develop M&amp;E Framework developed;</li> <li>- Baseline assessment conducted;</li> <li>- Monthly progress reports prepared.</li> </ul>	
<p><b>Output 2</b> implement concrete adaptation measures using ecosystem-based approaches where appropriate</p>	<p><b>Project Activity 2.1</b> Competitively solicit priority adaptation interventions for adaptation in the public sector</p>	<p>Activity Started - progress on track</p>	<p>(1%)</p>
	<p><i>Provide updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.</i></p> <p><b>Key Achievement</b></p> <ul style="list-style-type: none"> <li>- Guidelines for public sector interventions in Antigua and Barbuda and Dominica developed and submitted to their public sector investment programs within their respective Ministry of Finance;</li> <li>- Calls for proposal initiate from the Ministry of Finance;</li> <li>- Procurement for component staff initiated.</li> </ul>	<p><i>Please include a list of key milestones and deliverables expected to be executed in the next reporting period.</i></p> <p><i>Established and operationalized a call for proposals for public sector intervention</i></p>	

	<p><b><u>Challenges and Lessons Learned</u></b></p> <ul style="list-style-type: none"> <li>- The PSIP programme in the pilot countries are not functioning as intended;</li> <li>- The implementing countries of Grenada and Dominica have not yet identified which ecosystem-based adaption-based projects to support or fund.</li> </ul>		
	<p><b>Project Activity 2.2 Undertake due diligence and studies on public sector adaptation interventions as needed</b></p>	<p>Activity Not Yet Due</p>	<p>(0%)</p>
	<p><i>Provide updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.</i></p>	<p><i>Please include a list of key milestones and deliverables expected to be executed in the next reporting period.</i></p>	
	<p><b>Project Activity 2.3 Implement pilot approaches for adaptation in public infrastructure</b></p>	<p>Activity Not Yet Due  (0%)</p>	
	<p><i>Provide updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.</i></p>	<p><i>Please include a list of key milestones and deliverables expected to be executed in the next reporting period.</i></p>	
<p>( Output 3 Community resilience to climate impacts is enhanced through tangible adaptation benefits</p>	<p><b>Project Activity 3.1 Select community adaptation projects through a competitive small grants facility</b></p>	<p>Activity Started - progress on track</p>	<p>(1%)</p>
	<p><i>Provide updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.</i></p>	<p><i>Please include a list of key milestones and deliverables expected to be executed in the next reporting period.</i></p>	
	<p><b><u>Key Achievement</u></b></p> <ul style="list-style-type: none"> <li>- Guidelines developed and on-granting service providers engaged in implementing countries;</li> <li>- In Antigua and Barbuda, the Community has been identified and consultants to begin in the next reporting period;</li> <li>- Criteria for the sub-projects drafted and under review;</li> </ul>	<p><i>Established and operationalized a call for proposals for community grants</i></p>	

	<p><b><u>Challenge</u></b></p> <p>In Dominica and Grenada no selections were made at this stage due to the absence of staffing to facilitate the assessments of the service providers.</p>	
	<p><b><i>Project Activity 3.2 Communities implement adaptation projects with tangible benefits</i></b></p>	<p><i>Activity Not Yet Due</i></p>
	<p><i>Provide updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.</i></p>	<p><b><i>Please include a list of key milestones and deliverables expected to be executed in the next reporting period.</i></b></p>
<p><b><i>Output 4</i></b> Privately owned physical assets of vulnerable populations are more resilient to climate variability and change through concessional microfinancing</p>	<p><b><i>Project Activity 4.1 Launch the private sector Revolving Fund for adaptation in buildings</i></b></p>	<p><i>Activity Started -progress on track</i></p> <p><b><i>(1%)</i></b></p>
	<p><i>Provide updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.</i></p> <p><b><u>Key Achievements</u></b></p> <ul style="list-style-type: none"> <li>- Guidelines <i>and criteria</i> developed, and on-lending service providers engaged in implementing countries</li> <li>- Term Sheet approved with the SIRD Fund for on-lending in Antigua and Barbuda</li> </ul> <p><b><u>Challenges and Lessons Learned</u></b></p> <ul style="list-style-type: none"> <li>- Draft agreements in place with the service providers, which could fast-track implementation once the agreements have been finalized and funds are disbursed;</li> <li>- Dominica’s Housing and Loans Board was identified as a potential service provider, but both the Ministry of the Environment and The Ministry of Finance have indicated that the board has no the legal backing to</li> </ul>	<p><b><i>Please include a list of key milestones and deliverables expected to be executed in the next reporting period.</i></b></p> <p><i>Established and operationalized a call for proposals for private sector intervention</i></p>

	<p>give loans to private individuals, which was an issue not initially raised. An assessment of the board will need to be done. Once the assessment is completed, the board will be in a better position to be accepted as a provider.</p>	
	<p><i>Project Activity 4.2 Finance adaptation in buildings and manage repayments</i></p>	<p><i>Activity Not Yet Due</i></p>
	<p><i>Provide updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.</i></p>	<p><i>Please include a list of key milestones and deliverables expected to be executed in the next reporting period.</i></p>
<p><i>Output 5 Project management</i></p>	<p><i>Project Activity 5.1 Project management consistent with an EDA programmatic approach</i></p>	<p><i>Activity Started -progress delayed</i>  <i>(5%)</i></p>
	<p><i>Provide updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.</i></p> <p><b><u>Key Achievement</u></b></p> <ul style="list-style-type: none"> <li>- Procurement plan for staffing, services and equipment developed and approved;</li> <li>- The procurement and hiring process are on-going in all implementing countries.</li> </ul> <p><b><u>Challenge and Lesson Learned</u></b></p> <ul style="list-style-type: none"> <li>- The involvement of the Cabinet of Ministers in Grenada in the process of procuring and hiring new staff due to the existing attrition policy has led to delays;</li> <li>- <i>Bureaucratic and procurement delays in the hiring process.</i></li> </ul>	<p><i>Please include a list of key milestones and deliverables expected to be executed in the next reporting period.</i></p> <ul style="list-style-type: none"> <li>- Completed the staff hiring process;</li> <li>- Training of staff;</li> <li>- On-going coordination and reporting of project activities.</li> </ul>

2.4 PROGRESS UPDATE ON THE LOGIC FRAMEWORK INDICATORS <sup>7</sup>					
2.4.1 PROGRESS UPDATE ON FUND-LEVEL IMPACT INDICATORS OF THE LOGIC FRAMEWORK					
<i>Fund-level impact Core indicators<sup>8</sup> (Mitigation)</i>	<i>Baseline</i>	<i>Current value<sup>9</sup></i>	<i>Target (mid-term)</i>	<i>Target (final)</i>	<i>Remarks (including changes<sup>10</sup>, if any)</i>
<b>Mitigation Core Indicator 1</b> Tonnes of carbon dioxide equivalent (tCO <sub>2</sub> e) reduced as a result of Fund-funded projects/programmes	Annual	Annual	Annual	Annual	
	Lifetime	Lifetime	Lifetime	Lifetime	
<b>Mitigation Core Indicator 2</b> Cost per tCO <sub>2</sub> e decreased for all Fund-funded mitigation projects/programmes					
<b>Mitigation Core Indicator 3</b> Volume of finance leveraged by Fund funding (Disaggregated by public/private source)	Public	Public	Public	Public	
	Private	Private	Private	Private	
<b>Mitigation Impact Indicator 1</b> (E.g. Tonnes of carbon dioxide equivalent (tCO <sub>2</sub> e) reduced or avoided emissions through increased low-emission energy access and power)					
<b>Mitigation Impact Indicator 2</b> Etc.					

<i>Fund-level impact indicators<sup>11</sup> (Adaptation)</i>	<i>Baseline</i>	<i>Current value<sup>12</sup></i>	<i>Target (mid-term)</i>	<i>Target (final)</i>	<i>Remarks (including changes<sup>13</sup>, if any)</i>
<b>Adaptation Core Indicator</b> Direct Beneficiaries  Number of males and females benefiting from the adoption of diversified, climate – resilient livelihood options	Total direct beneficiaries (% of female)  0 males 0 females	Total direct beneficiaries (% of female)  0 males 0 females	Total direct beneficiaries (% of female)  7,000 males 7,000 females	Total direct beneficiaries (% of female)  15,650 males 15,650 females	No change to report during this reporting period
<b>Adaptation Core Indicator</b> Indirect Beneficiaries  Value of physical assets made more resilient to climate variability and change, considering human benefits (reported where applicable)	Total indirect beneficiaries (% of female)  \$0	Total indirect beneficiaries (% of female)  \$0	Total indirect beneficiaries (% of female)  \$17 M	Total indirect beneficiaries (% of female)  \$25 M	No change to report during this reporting period

<sup>7</sup> Per the approved methodology in and the Logic Framework in the Funding Proposal, please provide an update on the relevant indicators.

<sup>8</sup> As per the relevant indicators established in the Funding Proposal and the Performance Measurement Framework, including all indicators approved by the Board and relevant updates, agreed with GCF, if applicable.

<sup>9</sup> As of 31 December of the relevant year.

<sup>10</sup> Related to the approved indicators and targets in the Logic Framework.

<sup>11</sup> As per the relevant indicators established in the Funding Proposal and the Performance Measurement Framework, including relevant updates agreed with GCF, if applicable.

<sup>12</sup> As of 31 December of the relevant year.

<sup>13</sup> Related to the approved indicators and targets in the Logic Framework.

<b>Fund-level impact indicators<sup>11</sup> (Adaptation)</b>	<b>Baseline</b>	<b>Current value<sup>12</sup></b>	<b>Target (mid-term)</b>	<b>Target (final)</b>	<b>Remarks (including changes<sup>13</sup>, if any)</b>
<b>Number of total beneficiaries relative to total population</b>	Total beneficiaries  Number of Total Population  Year: YYYY	Total beneficiaries  Number of Total Population  Year: YYYY	Total beneficiaries  Number of Total Population  Year: YYYY	Total beneficiaries  Number of Total Population  Year: YYYY	
<b>Adaptation Impact Indicator 1</b> (E.g. Number of males and females benefiting from introduced health measures)					
<b>Adaptation Impact Indicator 2</b> Etc.  Coverage/scale of ecosystems protected and strengthened in response to climate variability and change	0 hectares	0 hectares	30 hectares	45 hectares	No change to report during this reporting period

<b>2.4.2 PROGRESS UPDATE ON PROJECT/PROGRAMME LEVEL INDICATORS OF THE LOGIC FRAMEWORK<sup>14</sup></b>					
<b>Project/Programme indicators (Mitigation/Adaptation)</b>	<b>Baseline</b>	<b>Current value<sup>15</sup></b>	<b>Target (mid-term)</b>	<b>Target (final)</b>	<b>Remarks (including changes<sup>16</sup>, if any)</b>
<b>Indicator 1 (Outcome level) (Mitigation/Adaptation)</b> (e.g. 6.3 Mitigation: MWs of low-emission energy capacity installed, generated and/or rehabilitated as a result of GCF support)					
<b>Indicator 1 (Output level)</b> (e.g. Wind power generation plant commissioned)  5.2 Number and level of effective coordination mechanisms <sup>17</sup> strengthening of finance related by-laws, regulations and operational procedures	0 effective coordination mechanisms	0 effective coordination mechanisms	3 effective coordination mechanisms	6 effective coordination mechanisms	

<sup>14</sup> As per the relevant indicators established in the Funding Proposal and the Performance Measurement Framework, including relevant updates agreed with GCF, if applicable.

<sup>15</sup> As of 31 December of the relevant calendar year.

<sup>16</sup> Related to the approved indicators and targets in the Logic Framework or relevant FAA.

<sup>17</sup> GCF Performance Measurement Framework: This indicator seeks to measure evidence of measures taken for promoting coordination and synergy at the regional and international levels, including between and among relevant agencies and with regard to other multilateral environmental agreements.

7.1: Use by vulnerable households, communities, businesses and public-sector services of Fund-supported tools, instruments, strategies and activities to respond to climate change and variability Households: <b>disaggregated by male-headed and female-headed</b>	0 direct beneficiaries	0 direct beneficiaries	6,600 direct beneficiaries	13,200 direct beneficiaries	
<b>Indicator 1.2</b> Number of transparent sustainable financing mechanisms supporting adaptation in the OECS sub-region	0	0	3 funding mechanisms	6 funding mechanisms	
<b>Indicator 1.3, etc.</b> Number and value of physical assets made more resilient to climate variability and change, considering human benefits Coverage/scale of ecosystems protected and strengthened in response to climate variability and change	0 physical assets \$0 value of physical assets  0 hectares of ecosystems protected and strengthened	0 physical assets \$0 value of physical assets  0 hectares of ecosystems protected and strengthened	\$17 value of physical assets  30 hectares of ecosystems protected and strengthened	\$25 M value of physical assets  45 hectares of ecosystems services protected and strengthened	
<b>Indicator 2 (Outcome level) (Mitigation/Adaptation)</b> Number of direct beneficiaries ( <b>disaggregated by gender</b> ) of Fund-supported small grants for adaptation to respond to climate change and variability	0 small grants	0 small grants	30 small grants	60 small grants	<i>Midterm target 5 small grants</i>  <i>Final target to 10 Small grants</i>  <i>Due to the change in grant size from USD 50,000.00 to USD 250,000.00</i>
<b>Indicator 2 (Output level)</b> Number of vulnerable households and businesses that use Fund-supported instruments to respond to climate change and variability (Households: <b>disaggregated by male-headed and female-headed</b> )	0 loans for climate adaptation	0 loans for climate adaptation	At least 200 small grants (150 households; 50 businesses)  50% are female-headed homes or businesses	At least 400 small grants (300 households; 100 businesses)  50% are female-headed homes or businesses	
<b>Indicator 2.1</b>					
<b>Indicator 2.2, etc.</b>					

## 2.5 REPORT ON CHANGES DURING IMPLEMENTATION (include actual and expected changes)

*Describe changes to the project during the reporting period. In particular, the report should cover elements such as change of beneficial ownership structure, management changes of the Accredited Entity, policies and other elements relevant for the project, and any other material change that could influence the overall outcome of the project.*

The overall management structure and beneficial ownership of the EDA Project remain the same. The DOE as the Accredited Entity (AE) of the GCF is responsible for implementing the Funded Activity (EDA Project) and, when applicable, monitor and supervise the implementation of the Funded Activity by the Executing Entities.

The Executing Entities remain as the agencies with responsibility for the environment or the National Designated Authority in each country. The Project Management Committee (PMC) in Antigua and Barbuda is the overall steering committee and provide oversight for the project. The AE and the OECS Commission Programme Management Unit have finalized a contract agreement for the monitoring and evaluation of the Funded Activity as described in the project proposal. The Technical Advisory Committee (TAC) and The Project Management Unit (PMU) in each implementing country provide technical oversight and would be accountable for the overall execution, management and delivery of a project (scope, budget, etc.).

In Antigua and Barbuda, a new and updated Environmental Protection and Management Act (EPMA, 2019) was passed by parliament, legally establishing all of the required legislation for the operationalizing of the Sustainable Island Resource Framework Fund (SIRF Fund) for managing on-lending. For adaptation in community building under output 3 of the EDA, The grant size benchmark was changed from USD 50,000.00 per grant to USD 250,000.00 which may affect the beneficiaries under component 3 and will be reflected in the second APR.

## 2.6 IMPLEMENTATION CHALLENGES AND LESSONS LEARNED

*Describe implementation challenges faced during the last reporting period, including measures adopted and lessons learned. If any issues have arisen in the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project, please provide a description of those items and how they have impacted the implementation period and final targets.*

<i>Challenge encountered</i>	<i>Type<sup>18</sup></i>	<i>Measures adopted</i>	<i>Impact on the project implementation<sup>19</sup></i>	<i>Lessons learned and Other Remarks</i>
Delays in hiring staff within the Executing Entities	Procurement	<ul style="list-style-type: none"> <li>- The Department of Environment in Antigua is the overseeing the procurement of staff for EE.</li> <li>- Providing hands-on support to EE including drafting of ToRs and contracts.</li> <li>- Communicating frequently with EE.</li> </ul>	Moderate	<ul style="list-style-type: none"> <li>- Different and unclear procedures exist even within Ministries of the same government.</li> <li>- Decisions for hiring are approved by the Cabinet of Ministers which delays hiring of workers.</li> </ul>
Rotation of senior public servants	Political	Following up and updating new Permanent Secretaries	Moderate	<ul style="list-style-type: none"> <li>- Affects project ownership in the short term</li> </ul>

<sup>18</sup> Implementation; Legal; Financial; Environmental/Social; Political; Procurement; Other; AML/CFT; Sanctions; Prohibited Practices.

<sup>19</sup> Minor/Solved; Moderate; High.

## SECTION 4: REPORT PROJECT SPECIFIC ON ENVIRONMENTAL AND SOCIAL SAFEGUARDS & GENDER

### 4.1 IMPLEMENTATION OF ENVIRONMENTAL AND SOCIAL SAFEGUARDS AND GENDER ELEMENTS

(max 1 page)

Please provide information on the project or programme on the following: (1) key risks and impacts as identified; (ii) compliance with applicable laws and regulations including FAA conditions and covenants; and (3) progress in the implementation of environmental and social management plans and programs including monitoring activities undertaken during the implementation of the funded activity.

(1) The information includes a description on any changes in the key environmental and social risks and impacts as identified and arising from the implementation including any unanticipated risks and impacts (*ex. from changes in laws and regulations*) and, based on these if any change in the project's environmental and social risk category. In case of a change in the E&S risk category for the project, please provide an explanation.

**During this early stage of project implementation clauses were embedded into the RFPs, ToRs and Job Advertisements to monitor and ensure compliance on key project risks listed below:**

- transparency of national decision-making processes
- non-discrimination, equal opportunities and inclusion of persons with different abilities.
- minimize ESS risks
- EBA approaches
- exclusion activities
- selection criteria for EBA, grants and loans.

Risks categorization of each project component remains the same. Risks identified with high impacts during the reporting period were Staff and HR capacity and as a new risk, Political Changes to Implementing Ministries. In the case of the latter, both Grenada and Dominica created new ministers of climate Resilience which resulted in changes in priorities, leadership and portfolios causing major delays in the execution of agreement and programs.

In the case of the former, one of the main assumptions of the EDA Project is that implementing partner governments will supplement the capacity to build synergies and enable project continuity. however, due to the high indebtedness of these governments and structural adjustment policies, it has become difficult and almost impossible to provide adequate capacity or staffing for the project.

(2) The information should include the status of compliance with applicable laws and regulations of the country as well as the relevant conditions or covenants under the FAA. This can be captured in the table below:

**Status of compliance with applicable laws and regulations and the conditions and covenants under FAA**

Applicable laws and regulations/conditions and covenants	Status of compliance
Conditions	
Fulfillment of the All conditions for execution of the GCF OECS Enhancing Direct Access (EDA) project;	All conditions were fulfilled for the execution of the FAA and accepted.
Condition 10.02 (p) amended the FAA to reflect the updated budget delivered as per Clause 7.01(f) and the additional financing necessary for the implementation of the Funded Activity.	Fulfilled
Conditions 7.01 (a) to (f) of the FAA for the effectiveness of the GCF OECS Enhancing Direct Access (EDA) project	All conditions 7.01 (a) to (f) were fulfilled for effectiveness set out in Clause 7 of the FAA and accepted.
Conditions 9.01 (a) (i) to (vii) for the first disbursement of the GCF OECS Enhancing Direct Access (EDA) project	Fulfilled
Covenants	

<p>A: Ensure that no GCF Proceeds are transferred to any Executing Entity(ies), and/or TA Beneficiary(ies) if a default has occurred under any Subsidiary Agreement(s) and/or TA Agreement(s);</p>	<p>Compliant.</p>
<p>B: Ensure that no GCF Proceeds are used to support or finance, directly or indirectly, any activities with potential environmental and social risks that are equivalent to category A pursuant to the Environmental and Social Risks Categories to be conducted as part of the Project</p>	<p>Compliant.</p>
<p>C: Undertake all adequate measures to ensure that none of the persons or activities included in the excluded activity list (as contained in Schedule 9) is funded or otherwise receives, directly or indirectly, any GCF Proceeds;</p>	<p>Compliant.</p>
<p>D: Ensure that the Project Activities, the Final Beneficiary(ies) and/or TA Beneficiary(ies), as applicable, for the implementation of the Funded Activity are selected in accordance with the Eligibility Criteria;</p>	<p>Compliant.</p>
<p>E: In case any amendment or modification is entered to or otherwise agreed by the Accredited Entity with respect to Subsidiary Agreement(s), and/or TA Agreement(s), inform of such event and furnish to the Fund the executed copies of the such amendment or modification within five (5) days from its execution. For the avoidance of doubt, the Accredited Entity shall ensure that such amendment or modification does not contravene the terms and conditions provided in this Agreement and the AMA;</p>	<p>Compliant.</p>
<p>F: Undertake and/or put in place any adequate measures in order to ensure that the management of the environmental and social risks and impacts arising from the Funded Activity complies at all times the recommendations, requirements and procedures set forth in the Environmental and Social Management Plan ("ESMP"), which was provided by the Accredited Entity to the Fund before the Approval Decision;</p>	<p>Compliant.</p>
<p>G: Obtain, or ensure that the Executing Entity(ies) and/or Final Beneficiary(ies) shall obtain, all land and rights in respect of land that are required to carry out the Funded Activity, and that such land acquisition shall not result to involuntary resettlement (either economic or physical displacement, or both) and shall promptly furnish to the Fund, upon its request, evidence satisfactory to the Fund that such land and rights in respect of the land are available for the purposes of the Funded Activity ;</p>	<p>N/A during this reporting period.</p>
<p>H: Prior to commencing any construction works or activities for the implementation of the Project, submit to the Fund a detailed ESMP related to the relevant construction works or activities to be executed, in a form and substance satisfactory to the Fund;</p>	<p>N/A during this reporting period.</p>
<p>I: Apply, in accordance with its own policies and procedures, its own fiduciary principles and standards relating to AML/CFT in the implementation of the Funded Activity;</p>	<p>Compliant.</p>

<p>J: In case of a change of the authorized representative to sign the Request for Disbursement, provide, together with the Request for Disbursement, evidence, satisfactory to the Fund, of the authority of such person to sign the Request for Disbursement and the relevant authenticated specimen signature of such person;</p>	<p>Compliant.</p>
<p>K: Not disburse any GCF Proceeds to the Ministry of Environment, Climate Resilience, Disaster Management and Urban Renewal of the Commonwealth of Dominica prior to delivery to the Fund of confirmation that all necessary legislative and contractual arrangements for the implementation of the Funded Activity in the Commonwealth of Dominica have been executed and are fully effective;</p>	<p>N/A during this reporting period.</p>
<p>L: Report in the APRs on the list of investments made and the sub-projects implemented in each Host Country under Outputs 2, 3 and 4 and their climate change impacts, including the information on (i) the types and numbers of beneficiaries disaggregated by gender; and (ii) grant amounts, co-financing amounts per donor/co-financier, duration and outcomes;</p>	<p>N/A during this reporting period.</p>
<p>M: On an annual basis, for three (3) consecutive years, starting from the second year of the Project implementation and within the first three (3) months of each year: (i) Provide evidence of the public disclosure of the monitoring and evaluation reports for any GCF-funded project/programme implemented through the Accredited Entity's grant award programme, as well as of the annual reports on the results of the operation of the revolving fund programme; [and] (ii) Provide the minutes of the Accredited Entity's technical evaluation committee meetings evidencing the process of consideration and approval of any grant proposals received under a grant award project/programme;</p>	<p>N/A during this reporting period</p>
<p>N: Inform the Fund, in the APRs to be submitted in accordance with the AMA, on the status of the <a href="#">leveraged funds</a> that have been applied to the implementation of the Funded Activity;</p>	<p>N/A during this reporting period</p>
<p>O: Deliver to the Fund, along with the second APR to be submitted in accordance with the AMA, a report on the baseline assessment undertaken under Activity 1.4 [that will allow for future measurement and monitoring of the overall results of the proposed Funded Activity]; and]</p>	<p>Compliant. Covered in the Term Sheet with the OECS Commission</p>
<p>P: No later than 60 days after execution of this Agreement, amend it to reflect the updated budget delivered as per Clause 7.01(f) and the additional financing necessary for the implementation of the Funded Activity.</p>	<p>Fulfilled</p>
<p>laws and regulations</p>	
<p>Submission to the Fund by the Accredited Entity of evidence that the regulations for the Revolving Fund specific to the Project Activities in Antigua and Barbuda have been passed by the Parliament of Antigua and Barbuda;</p>	<p>Compliant. The Environmental Protection and Management Act was passed in July 2019</p>

Other Law or regulation		N/A during this reporting period	
<p>(3) Provide a report on the progress made in implementing environmental and social management plans (ESMPs) and frameworks (ESMFs) describing achievements, and specifying details outlined in the tables below.</p> <p><i>Implementation of management plans and programmes</i></p>			
(i) activities implemented during the reporting period, including monitoring	(ii) outputs during the reporting period	(iii) key environmental, social and gender issues, risks and impacts addressed during implementation	(iv) any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention
Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access	oversight mechanisms established Implementation manual developed Training on implementation manual Finalized contracts for the implementation of component 1 activities	Ensure transparency of national decision-making processes Include clause in all RFPs on non-discrimination, equal opportunities and inclusion of persons with different abilities.	
Governments implement concrete adaptation measures using ecosystem-based approaches where appropriate	ToR for public sector intervention in Antigua and Barbuda and Dominica developed and submitted to their respective public section investment program, Ministry of Finance.	Include clause to minimize ESS risks Clause on EBA approaches	
Community resilience to climate impacts is enhanced through tangible adaptation benefits	ToRs developed and on-granting service providers engaged	Include clause on exclusion Activities Include clause selection criteria	
Privately owned physical assets of vulnerable populations are more resilient to climate variability and change through concessional microfinancing	ToRs developed and on-granting service providers engaged Contract finalized with the SIRF Fund for on-lending in Antigua	Include clause on exclusion Activities Include clause selection criteria	
Project management	Procurement of staff and equipment	Ensure transparency of national decision-making processes Include clause in all RFPs on non-discrimination, equal opportunities and inclusion of persons with different abilities.	Mostly women are applying and taking up over 70% of the jobs. This issue would have to be addressed during implementation

**4.2 GENDER ACTION PLAN**

*Provide a progress report on the gender action plan developed during project preparation stage for the reporting period. This will primarily be a report on activities undertaken and results achieved as a result of completion of an activity. Further it should also indicate if the project is on track to achieving the intended outcome(s).*

*The reporting should be done for activities, targets and indicators already set in the action plan including on vulnerable groups (youth, poor, female heads of households, etc.) as would have been identified in the gender analysis and action plan. If activities or targets are not achieved as per plan, reasons should be provided, and recourse action should be proposed. Please include a reporting on any changes or deviations.*

*Include a Report on implementation challenges and lessons learned and how these will inform on-going actions and what action will be taken by when to address the challenges faced.*

*Incorporate both quantitative data and qualitative report of the performance of such actions, and on progress on actions identified.*

**4.2.1 PROGRESS ON IMPLEMENTING THE PROJECT-LEVEL GENDER ACTION PLAN SUBMITTED WITH THE FUNDING PROPOSAL.**

Activities/actions	Indicators	Baseline	Targets, including sex-disaggregated targets	Budget USD	Report on annual progress
Train women and vulnerable groups to identify, prioritize, implement, monitor and evaluate adaptation strategies and measures	% female of people trained	0%	100 people trained  50% female	30,000.00	Oversight structures are being established to undertake activity
Inform and train women, PWDs, indigenous people, other vulnerable groups how to access and utilize the complaints mechanism and empower them as “allies” within their communities to share this knowledge with others who are likely to benefit	Number of complaints received through the complaints mechanism	0%	90%	20,000.00	Complaint Officer designed a complaint mechanism and will be commencing training soon
Nominate 1 woman and 1 PWD/vulnerable group from an NGO/CSO to the	At least one member of the Steering Committee represents the interests of vulnerable groups	0	3	N/A	Oversight Committees are mainly women government workers. A strategy to include CSO and vulnerable groups is being considered.

Steering Committee (oversight function)					
All Environmental Impact Assessments ("EIAs") to include gender and social inclusion assessments and action plans	% EIAs that include gender and social inclusion assessments and action plans	0%	100%	300,000.00	A clause was included in the criteria for project selection
Provide entrepreneurship training for women, PWDs, and other vulnerable groups in services related to the delivery of climate change information, adaptation and resilience services, e.g. EIAs, construction, project management, procurement, M&E, etc.	Number of women, PWDs, other vulnerable groups enrolled in continuous training in entrepreneurship as it relates to climate change adaptation and resilience services	TBD	.....	50,000.00	Not due as yet
Sustainable procurement of goods and services to include a fixed percentage of women and PWDs in tenders to ensure that they benefit from economic opportunities created through the construction and agricultural sectors	Number of contracts awarded to women/ PWDs	TBD	.....	30,000.00	Related clause included within the contract with the OECS Commission
Nominate 1 woman and 1 PWD from a CSO/NGO to represent these key issues on the decision-making body for the public sector / on-granting committee/loans board	Ensures that rights, needs and challenges faced by women and PWDs are adequately represented and that gender is prioritized within the decision-making body	0	3	N/A	Not due as yet

Train women, persons with disabilities and other vulnerable groups to ensure that community assets are more resilient to climate variability and change	Number of women, PWDs, CSOs and NGOs trained (%) in protecting public infrastructure assets, including community shelters	TBD	.....	30,000.00	Related clause included within ToR for Public Sector Projects
Launch media campaign to advertise this training and EDA Revolving Fund opportunities available	Number of applicants received	0	400	70,000.00 / country	Not due as yet.

Additionally, include a description of the actions undertaken towards increasing the relevant stakeholders' engagement in the project environmental, social and gender elements, and a list on the grievances received in the reporting period that will include at least the description of the grievance, the date the grievance was received, and the resolution of the grievance.

Information below in this sub-section should be provided for all projects regardless of the E&S risk category for the project

*Implementation of the stakeholder engagement plan*

(i) activities implemented during the reporting period	(ii) dates and venues of engagement activities	(iii) information shared with stakeholders	(iv) outputs including issues addressed during the reporting period
Attorney General's Office (Grenada)	January 17 <sup>th</sup> , 2020, Ministry of finance, Grenada	Completing the FMCA for Grenada	Grenada started the process a few years ago The AG support the completion of the FMCA and promised to locate the document and complete the process.
GCF National Designated Authority (Grenada and Dominica)	On-going	Readiness support and Designating National DAEs.	Grenada received readiness support for accreditation, and both identified designated their DAEs.
Ministry of Finance (Antigua)	Bimonthly	The role of the PSIP in the EDA output 2; ToR for output 2, capacity support	EDA will provide staffing support to the Ministry for tracking and coordination of the EDA output 1&2.
Banks (Grenada Development Bank)	Dec 19 <sup>th</sup> , 2020 and January 7 <sup>th</sup> , 2020, Grenada	Negotiations on the ToRs as Service Provider for Output 3&4	Term Sheet on revolving loans finalized for submission to the PMC on Jan 9 <sup>th</sup> No agreement was reached on output 3. The banking is willing to be the service provider, but the PMC has to meet on the matter.
General public	On-going and mainly through the website and via local newspaper	Bank ground information of the EDA project and Job Advertisement	Upgrading the public disclosure platform

	pers and regional organization websites (CCCCC, OECS, Grenada and Dominica Governments, CARICOM)		
Environment Ministries (Units/Departments)	December 19 <sup>th</sup> , 2020, Ministry of Finance and Ministerial Complex, Grenada; Jan 9 <sup>th</sup> , 2020, Ministry of finance Grenada	Startup activities: the holding of the first PMC; staffing evaluation; Service provider negotiations; Meeting with Finance and Implementation Ministry	1 <sup>st</sup> Project Management Committee Meeting held. Staffing needs identified and being procured
GEF SGP National Coordinator			
OECS Commission	Nov. 22 <sup>nd</sup> , 2019 / The Morne, St. Lucia; Feb 13 <sup>th</sup> , 2020	negotiations and MOU with the OECS Commission on implementing output 1 of the EDA project. negotiations output 1 contract	To appraised and finalized project implementation arrangements with the OECS Commission for project start-up. The finalized contract for output 1
Commonwealth Finance Access Hub	On-going	Awareness information for publishing on the CFAH website on the EDA project	Continue to provide capacity support for EDA project coordination
Cabinet of respective countries (Antigua and Barbuda, Dominica and Grenada)	On-going	Endorsement of EDA country programmes; Activities; budget; procurement plan; PMC composition; staffing	
Ministry of Public Works (Antigua)	On-going	Negotiate ToR for implementation of output 2 activities.	ToR is being finalized.
Development control Authority (Antigua)	On-going	Finalizing the Local Area Plan (LAP) implementation activities and budget for component 2.	LAP implementation plan with the budget available for output 2 of the EDA project
National Climate Change Committee (NCCC), Grenada	Jan 9 <sup>th</sup> , 2020, Grenada. National Telecommunications Regulatory Office	The Role of the NCCC as the Technical Advisory Committee for the EDA	Lack of Need for a secretariat for the NCCC. EDA to submit a ToR to the NCCC on the EDA project

*Implementation of the grievance redress mechanism*

(i) description of issues/complaints received during the reporting period	(ii) status of addressing issues/complaints
None	DoE has a complaints office and the procedures for addressing complaints are established.

### 4.3 PLANNED ACTIVITIES ON ENVIRONMENTAL AND SOCIAL SAFEGUARDS

*Provide a list of activities in the ESMP to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project-specific products. Please include the monitoring schedule concerning ESS (including other potentially vulnerable groups and indigenous people) for the next annual reporting period.*

- **capacity building, training, and ensuring transparency in the decision-making Committees (PMC, public sector, community and loans) for implementing countries. This will include Evaluate and update procedures of the Committees to serve the EDA, ensuring appoint NGO and Private Sector Representation and having all members sign the Code of Conduct and Ethics and understand the Conflict of Interest procedures.**
- **Address risk category in public sector projects by ensuring Environmental Impact Assessments (EIAs) for public sector interventions will be developed covering Performance Standards and all activities under this Component are to receive physical planning approvals**
- **Improve online visibility by adding EDA project pages to implementing partner websites (Grenada national Governments and/or the OECS Commission website) for Transparency.**
- **Developed with the OECS Commission consultatively monitoring and evaluation framework for the project inconsideration with the ESMP.**
- **Continue to update the project Risk Register with any additional risks that have been identified and mitigation measures proposed.**
- **Conduct capacity assessments (fiduciary, AML CTF, etc.) of potential Executing Entities in Dominica and Grenada, and develop a training plan.**
- **Continue to Ensure transparency of national decision-making processes Include a clause in all RFPs on non-discrimination, equal opportunities and inclusion of persons with different abilities. Develop a clause to address the lack of participation of men on committees and in participating in the hiring process.**
- **Include a clause in sub-projects on exclusion activities and criteria for eligible activities**
- **Establish a Grievance Mechanism at the sub-regional level and in Grenada and Dominica**

### 4.4 PLANNED ACTIVITIES ON GENDER ELEMENTS

*Provide a list of activities in the gender action plan to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project-specific products including processes that will be involved to implement the activities effectively. Please include the monitoring schedule concerning gender activities for the next annual reporting period.*

*Report on actions taken on any of the recommendations made by the secretariat (if applicable) to improve the level of integration of gender issues in the project.*

- **Update the EDA project gender and social inclusion action plan to include relevant indicators and targets.**
- **Start with the training of women and vulnerable groups to identify, prioritize, implement, monitor and evaluate adaptation strategies and measures**
- **Evaluate the existing oversight committees and make recommendations where possible for the nomination of at least 1 woman and 1 PWD/vulnerable group from an NGO/CSO to these Steering committees (oversight function).**
- **All Environmental Impact Assessments (“EIAs”) to include gender and social inclusion assessments and action plans**

- Start with providing entrepreneurship training for women, PWDs, and other vulnerable groups in services related to the delivery of climate change information, adaptation and resilience services, e.g. EIAs, construction, project management, procurement, M&E, etc.

## SECTION 5: ANNEXES

### Annex 1. Updated implementation timetable for the Funded Activity.

Implementation Timeframe: July 2019 –July 2023		Year 1				Year 2				Year 3				Year 4			
Output	Sub-component /Activities	Q 1	Q 2	Q 3	Q4	Q 1	Q 2	Q 3	Q 4	Q 1	Q 2	Q 3	Q4	Q 1	Q 2	Q 3	Q 4
<b>Output 1. Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access</b>	1.1 Establish implementation, oversight an oversight mechanism with adequate capacity	x	x	x													
	1.2 Design a Sustainable Procurement system for construction supplies in pilot countries	x	x	x	x	x	x	x									
	1.3 Support accreditation of direct access entities in the Eastern Caribbean	x	x	x	x	x	x	x	x	x	x	x	x	x			
	1.4 Facilitate effective project management, monitoring and evaluation, and lessons learned consistent with an enhanced direct access approach	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x
<b>Output 2. Governments implement concrete adaptation measures using ecosystem-based approaches where appropriate</b>	2.1 Competitively solicit priority adaptation interventions for adaptation in the public sector	x	x	x													
	2.2 Undertake due diligence and studies on public sector adaptation interventions as needed		x	x	x												
	2.3 Implement pilot approaches for adaptation in public infrastructure					x	x	x	x	x	x	x					
<b>Output 3. Community resilience to climate impacts is enhanced through tangible adaptation benefits</b>	3.1 Select community adaptation projects through a competitive small grants facility	x	x	x	x												
	3.2 Communities implement adaptation projects with tangible benefits				x	x	x	x	x	x	x						
<b>Output 4. Privately owned physical assets</b>	4.1. Launch the private sector Revolving Fund for adaptation in buildings	x	x	x	x												

<i>of vulnerable populations are more resilient to climate variability and change through concessional microfinancing</i>	4.2 Finance adaptation in buildings and manage repayments				x	x	x	x	x	x	x	x					
Output 5.0. Project Management	5.1 Project Management consistent with an EDA programmatic approach	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	

**Annex 2.** Accredited Entity compliance reports (self-assessment reports<sup>20</sup>, report on actions pursuant to Clause 18.02, if applicable<sup>21</sup>).

**SECTION 6: ATTACHMENTS**

**Attachment 1.** Unaudited/Audited financial statements (as required by FAA).

*Attached as a separate document.*

**Attachment 2.** Interim/Final evaluation report (as required by FAA).

*(If available. If not submitted, indicate date of submission.)*

**Other Attachments (if any).** Such as additional budget-related information, loan repayment schedules to GCF (interest/principal), equity investment schedules, other related reports relevant to the Funded Activity, statements of capital account, valuation reports, credit guarantee agreements, investor reports, and others, as specified in the relevant legal agreements (e.g. Funded Activity Agreement, Shareholders Agreement)

<sup>20</sup> In accordance with the AMA requirement in Clause 13.01 of the Accreditation Master Agreement, with the Fiduciary Principles and Standards, ESS and Gender Policy.

<sup>21</sup> Only applicable to International Accredited Entities. In accordance with the Monitoring and Accountability Framework, a report on its actions carried out or planned to be carried out pursuant to Clause 18.02 of the Accreditation Master Agreement.