

FP061

Integrated physical adaptation and community resilience through an enhanced direct access pilot in the public, private, and civil society sectors of three Eastern Caribbean small island developing states

Annual Performance Report CY2021

APR CY2021 Section 1: General Information - v5 2022-02-26 21:53 +09:00

[APR CY2021] Section 1: General Information

Please note that this is section 1 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

1.1 Please indicate if information provided in this APR is disclosable outside the Green Climate Fund. *

- Yes - The Accredited Entity agrees that the information reported is disclosable.
- No - The information reported is partly confidential. The disclosable version of the APR will be attached.

If you select the second option [No - The information reported is partly confidential. The disclosable version of the APR will be attached], please follow the below steps.

- Step 1: Fill in all the sections of the APR with disclosable information.
- Step 2: Save each section using the 'PDF' function provided in the top-right corner. (Do NOT submit an APR at this step).
- Step 3: Attach all of the disclosable sections to the attachment boxes below, which will be shown once you check the second option only.
- Step 4: Update all the sections of the APR with non-disclosable information.
- Step 5: Submit the non-disclosable APR which herein enclose the disclosable APR in PDF format.

1.2 Please indicate if this report has been shared with the relevant NDA(s) for this Funded Activity

Yes

Once the APR is created in the PPMS, please use the 'Open as PDF' function to download the report in PDF format and to share with relevant authorities (i.e. NDAs) before submission. Select 'Yes' only if shared to ALL the relevant NDA(s).

Please Indicate the date of submission to NDA(s)

2022-02-13

If the APR is submitted to multiple NDAs, please indicate the latest date of submission to NDA, and provide the other dates per NDA in the further explanation box below.

Please provide further explanation, if any:

The report previously produced and submitted to OPM was shared with the NDA in Antigua and Barbuda in February 2021. The APR created here (in the PPMS) will be shared with the NDAs in all three project countries.

1.3 Funded Activity Title (Project/Programme Title)

Integrated physical adaptation and community resilience through an enhanced direct access pilot in the public, private, and civil society sectors of three Eastern Caribbean small island developing states

1.4 Funding Proposal Reference Number

FP061

1.5 Board Meeting Number

19

1.6 Accredited Entity contacts for this APR

Full Name	
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1.7 Executing Entity(ies)

Full Name of Executing Entity

Department of Environment, Ministry with responsibility for the Environment [Ministry of Health, Wellness and the Environment], Antigua and Barbuda

This value will be autopopulated from APR 2020.

Please write the name of the country where the Executing Entity is headquartered.

Antigua and Barbuda

Full Name of Executing Entity

Ministry with responsibility for the Environment [Ministry of Environment, Rural Modernisation and Kalinago Upliftment], Commonwealth of Dominica

This value will be autopopulated from APR 2020.

Please write the name of the country where the Executing Entity is headquartered.

Commonwealth of Dominica

Full Name of Executing Entity

Ministry with responsibility for the Environment [Ministry of Tourism, Civil Aviation, Climate Resilience and the Environment] in collaboration with the Department of Economic and Technical Corporation in the Ministry of Finance, Planning, Economic Development & Physical Development, Grenada

This value will be autopopulated from APR 2020.

Please write the name of the country where the Executing Entity is headquartered.

Grenada

1.8 Project Duration

From

2019-07-16

To

2023-07-16

Check if the extension request for the project duration was approved by the Secretariat during the reporting period.

Please reach out to the GCF portfolio managers if you need an extension

Yes (it was extended)

No

1.9 Current Year of Implementation

3

Indicate the year number, e.g., '2'

1.10 Annual reporting period covered in this report

From

2021-01-01

To

2021-12-31

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 1 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 1 is complete and ready for submission.

APR CY2021 Section 2: Implementation Progress - v5 2022-02-26 21:53 +09:00

[APR CY2021] Section 2: Implementation Progress

Please note that this is section 2 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

2.1 Overall (summary) project progress

The *Integrated Physical Adaptation and Community Resilience through an Enhanced Direct Access (EDA) Pilot in the Public, Private, and Civil Society Sectors of Three Eastern Caribbean Small Island Developing States* formally became effective on July 16th, 2019. This report covers the reporting period of January 1st, 2021, to December 31st, 2021. At the time of the previous APR, 2020, implementation was at 10% overall completion. At the end of this reporting period, 21% completion was achieved. Breakdown by components is as follows:

Overall project implementation: 21%

Output 1: 29%

Output 2: 16%

Output 3: 15%

Output 4: 21%

Implementation progress per pilot country:

Output 1: 29%

Output 2: Antigua 34%, Dominica 9%, Grenada 5%

Output 3: Antigua 37%, Dominica 2%, Grenada 6%

Output 4: Antigua 51%, Dominica 8%, Grenada 5%

PMC: Interim evaluation initiated and should be completed in March 2023.

The project is severely delayed and will not be able to complete all outputs by the project baseline close date. At the time of this report, the project faces the following: (i) the Covid-19 pandemic is still impacting staff and supply chain, (ii) delayed disbursement from the GCF (iii) increase cost of shipping and travel to the islands by over 200% and 50% respectively (iv) slow implementation pace by all countries, which will result in incomplete outcomes within expected timelines thus causing further disbursement delays, and finally (v) no contingency budget. The AE is therefore recommending two options to move forward:

Option 1: The project is granted a no-cost extension of six months— after which the project will close and the remaining funds returned to the GCF or

reallocated to the countries that are more advanced in implementation. To reduce the need for funds to be returned, the project results framework and scope will have to change. **Option 2:** The project targets and results remain the same, and it is granted an 18-month extension along with an additional budget allocation of approximately 350,000.00 and Project Management Committee (PMC) fees of US\$275,000.00.

Overview of the Reporting Period:

DOE ATG is acting as both the Accredited Entity and the Executing Entity for Component 1. Each country acts as an Executing Entity for outputs 2,3 and 4 within its respective country.

1. Antigua and Barbuda is experiencing an approximate 7 months delay in activities for Outputs 2 – 4, but will however complete and close these activities by December 2022;
2. Antigua and Barbuda, in its capacity as Executing Entity for Output 1 is experiencing an approximate 12-month delay in activities related to accreditation support to the entities in Grenada and Dominica.
3. As previously reported, Grenada and Dominica are experiencing significant delays in implementation of Outputs 1 – 4 and are, therefore, expecting at least an 18-month delay in overall implementation at the current rate of progress.
4. Continuous delays in partner countries have also impeded the rate of progress which has led to delays in the disbursement of funds.
5. There is a need for a project extension. The length of the time will be dependent on the discussions with the GCF.
6. The Interim Evaluation has started, and a report should be available in April 2022.
7. Oversight is being provided by the Project Management Unit (PMU). The Technical Assistance Agreement (TAA) between the AE and the EEs for component 1 is unsigned. The AE is, however, providing TA to the EEs.

Provide a narrative report describing the overall progress on the implementation of the funded activity, focusing on implementation achievements, delays, and challenges according to the planned activities. As relevant, include references to other sections of this report (including Annexes or Attachments). Include a description of key milestones of the funded activity achieved during this reporting period including any deviations from original expectations. Also, describe challenges encountered and actions undertaken to resolve these challenges, and lessons learned during the implementation, including issues related to non-compliance with GCF standards or conditions, if any. In parallel, include positive achievements and better-than-expected results. If any issues have arisen in the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project, please provide a description of those items and how they have impacted the implementation period and final targets. Kindly make sure that this section just gives an overall summary and doesn't have overlap with other sections.

2.2 Performance against the GCF investment criteria (summary)

During 2021, there continued to be delays caused by a variety of factors; yet positive gains in performance against the GCF criteria have been registered. Project implementation has seen increased activity mainly in the area of outputs 3 and 4. Based on the primary measurable benefits outlined in the Funding Proposal, the AE maintains that the EDA will contribute to transformational change that will benefit at least 5% of the total population in the three project countries, of which 50% are females.

With regards to the "impact potential", the implementation of activities under outputs 2,3, and 4 in Antigua and Barbuda has already had a positive impact on the direct beneficiaries (see section 2.4). Construction activities have brought much-needed employment to many, and this is set to increase during the construction activities under output 2. The construction activities will support making the physical assets more climate-resilient and in turn, enhance their value. Training exercises have also contributed to capacity building for local community-based organizations (CBO) and non-governmental organizations (NGO) members to become trainers and impart valuable knowledge to their community. Such impacts are expected to be replicated once implementation rates increase in Dominica and Grenada, during the next reporting cycle. With regards to the "paradigm shift potential", progress has been made in policy creation such as the sustainable procurement policy which will serve to guide regional procurement practices, once adopted. As the project progresses, greater emphasis will be placed on creation (strengthening) of the enabling environment and regulatory framework, necessary for effective EDA implementation.

Consistent with the previous reporting periods, the "needs of the recipients" in project countries have increased since the development of the Funding Proposal, due to lingering destructive effects of recent category 5 hurricanes, persistent drought conditions, and the debilitating impacts of the Covid-19 pandemic. Increased demand for scarce commodities, delayed shipping schedules, region-wide increase in unemployment and increased demands on regional health authorities have compounded the aforementioned situation. Yet, there has been renewed commitment to persist and to seek to achieve compounded collective goals set forth through the EDA project. The AE has initiated efforts to seek to deliver technical assistance to the EEs to expedite the implementation of project activities to ensure that much-needed assistance is received by the intended beneficiaries.

"Efficiency and effectiveness" of the funded activity hinge on the financial soundness of procedures and activities of the project. A review of the Financial Management Capacity Assessment (FMCA) conducted on both Dominica and Grenada, during the reporting period, indicated that there is the capacity for the EEs to programme grant funding of up to US\$5,000,000, but cautions that disbursements to the EEs should not exceed US\$500,000. The AE will seek to ensure that the EEs exercise independence in managing their funds during the next reporting period.

For Dominica and Grenada, it is still too early in project implementation to determine "sustainable development potential"; however, for the AE, progress became more evident during the reporting period. Further details of each investment criterion are provided in Sections 2.2.1 through 2.2.6.

Provide a narrative report describing the progress on the funded activity's performance against the GCF investment criteria framework. The performance should be compared against the initial assessment provided in the Board-approved Funding Proposal (section E). The list of the investment criteria as per the current framework is provided below. For each investment criteria outlined below, please include an assessment of current status, changes, progress and impact of the project as well as any impact of project context on the project during this reporting period against the initial baseline scenario and planned activities as per the assessment presented in the approved Funding Proposal. This sub-section 2.2 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

Relevant Links

[The GCF investment criteria framework](#)

2.2.1 Impact Potential ?

As per the initial assessment against the investment criteria provided in the Funding Proposal approved by the GCF Board, please provide an assessment of the performance of the funded activity during the relevant reporting period against the investment criteria "Impact Potential"

The potential impacts of the EDA are based on the Request for Proposal (RFP) and the approved Funding Proposal. The major indicators to the potential that three (3) regional entities achieve enhanced direct access accreditation status, and demonstrate EDA principles in the government, private and civil society / NGO sectors. The FP indicators for the Eastern Caribbean were that:

- Approximately 13,200 persons in the three countries are directly impacted; and
- An estimated 87,000 persons are indirect beneficiaries - of which 50% are women

As in the previous reporting period, the project encountered delays due to the countries' indecision on the accreditation of the respective environment units in Grenada and Dominica. This indecision continues into this reporting period, even though, in Grenada, a formal note was provided to accredit the Environment Division. The DOE-ATG recognizes that the countries want to make a change from their respective Environment Divisions to their Ministries of Finance. The DOE-ATG, however, does not have the full competence to address accreditation for the Ministries of Finance and has communicated this to the respective EE in the countries. The Countries are still undertaking internal consultations.

Output 1:

For this output, the project aims to achieve the creation or enhancement of three (3) sustainable financing mechanisms and accreditation of three (3) Direct Access entities to the GCF or AF. To date:

- Antigua and Barbuda is providing ongoing TA to project countries to support accreditation;
- TA is being provided to the OECS Commission, which has already submitted an accreditation application;
- TA was provided to Grenada to support Readiness for the NDA, but implementation has not yet begun;
- A Knowledge and Information Systems (KIMS) baseline assessment was completed for the OECS Commission and is ready to be deployed in project countries;
- Monitoring and evaluation support is on target to support the executing entities;
- Dominica has formally identified its national entity seeking accreditation. However, a formal notification has not been received; and
- Grenada had initially informally nominated two entities. A formal notification, which nominated the Ministry with responsibility for the Environment, has been received.

Summary: The output is being executed by the DOE ATG and has been impeded by continuous delays, which have now been exacerbated by the lack of nomination of a Direct Access Entity by Dominica.

Output 2 - 4:

These outputs relate to the fund level, core indicators described above, and impact is measured by the increased resilience (or reduced vulnerability) for the total number direct and indirect beneficiaries targeted by project activities.

Antigua and Barbuda:

- In the Cashew Hill sub-watershed, up to 5,500 residents are now benefiting directly from clearing of the Cashew Hill Watershed drains and the environs, in preparation for construction activities for flood mitigation infrastructure funded by the public sector grants;
- Four community-based organizations have been approved to receive grant funding for the improvement of communal buildings for use as shelters and community centers. Each is expected to benefit between 1,000 to 1,500 community residents annually;
- The process of issuing loans through the revolving fund has commenced. Data disaggregated by gender was collected and to support monitoring of the progress towards the target of 50% women. A combination of market and climate change studies conducted, as well as the experience from the AF project in McKinnon's Watershed has strengthened expectations that approximately 100 households and up to 15 small businesses will benefit from this activity.

- Public awareness and training activities have commenced. Training has been provided in the areas of shelter management, climate change adaptation and waterway management, and grant writing. These public engagements and training activities target stakeholders and the wider population and are expected to reach upwards of 20,000 residents; and

Dominica:

- Cabinet approved the Global Environment Facility-Small Grants Programme as Service Provider for Output 3.
- The Letter of Collaboration and Concept Note were finalized and signed by the MOE and submitted to the UNDP Regional Office for approval/acceptance.
- A letter of acceptance was received from the SGP in October.
- Cabinet approved the Government Housing Loans Board as Service Provider (SP) for Component 4. It is envisaged that this output will target up to 25 MSMEs to benefit from the revolving loan fund.
- The Subsidiary Agreement was reviewed by the AG's Office and is awaiting further approval of the SP to provide services to the private sector prior to signing.

The activities and corresponding impacts for Output 4 have not yet been fully defined for Dominica's country project. Hence, it is too early to gauge the overall impact that will be achieved.

Grenada:

Very limited impact can be measured for Grenada's country project to date. The project management team has given their commitment to accelerate activities in the next reporting period, and the country remains dedicated to meet the project's intended impacts.

For output 3, Service Provider contracts were signed. Call of summary proposal launched. A total of 23 concepts have been submitted for consideration. For output 2, one PSIP project identified on the Sister Isle of Carriacou and approved by the National Climate Change Committee (NCCC). The project concept is now undergoing further development to full proposal.

As in the case of Dominica, the activities, and corresponding impacts for Outputs 2, 3 and 4 have not yet been fully defined for Grenada's country project. Hence, it is too early to gauge the overall impact that will be achieved.

Potential Risks to Achieving Impact Potential:

In assessing the gains and setbacks in this reporting period, the AE proposes to support the national entities seeking accreditation up to the submission of their individual applications for accreditation (during the project period), and thereafter providing limited support to address questions from the GCF (after project closure). Given the extensiveness of the accreditation process, the pace of progress within the EEs and the continued ongoing fallout from the COVID-19 pandemic, the AE believes this to be the most achievable outcome. The AE proposes to change the impact indicator to *support the application of 3 entities up to stage 1 of the accreditation application*. Based on the ongoing delays being experienced in Dominica and Grenada, it is necessary to restructure the country projects to preserve some of the impacts. The AE also proposes an extension of the project by twelve (12) months, to support the changes recommended

2.2.2 Paradigm shift potential ?

The “paradigm shift” of the EDA project is to deliver climate finance to the micro-level in a more comprehensive, stakeholder driven and programmatic approach. To this end, project activities are tailored towards demonstrating that the most vulnerable individuals and communities can receive maximum benefits from the EDA funding.

With respect to critical areas of capacity development, training on basic M&E and M&E related to environmental and social safeguards (ESS) was carried out to boost the three country teams and particularly implementation of project activities in Dominica and Grenada. These efforts have also expanded the continued testing and use of the M&E Matrix Tool including updates of specific sections of the tool relating to ESS. Training activities in 2021 related to M&E were quite intense and a training report has been prepared summarizing these, cataloging videos, and provide links to supporting materials (the M&E Training Report is attached to the APR. The Project Baseline Assessment Report was finalized and approved at the end of 2021. Additional tools and an elaboration on the quality of results achieved by EDA were considered. As part of their development, country teams expanded their knowledge and capacity to understand and update project-related means of verification as well as baseline indicators. In another critical step, project indicators were localized to the country level and the M&E Matrix will be updated, in early 2022, to reflect this additional information, strengthening the project capacity for results tracking (at the country level) and improved reporting.

The development of country-specific M&E manuals has been initiated and an outline prepared to guide that process. This will be twinned with additional training on gender-sensitive monitoring and the Gender Action Plan to test content that would be included in the finalized manuals. The manuals would also include guidance on the project indicators to provide clarity and consistency of reporting. To boost the M&E practice further within the project, sharing between countries is facilitated via smartsheet, and other platforms, including an online community of practice space via Slack.

Scaling up and replication: The AE developed a tool kit to support the accreditation activities in Dominica and Grenada. Additionally, for output 3, the AE has produced an additional kit which is being used to improve existing grant processes among the AE and EEs. This kit includes a revised grant manual, guidelines for the grant evaluation process and templates for grant process documents. As in the case of the multi-indicator tool, which is used to prioritize loan applications to ensure fair and equitable distribution of funds and track the changes in vulnerability as a result of the impacts of the project, lessons learned from the use of the accreditation and grants tool kits will be used to further scale up activities to support a paradigm shift in climate financing mechanisms. Grantees in Antigua and Barbuda have been provided with grant writing training to aid them in their efforts to scale up and replicate. Such training will also be provided when Dominica and Grenada to advance their output 3 implementation process.

Knowledge and learning: The communications teams from the OECS Commission and DOE-ATG have collaborated to develop a comprehensive, gender-sensitive communications strategy and stakeholder engagement plan, with context-specific approaches for the three countries. Staff members of the EEs and the AE have begun to receive training in project M&E and this will be extended to include communications training, in 2022. Additionally, during the reporting year, grantees under output 3 in Antigua and Barbuda, received training in Shelter Management, Climate Change Adaptation and Waterway Management, and Grant Writing. A training-of-trainers approach is being used to ensure that these grantees replicate their training and help to build capacity within the wider population. During COP-26, in Glasgow, the AE collaborated with EEs to share best practices and lessons learned with international audiences, during a variety of events.

Creation of an Enabling Environment: Efforts continue to establish (or strengthen) the institutional arrangements necessary for effective EDA implementation. The establishment of formal and informal linkages among government agencies, service organizations, community groups, private sector businesses, financing bodies and academic institutions has been beneficial to the project. Established networks are presently being leveraged to support implementation in outputs 2, 3 and 4.

Regulatory framework and policies: As part of their training to implement and sustain projects, grantees have received shelter management training which encourages their organizations to ensure that they abide by the OECS Building Code during project implementation, be able to assess damage and keep shelterees safe. Those involved in the on-lending programme must do the same. The best practices for physical adaptation, shared during planning and implementation in outputs 3 and 4, will be supported by the recently developed Regional Sustainable Procurement Policy (SPP), which will both enable grantees and loan applicants to make improvements to help preserve their lives and properties during extreme weather events. An 8-week online SPP course was offered through the OAS School of Governance Virtual Classroom in February. Full scholarships were provided to 22 public officials from the Eastern Caribbean.

2.2.3 Sustainable development potential ²

As per the initial assessment against the investment criteria provided in the Funding Proposal approved by the GCF Board, please provide an assessment of the performance of the funded activity during the relevant reporting period against the investment criteria "Sustainable development potential".

Based on the project activities, the EDA will contribute to the achievement of several Sustainable Development Goals (SDGs) in the executing countries, addressing social, economic, and environmental realities faced by vulnerable populations in the Eastern Caribbean.

The Project is tracking impacts to the SDGs by including indicators that capture progress in the following documents:

- Project M&E Framework;
- Grant application forms and grant tracking tools;
- Loan assessment tool; and
- Sustainable procurement policy and guidelines

For Dominica and Grenada, it is too early in the project implementation to determine impact. Tangible progress should be more evident in the next APR. For activities which have commenced in Antigua and Barbuda, project contribution to Sustainable Development Goals include the following:

SDG 1 End poverty in all its forms everywhere and SDG 3 Ensure healthy lives and promote well-being for all at all ages: Under Output 4, forty (47) loan applications were approved by the SIRFF Board. These include 44 households and 3 businesses. Twenty (20) loan agreements have been signed, including 18 households and 2 businesses. For Output 2, preparatory clearing of drains has begun. This has significantly lessened the presence of stagnant water, thereby reducing health risks associated with mosquito borne diseases. Ongoing removal of overgrown vegetation is expected to assist in reduction of proliferation of vermin such as rats and mice. Once the detention ponds are completed, this should allow the treatment of the drainage waters, in a manner which will allow the water to be safely returned to the surrounding ecosystem with minimum risk.

Under Output 3, total amount of grant funding approved thus far is US\$873,000.00 Under Output 4, total amount of loans approved, during the reporting period is US\$758,878.43 It is expected that implementation activities related to approved funding under Outputs 3 and 4, thus will generate jobs, which will contribute to the economic and social wellbeing of prospective employees. Activities under Output 2 for the infrastructural works of the projects to be implemented, there will be an estimated value of US\$2,580,000 once public sector interventions have been completed. During construction, there will be a need for employment of skilled labourers. There is also the possibility of ancillary services such as food vending. After the project, there should be fewer instances of residents missing paid work due to access and dealing with the effects of flooding.

2.2.4 Needs of the recipient ?

As per the initial assessment against the investment criteria provided in the Funding Proposal approved by the GCF Board, please provide an assessment of the performance of the funded activity during the relevant reporting period against the investment criteria "Needs of the recipient".

Summary

The needs of the project countries continue to change during project implementation. Regionally, increased demands have been placed on available resources to address the fluid nature of a lingering pandemic which ground economic activity to a virtual halt, while exerting constant pressure on most social facets of the Caribbean's collective society. The closure of educational facilities and eventual conversion to global classrooms forced many parents and guardians, mainly women, to remain at home to support their children. This served as an added pressure to a workforce that had been greatly reduced due to large-scale business closures and reduced work hours. Dependence on concessional financing and government subsidies has increased. Continued recovery from category 5 hurricane, persistent drought conditions, increased commodity prices, and ongoing shipping delays have compounded the situation and increased the need for programmes such as those offered by the EDA. Efforts will be made to increase the rate of implementation to assist in providing for the need for financing to implement concrete adaptation interventions to build resilience.

Climate change impacts since approval of FP and the submission of the APR I

During the past year, there has been less impact from hurricanes compared to 2020 when category five storms caused significant damage. Yet, the effects of the 2020 storms are still being felt in all aspects of everyday life, among participating countries. Scarce resources are still being diverted to support education and other social programmes for persons affected by these past disasters. Rebuilding and strengthening of damaged housing stock is still seen as a priority in Antigua and Barbuda and Dominica. Antigua and Barbuda is presently experiencing an extended drought which has exacerbated previously mentioned issues. This has required significant investment of scarce resources to provide potable water to satisfy the needs of the population. These climate impacts coupled with the persistence of the Covid-19 continue to contribute project delays, as they compete with other government priorities.

Covid-19 Impacts

The ongoing Covid-19 pandemic has affected activities in all Caribbean countries. Mass closures of hotels and other sectors of the regional tourism industry have resulted in significant job loss and an increased level of dependency on social programmes and government subsidies. The restrictions placed on travel and social gatherings hindered proposed efforts to provide technical assistance through face-to-face engagements among the country teams. In Antigua and Barbuda, it also limited the number of attendees to stakeholder engagements such as consultations, training events and other activities which required face-to-face interactions. Some EDA Project staff members also contracted the virus and had to take leave to address health concerns. One of the fallouts of the Covid-19 experience is the increase in shipping costs and the resultant increase in commodities which are vital to this project. The effects of these changes have already impacted the implementation of sub-projects under output 3 and 4, and are expected to have an even greater impact on output 2, in all the participating countries

Recipient Needs Assessment Conducted during Reporting Period

In Antigua and Barbuda, a recent report on the economic impact of climate change in Antigua and Barbuda, published during this reporting period, indicates that, on average, Antiguanians pay more than EC\$5000 per annum for hurricane preparedness. Additionally, during periods of drought, such as what Antigua and Barbuda has been experiencing for over a year, many persons in rural communities pay EC\$300 to \$900 monthly to obtain water from private sources, while still maintain payments to the public utility company. In Antigua and Barbuda, the country is still recovering from hurricane impacts, and struggling with drought and grappling with pandemic impacts. This has increased the demands upon persons in vulnerable communities and strengthens the case for providing support, through concessional financing, to build resilience within their communities

Similarly, Dominica's efforts to replenish its housing stock and develop into the first climate resilient nation have been hampered by accessibility to concessional finance. The need for support continues to grow as many people are forced to seek alternate means of income due to pandemic shocks and businesses reel from extended closures and reduced refinancing options.

Though Grenada's is struggling to keep its economy afloat, which has worsened with the emergence of COVID-19. The EDA is still essential to country's medium and long-term fight against climate change. These long-term needs include addressing increased temperatures and the variability in precipitation patterns. The AE continues to seek options on how to achieve these goals within the project timeline.

2.2.5 Country Ownership

The EDA team continued to focus on strengthening existing country systems, through a “bottom up” approach designed to improve access to finance by vulnerable groups, by strengthening capacity in the main country ownership activities:

- Selection of the entities to be accredited;
- Establishment of the bodies to make funding decisions;
- Utilizing local service providers to program the funds in Outputs 3 and 4;
- Utilizing government processes to program the funds in Output 2; and
- Supporting the OECS Commission as the first direct access entity to achieve accreditation during EDA implementation, as well as to provide regional support for M&E and the development of sustainable procurement guidelines

Antigua and Barbuda:

- Term sheets which establish the relationship and responsibilities between the Department of Environment and the Sustainable Island Resource Framework (SIRF) Fund, for the execution of Outputs 3 and 4 were signed
- Finalized Term Sheet with Ministry of Finance – PSIP Unit, and Letter of Collaboration with Ministry of Works for capital projects
- A national multi-level indicator tool is being developed to assess vulnerability. The tool will be used by the SIRF Fund, as well as the national government to conduct vulnerability assessments;
- Local and regional entities are being used to provide independent oversight and support services, including monitoring and evaluation;
- The country has experienced economic difficulties in 2021, but remains committed to meeting its co-financing obligations.

Dominica:

- Cabinet paper developed and submitted to MoE requesting the nomination of the Ministry with responsibility for Environment as GCF designated NDA. The EDA will enable this entity to build a track record in programming funding for climate change adaptation interventions;
- The FMCA for the Ministry of Environment, Rural Modernisation and Kalinago Upliftment was completed by the DOE and submitted to the GCF.
- The Term Sheet (TS)/Agreement between the PSIP and the MoE was signed by MoE and the Ministry of Economic Affairs now the Ministry of Planning, *Economic* Development, Climate Resilience, Sustainable Development and Renewable Energy.
- A Capacity Assessment of the PSIP Unit within the Ministry of Economic Affairs was completed by the DOE and th report submitted to the MOE.
- Cabinet approved the Global Environment Facility-Small Grants Programme as Service Provider for Output 3.
- The Letter of Collaboration and Concept Note were finalized and signed by the MOE and submitted to the UNDP Regional Office for approval/acceptance.

- A Letter of Acceptance was received from the SGP in October.
- An On-Granting Manual was completed and approved by the PMC;
- Cabinet approved the Government Housing Loans Board as SP for Component 4.
- The Subsidiary Agreement was reviewed by the AG's Office and is awaiting further approval of the SP to provide services to the private sector prior to signing.
- EDA Office continues to work with GHLB in the reformatting of hard copy forms to fillables which would facilitate electronic completion.
- A Borrower's Manual and Adaptation Options in Building Manuals were completed.
- A draft Onlending Manual/Investment Guide was developed and submitted to DoE for review.
- The country has experienced a contraction in GDP of 15.4% in 2020, but will be on target to meet its cofinancing obligations if Outputs 2 – 4 are allowed to progress to completion (this will require a 6-month extension);
- Service Providers for Component 3: Global Environment Facility – Small Grants Programme (GEF-SGP) and Component 4: Government Housing Loans Board (GHLB) were approved by Cabinet in March 2021. However, it was drawn to the Ministry's attention by the Attorney General's (AGs) Office that the Service Provider (SP) for Component 4 was unable to provide the service to the private sector due to its current legislation. The matter is currently being addressed by the Ministry of Environment and the Cabinet.
- An Agreement between the Ministry of Environment and GEF-SGP) was signed in October 2021 and Component 3 was formally launched on December 29th, 2021. The Call for Proposal has been released and inquiries are being received; six applications have also been received to date by the SP.

Grenada:

- Training and webinar sessions were held by the OECS through UNEP and the OAS. Staff completed an 8-week course in Sustainable Public Procurement.
- The OECS Monitoring & Evaluation Consultant conducted various training sessions in M&E. The M&E matrix was updated to include the ESMP, Logical Framework and Risk Assessment.
- A Capacity Assessment of the PSIP was completed by the DOE and report was submitted to the MOE.
- Term Sheet between the MOE and the PSIP was developed and signed.
- Project Concept Notes were finalized and full proposal documents for the PSIP projects are currently being completed and the TOR for a Consultant/firm to undertake the EIA, ESMP and GAP are currently being advertised.
- A Subsidiary Agreement between the MoE and the Service Provider for Component 4 was developed, submitted to the AGs Office for review and is awaiting final approval by Cabinet prior to signing by parties.

Grenada is still significantly delayed in its implementation. Bilateral discussions were held, at the ministerial level and the AE was assured by Grenada that the EDA is a priority. The AE, with great respect for Grenada's country ownership privileges, identified the need to change the structure of Grenada's project to allow for completion within the project implementation period. Grenada was proposed a solution to seek to expedite output 2 and, if unsuccessful, to seek to restructure. The AE has agreed to this suggestion.

Conclusion:

While seeking to respect the national procedures and policies of the EEs, the AE has continued to urge participating countries to recognize the need to adjust practices which have been identified as not enhancing their accreditation goals and cause overall disbursement delays in the project. The EEs have acknowledged the need for greater momentum but this is yet to be attained during the reporting period. The AE has continued the process of advocacy through bilateral discussions, group sessions in the sharing of best practices, twining of output leaders to strengthen capacity and advance implementation processes through greater collaboration.

2.2.6 Efficiency and Effectiveness ?

As per the initial assessment against the investment criteria provided in the Funding Proposal approved by the GCF Board, please provide an assessment of the performance of the funded activity during the relevant reporting period against the investment criteria "Efficiency and Effectiveness".

The funded activities are expected to be highly effective in achieving the long-term goals of increased resilience of vulnerable populations in the Eastern Caribbean through increase access to climate finance via a devolved decision making. The project has begun the process of maximizing the impact of GCF grant financing through on-granting and on-lending programmes for enhanced direct access in the public and private sectors.

Financial Structure

The EDA project maintains its aim to maximize the impact of GCF grant financing by devolving decision making to the local level. The first step in accomplishing this was to validate that the necessary financial structure exists within the Executing Entity to manage, disburse and track GCF funds. The project design determined that a Financial Management Capacity Assessment (FMCA) of the EEs in Dominica and Grenada would be completed within 12 months of project effectiveness, thus allowing them to administer their individual budget allocations. The process was initially delayed; however, the FMCAs have been completed by EEs, reviewed and approved AE and submitted to the GCF in 2021. During 2021, the AE continued to make payments on behalf of the EEs. Now that the FMCAs are completed, the intention is to disburse funds to the EEs and allow them greater control over the management of funds.

Co-financing

Commitment to provide co-financing remains constant for all countries in the project. However, the extent of the contributions for the reporting period was affected by delays. These delays were related to the impacts of Covid-19, which also caused a shift in Government priorities to stabilize their respective economies, during extended periods of reduced income. Co-financing contributions for the reporting period—Antigua & Barbuda: US\$107,722.33 52% of commitment for the 2021 period Grenada and Dominica US\$317,119 .00 31% of the 2021 commitments

Effectiveness

It is too early in project implementation to determine effectiveness; however tangible progress should be more evident in the next APR. As mentioned in the previous report, the effects of the external shocks of category five hurricanes and the lingering Covid-19 pandemic have affected both human and financial resources dedicated to making this project effective. These have contributed to increasing costs, especially in the area of construction. Even with the related pandemic restrictions, the SIRF Fund has been efficacious in programming grants and loans in an increasingly efficient manner which permitted grantees and loan applicants to implement projects to increase resilience. In Dominica and Grenada, activities for outputs 3 and 4 have either just launched or are about to be launched. These activities are ongoing, and the effectiveness is being assessed.

2.3 Project Outputs Implementation Status

Please note the below fields are mandatory but only a one-time activity. Please fill out the project output details regardless of any progress made so far, which will be auto-populated in the next APR and on wards.

Use 'Add Row' button to add multiple outputs and/or activities reported against one output

Project Output Name *	
Output 1: Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access	
The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.	
Project Activity Name *	
Activity 1.1 Appoint implementation, oversight and transparency mechanisms with adequate capacity	
Status *	Implementation Progress *
Activity started - progress delayed	38 %

Original timeline planned for this activity *

Q3 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

Key Achievements

The following were achieved during this reporting period:

EE | MOE DOM

Two Project Management Committee (PMC) meetings were held for the reporting period;

- The FMCA for the Ministry of Environment, Rural Modernisation and Kalinago Upliftment was completed by the DOE and submitted to the GCF.
- Cabinet paper developed and submitted to MoE for requesting the nomination of the Ministry with responsibility for Environment as GCF Designated Accredited Entity (DAE).

EE | MOE GRD

- FMCA completed for Ministry with responsibility for the Environment and submitted to the GCF
- Project Implementation Manual updated

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- Technical Assistance Agreement between the DOE ATG and Ministry with responsibility for the Environment Dominica ("MOE DOM") and Grenada ("MOE GRD") executed;
- FMCAs for MOE DOM and MOE GRD approved by GCF;
- Capacity assessment report produced for MOE DOM and MOE GRD;
- Policies, procedures, and manuals to address key GCF fiduciary criteria finalized; and
- Capacity building training conducted with key stakeholders and committees.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 1: Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 1.2 Design a Sustainable Procurement system for construction supplies in pilot countries

Status *

Activity started - progress on track

Implementation Progress *

99

%

Original timeline planned for this activity *

Q3 2019 to Q1 2021

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

Key Achievements

- A Status Assessment of the legal framework for sustainable public procurement in the three pilot countries was conducted.
- A Market Readiness Analysis of Sustainable Construction Materials was prepared identifying key construction products (lumber and concrete blocks) and provided recommendations for the inclusion of sustainability considerations of these products in the public tendering process.
- OECS Model Policy on Sustainable Public Procurement of Construction Materials was produced. Action Plans for each of the three pilot countries, as well as Procurement Guidelines for Sustainable Construction Materials were drafted. The procurement guidelines will be a key tool for guiding procurement practitioners in integrating sustainability considerations for lumber and concrete in public tendering.
- UNEP finalized the Sustainable Procurement Policy ('SPP') Guidelines at a UNEP standard for circulation and prepared the toolkit on the SPP Guidelines.
- Knowledge dissemination and capacity building activities included a three-part webinar series focused on the Caribbean region entitled "Shaping the Future through Sustainable Public Procurement".
- Training was also provided on the new Procurement Guidelines for Sustainable Construction Materials. The objective of the training was to introduce the Procurement Guidelines, describing how sustainability considerations for lumber and concrete (as well as aggregates) could be integrated into the public tendering process.
- An 8-week online SPP course was offered through the Organization of American States ('OAS') School of Governance Virtual Classroom in February 2021. Full scholarships were provided to 22 public officials from the Eastern Caribbean.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- Sustainable procurement policy guidelines published in project countries and at the sub-regional level

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 1: Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 1.3 Support accreditation of direct access entities in the Eastern Caribbean

Status *

Activity started - progress delayed

Implementation Progress *

3

%

Original timeline planned for this activity *

Q4 2019 to Q3 2021

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

Key Achievements

- OECS
- Completed Knowledge and Information Management System Needs Assessment and Gap Analysis Report for the OECS Commission in 2020, reviewed in 2021.
 - Completed Operation Procedures for Life Cycle Management of Records and Information. Reviewed in 2021. This serves as a guide to assist in the better, more efficient management of records and information within any organization.
 - Completed Framework for Information and Record Management Guidelines for consistent and effective records management based on established standards; Completed A Guide to Knowledge Management document.

Accreditation:

The DOE provided the EEs with a technical assistant agreement for consideration and signature which will guide the provisions of in-kind support. The MOE has been formally nominated as the entity to be accredited; Grenada is expected to name the focal point for this work;

Challenges

- The countries delayed their final decision for the entity to be accredited. The start of the accreditation work program was expected for February 2021; however, the TAA was not signed and the countries were not sure how to proceed. This work plan is now delayed 12 months.
- The DOE provided the EEs with a technical assistant agreement for consideration and signature which will guide the provisions of in-kind support. The Accreditation work plan is at risk; however, the AE has been working on developing an accreditation kit for the countries that could reduce the time needed for accreditation.

Lessons learned

- Of the approximately 50 Direct Access Entities between the AF and the GCF, the Caribbean has only 5 entities in our region of these only 3 are national direct access entities. With over 10 years of accreditation time and only a very small number accredited. Having a target of 3 additional entities under the EDA may have been somewhat ambitious. This, coupled with the pandemic, has compounded this already difficult task.
- The EE entities can program grants via Project Management as well as on-granting process at the GCF standards. The AE has a track record and has the drive to get this done. The respective Ministries of Finance of Grenada and Dominica, however, is seeking to instead become accredited. Based on the track record of accreditation no MOF has been accredited in the Caribbean region and only 2 accredited to the GCF. There are over 10 MOE-type entities accredited to the GCF. The lesson here is that the Ministries with responsibility for the Environment are more likely to understand and be passionate about the ESS and gender standards and can easily meet them or fill the gaps. MOF rarely have that capacity and struggle to fill these.
 - All 3 countries can be accredited for grant-making under an EDA modality, they have the capacity and the relevant standards to meet this fiduciary standard.
 - Antigua and Barbuda can easily program funds via an EDA modality for grant-making, loans, as well as project management and is currently piloting equity in the informal business sector. However, with respect to project management the PSIP office (component 2) of the Ministry of Finance will need significant capacity building and training. Building capacity in SIDS is a challenge and takes time, the MOF has however demonstrated a strong political and institutional will towards this approach.
 - In the case of Grenada and Dominica the national systems for procurement, and financial management can be considered at the GCF standards. Capacity for project development, design and implementation, however, is limited in some agencies but is available throughout the Country and the Government and can be mobilized;
 - Antigua and Barbuda's capacity for national project development and implementation is good but there are still significant gaps. Already, the Government has identified these gaps and has applied for additional readiness. The GCF has approved the first MYR for Antigua and Barbuda so that they can have a sustained approach to capacity building.
 - The time needed by all 3 countries to get their accreditation in place can span more than 2 years. An MYR approach is much better to support the countries than the annual readiness approach. This should be taken into consideration when programming readiness for the countries.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- The portal for the accreditation will be opened and documents being uploaded for at least Antigua and Barbuda to upgrade its accreditation to EDA entity; The uploading of documents to the Accreditation portal will be in May 2022.
- Dominica's approach is first to the Adaptation Fund (AF) and then fast-tracked to the GCF, their portal to the AF should be opened and completed in the next reporting period;
- Identify all gaps and assist the countries to apply for readiness funding to fill these gaps;
- The Accreditation kit will be completed and two (2) training workshops completed in each country;
- Three (3) in-country visits and 3 virtual to deliver additional support for the accreditation team;

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 1: Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 1.4 Facilitate effective monitoring and evaluation, and lessons learned

Status *

Activity started - progress delayed

Implementation Progress *

29

%

Original timeline planned for this activity *

Q3 2019 to Q1 2024

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

Key Achievements

- Baseline Assessment completed and approved by the GCF.
- 5-7 training engagements were held with the 3 countries. Basic capacity and knowledge of Results-Based Management (RBM) enhanced in all three country teams.
- Teams have also improved their knowledge about basic RBM as well as ESS monitoring and a better understanding of Means of Verification Baseline for M&E capacity for teams and within DOE; and OECS Commission established baselines and means of verification for all countries and all indicators M&E Matrix (Tracking tool) including clear roles and responsibilities for M&E including time-sensitive updating establishment of a Slack Space for the EDA Project and to support M&E activities facilitating exchange within country teams and between country teams.
- Monthly update meetings institutionalized.
- Draft Country Manual outline prepared.
- A Communication and Training Plan has been drafted.

EE: MOE DOM

- The Financial Management Capacity Assessment (FMCA) for the Ministry of Environment, Rural Modernisation and Kalinago Upliftment was completed by the DOE and submitted to the GCF.
- A Cabinet Paper was developed and submitted to Cabinet for approval of the nomination of the Ministry with responsibility for Environment as a National Direct Access Entity.

Challenges and Lessons Learned

- Limited M&E capacity /Experienced (particularly RBM) in country teams and by M&E Associates/Focal Points
- Lack of updates to Baselines, MOVs
- Lack of clear point of contact for Grenada and lines of communication
- Variable participation by some M&E Associates/Focal Points
- Irregular communication on M&E and engagement by all members of the team on M&E
- Limitations on time for support to individual countries and for crisis management
- Lack of regular update meetings and understanding of ongoing progress with broad project activities
- Delayed comments/feedback on reports
- Lack of regular update meetings and understanding of ongoing progress with broad project activities

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- Finalization of ESS monitoring and updates of the country focused ESMPs
- Finalization of the main country manual and country focussed manual
- Training on gender mainstreaming, the Gender Action Plan, and gender-sensitive monitoring
- Training on the country manuals including with external stake-holders Inputs to the next APR Refresher Training
- Quarterly progress reports completed by M&E Officers and re-viewed by M&E Consultant;
- Quarterly updates to risk registry completed and reviewed by M&E Consultant; and
- Mid-term review completed and lessons learned integrated into implementation approach

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 2: Implement concrete adaptation measures using ecosystem-based approaches where appropriate

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 2.1 Competitively solicit priority adaptation interventions for adaptation in the public sector

Status *

Activity started - progress delayed

Implementation Progress *

34

%

Original timeline planned for this activity *

Q3 2019 to Q4 2020

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

Key Achievements

The following were achieved during this reporting period including the percentage of activities completed:

AE | DOE ATG (88%):

- Continued collection of baseline data;

EE | MOE DOM (50%):

- Three (3) projects were approved by the PMC for implementation.

- Project Concept Notes were finalized and approved and Full Proposals documents for the Public Sector Indicative Programme (PSIP) projects are currently being completed.

- Co-financing for the selected projects were finalized by the Ministry of Planning;

- A Terms of Reference (ToR) for Consultants to undertake the EIA, ESMP, and GAP was developed, approved, and published.

- The Agreement between the PSIP and the MoE was signed by MoE and the Ministry of Economic Affairs now the Ministry of Planning, Economic Development, Climate Resilience, Sustainable Development and Renewable Energy.

- A Capacity Assessment of the PSIP Unit within the Ministry of Economic Affairs was completed by the DOE and report submitted to the MOE.

EE | MOE GRD (38%)

- One PSIP project identified on the Sister Isle of Carriacou and approved by the NCCC

- Project concept now to undergo further development to the full proposal.

Challenges and Lessons Learned

EE MOE DOM

- PSIP Unit continues to be understaffed, which significantly delayed the development of the Concept Notes and by extension the development of the Full Proposals.

- Line ministries also lack the technical capacity to prepare detailed project proposals and will benefit from training in proposal development and costing.

- Covid-19 continued to impact the Public Service which resulted in delays in the implementation of all components

EE | MOE GRD

- The governmental and departmental changes severely slowed the provision of the PSIP project list

- Difficulty in finding a project that was within the public sector portfolio that also met the GCF criteria

- Delayed in decision-making process of the TAC (NCCC)

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- Dominica and Grenada project selection completed and results published;
- PSIP Capacity Assessment completed and public sector capacity building activities determined; and
- Baseline data collected and environmental registries updated

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 2: Implement concrete adaptation measures using ecosystem-based approaches where appropriate

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 2.2 Undertake due diligence and studies on public sector adaptation interventions as needed

Status *

Activity started - progress delayed

Implementation Progress *

46

%

Original timeline planned for this activity *

Q1 2020 to Q2 2021

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

Key Achievements

AE | DOE ATG (90%):

- ESIA, ESMP, and GAP for Cashew Hill sub-watershed projects approved by the GCF;
- Completed data collection for the design of pond systems;
- Finalized technical designs for the project;
- Supported MoW in completion of Full Project proposal;
- Increased community outreach in the upper (Briggins & Belmont) and lower (Cashew Hill & Golden Grove) watershed;
- Increased national outreach on project implementation
- Assisted with drafting of environmental protection order for watercourse

EE | MOE DOM (10%):

No major progress to report.

EE | MOE GRD (0%):

No progress to report.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- Award grants to line ministries in Dominica and Grenada
- Consultancies for technical designs and feasibility studies completed;
- ESIA, ESMP, and Gender Action Plan completed and published;
- Stakeholder consultations and training conducted; and
- Physical planning approval obtained

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 2: Implement concrete adaptation measures using ecosystem-based approaches where appropriate

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 2.3 Implement pilot approaches for adaptation in public infrastructure

Status *

Activity started - progress delayed

Implementation Progress *

4 %

Original timeline planned for this activity *

Q4 2020 to Q3 2022

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

Key Achievements

AE | DOE ATG (11%):

- Finalized Term Sheet with Ministry of Finance – PSIP Unit, and Letter of Collaboration with Minis-try of Works for capital projects
- Purchased a long boom excavator to support project implementation
- Commenced preparatory clearing of the waterway in preparation for project implementation
- Ongoing consultation with health officials as part of the vector control programme
- Purchased equipment to support vector control initiatives

Challenges and Lessons Learned

AE | DOE ATG

- MOW staff experienced delays in advancing the full proposal and other aspects of the output, as a result of delays in the submission approval of the ESIAMP by the GCF, DOE staff health issues related to Covid-19, and other project-related issues.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- Construction activities commence and results being monitored

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 3: Community resilience to climate impacts is enhanced through tangible adaptation benefits

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 3.1 Select community adaptation projects through a competitive small grants facility

Status *

Activity started - progress delayed

Implementation Progress *

46

%

Original timeline planned for this activity *

Q3 2020 to Q2 2022

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

AE | DOE ATG (100%):

- A term sheet, which establishes the relationship and responsibilities between the Department of Environment and the Sustainable Island Resources Framework (SIRF) Fund for the execution of Out-put 3 was signed.
 - Revised the existing DOE Grants Manual and produced guidelines for the grant evaluation process. These two documents were coupled with the production of templates for grant process documents, to support the technical assistance activities provided to the EEs and to improve the efficiency and effectiveness of the on-granting programme.
 - Seven (7) summary applications were received. An internal review of the applications was completed. Four (4) applications were recommended and approved for a technical assistance grant of US \$5,000 per applicant
- PMC approved the provision of grants to four applicants.

EE | MOE DOM (9%)

- Cabinet approved the Global Environment Facility-Small Grants Programme as Service Provider for Output 3.
- The Letter of Collaboration and Concept Note were finalized and signed by the MOE and submitted to the UNDP Regional Office for approval/acceptance.
- A Letter of Acceptance was received from the SGP in October.
- An On-Granting Manual was completed and approved by the PMC;
- Component 3 was officially launched on December 29th, 2021, and was. Aired live on the Government Information Service (GIS) site and the Prime Minister's Facebook page.
- Press releases were sent to all media houses and online
- Call for Proposals was launched.
- Meetings were also held with the National Coordinator/GEF-SGP to discuss administrative matters

EE MOE | GRD (30%):

- Service provider contracts signed. Call of Summary Proposal launched. A total of 23 concepts have been submitted for consideration.

Challenges and Lessons Learned

AE | DOE ATG

- Availability of materials – Material prices have fluctuated due to Covid-19 issues, and this has resulted in shortages. The fluctuation in prices is being tracked to assist in developing more realistic bill of quantities and the resulting disbursement
- Modifications to Covid-19 protocols have caused some delays to activities - Regular consultations only with permission of the Ministry of Health;
- Preparation of the full proposal was a challenge for the Entities however based on lessons learnt from the AF project a TA grant was provided to assist in this regard.

EE MOE DOM

- Significant delays were experienced in the finalization of the Letter of Collaboration and Concept Note and in obtaining the response from the UNDP Regional Office.

EE | MOE GRD

- Delays in implementation

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Complete the project selection process in Dominica and Grenada

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 3: Community resilience to climate impacts is enhanced through tangible adaptation benefits

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 3.2 Communities implement adaptation projects with tangible benefits

Status *

Activity started - progress delayed

Implementation Progress *

7

%

Original timeline planned for this activity *

Q4 2021 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

AE | DOE ATG (20%):

- Representatives of all seven initial applicants, along with other NGOs, received training in the following areas: Climate Change Adaptation and Waterway Management, Shelter Management and Grant Proposal Writing (Theory & Practical).
- Grant agreements have been drafted.

Challenges and Lessons Learned

AE | DOE ATG

Modifications to COVID-19 protocols limited participation in training sessions due to restrictions on public gatherings.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- Project agreements finalized with community organizations;
- Technical evaluation teams complete routine field/site visits and submit re-ports; and
- Training completed for stakeholder organizations and community mem-bers
- Projects implemented and monitored

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 4: Privately owned physical assets of vulnerable populations are more resilient to climate variability and change through concessional microfinancing

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 4.1 Launch the private sector Revolving Fund for adaptation in buildings

Status *

Activity started - progress delayed

Implementation Progress *

33

%

Original timeline planned for this activity *

Q1 2021 to Q2 2022

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

Key Achievements

AE | DOE ATG (100%):

Activity related to "Identify and strengthen existing systems and procedures for the revolving Fund loans programme for adaptation" completed during the previous reporting period

- Calls for application issued.
- Public consultations held

Challenges and Lessons Learned

EE | MOE DOM (8%):

- Cabinet approved the Government Housing Loans Board as SP for Component 4.
 - The Subsidiary Agreement was reviewed by the AG's Office and is awaiting further approval of the SP to provide services to the private sector prior to signing.

- EDA Office continues to work with GHLB in the reformatting of hard copy forms to fillables which would facilitate electronic completion.
- A Borrower's Manual and Adaptation Options in Building Manuals was completed.
- A draft Onlending Manual/Investment Guide was developed and submitted to DoE for review.

EE | MOE GRD (55%):

- Term Sheet and Subsidiary Agreement finalized. Still awaiting signatures.
- Borrower's Manual completed
- Investment Guide completed
- Adaptation options in building completed
- GDB contract finalized. Signature pending

Challenges and Lessons Learned

EE | MOE DOM

- Finalization and signing of the Subsidiary Agreement between the MoE and GHLB was significantly delayed.
- The Subsidiary Agreement was reviewed by the AG's Office and in process the GHLB was still deemed unable to provide the services to the private sector based on its legislation even with the special permission granted by the Minister of Finance.
- The AG's office has provided suggestions for moving forward and the matter is once again being addressed by the MoE and the Cabinet.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- Revolving Loan Process (RLP) in Dominica and Grenada launched with Call for Applications;
- Technical evaluations of privately owned buildings completed and site visit reports from TEC submitted; and
- Loan agreements with beneficiaries signed, disbursements made, and re-payments begin

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 4. Privately owned physical assets of vulnerable populations are more resilient to climate variability and change through concessional microfinancing

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 4.2 Finance adaptation in buildings and manage repayments

Status *

Activity started - progress delayed

Implementation Progress *

13

%

Original timeline planned for this activity *

Q3 2021 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

Key Achievements

AE | DOE ATG (40%):

- Loan agreements with beneficiaries signed, disbursements made, and repayments have begun
- Training of members of the Technical Evaluation team
- Monitoring and evaluation of implementation process
- Management of reflows commenced in October 2021

Challenges and Lessons Learned

This activity has been delayed in Dominica and Grenada. Awaiting completion of preceding activities.

- Initially, there was low uptake of the loan opportunity. COVID-19 issues resulted in significant job loss and reduced interest in accessing loans.
- New strategies to generate more interest in loans to be investigated – nurses, teachers in the sub-watershed to be targeted.
- Availability of Materials and Pricing. One of the many impacts of the COVID-19 pandemic is a scarcity of materials, and price increases on materials that are available. Applicants are encouraged to schedule activities in an efficient manner and submit detailed realistic estimates which include allowances for possible price increases.
- The SIRF Fund has introduced the option of refinancing for the most vulnerable and high-risk applicants with an expressed need.
- The SIRF Fund has introduced the option of repackaging loans geared towards residents living directly inline of the waterway. Since these individuals are at a higher level of risk and longer payback period of twenty years was deemed reasonable by the members of the Project Management Unit
- The processing of a standing order for loan repayment through a loan recipient’s bank. Applicants have been informed that repayments are the responsibility of the loan recipients and not their financial institutions through which they make payments.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- Complete the processing of loan applications
- Continue stakeholder engagement
- Continued management of re-flow process

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 5: Project management

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 5.1 Project management consistent with an EDA programmatic approach

Status *

Activity started - progress delayed

Implementation Progress *

21

%

Original timeline planned for this activity *

Q3 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

Key Achievements

- In Dominica, a Project Officer onboarded in March 2021
- Grenada's Country Coordinator resigned in October 2020 and a new coordinator was hired during the reporting period.
- The Regional Project Coordinator did not renew her contract when it expired in 2021 and a new Regional Project Coordinator was hired.
- A collaborative effort among EDA teams resulted in the sharing of lessons learned and best practices on an international level, during COP 26.
- Bilateral meeting was held with the Ministers with responsibility for the Environment of Grenada and Antigua and Barbuda, the AE, and representatives of the EEs. This meeting was held to allow the Minister to i.) receive updates on the implementation challenges; ii.) consent to some of the proposed mitigation measures suggested by the AE; and iii.) provide leadership in re-establishing commitment to EDA implementation and success. The Grenada team acknowledged the slow pace of implementation and suggested that it be given an opportunity to propose an option for accomplishing its objectives for Output 2, before any further consideration on restructuring that output. The AE agreed and the Grenada team has since submitted a concept note which was reviewed by the AE.

Challenges and Lessons Learned

The need for Ministerial sign-off and Cabinet approval for all project hiring decisions continues to be a significant bottleneck in advancing project activities and has impacted project activities in Dominica and Grenada. The recommended mainstreaming of hiring decisions at the PMC level has not been enacted and this has resulted in delays.

The process of onboarding new personnel has resulted in the need for additional time to ensure that new team members do not only understand the nature of the project but also have an appreciation for the objectives of the project.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- Continued recruitment and/or replacement of staff;
- Administrative, Financial and Technical training; and
- Reporting of project activities ongoing
- Country mission visit to Grenada, Dominica, and St. Lucia (OECS Commission)

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

2.4 Progress Update on the Logic Framework Indicators

Values of Baseline, mid-term target and final targets should be the same from the approved funding proposals unless calculation methodologies were revised in agreements with the GCF. Please attach a supporting document(s) describing the calculation methodology of the current value of all the indicators in Section 5; the indicators cover core, impact, outcome, and output levels. If there is a change in the methodology, you need to include clear justifications for the change and changed values as compared to the previous year.

This sub-section 2.4 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

2.4.1 Core Indicators

Select applicable core indicators

- Mitigation Core Indicator 1 - Tonnes of carbon dioxide equivalent (tCO₂eq) reduced as a result of GCF funded project/programme
- Mitigation Core Indicator 2 - Cost per tCO₂eq decreased for GCF funded project/programme
- Mitigation Core Indicator 3 - Volume of finance leveraged by GCF funding (Disaggregated by public/private source)
- Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme
- Adaptation Core Indicator 2 - Indirect Beneficiaries of GCF funded project/programme
- Adaptation Core Indicator 3 - Number of total beneficiaries relative to total population

Final Target

31300

Final Target (% of female)

50 %

Remarks (including changes, if any)**Antigua and Barbuda:**

38 males

38 females

22 people trained in Shelter Management (12 males; 10 females), 25 people trained in Practical Grant Proposal Writing (16 males; 9 females), 13 people trained in Climate Change Adaptation & Waterway Management (6 males; 7 females).

Zero (0) males and females benefitting from climate-resilient drainage as public sector interventions have yet to begin.

Four (4) Grants approved by Project Management Committee; however, grant agreements have not been signed nor have funds been disbursed.

Eighteen (16) residential loan agreements signed for loans for climate adaptation, including 12 females and 4 males.

Dominica: Fund disbursements have not commenced.

Grenada: Implementation has yet to begin

2.4.2 Impact Indicators

Select applicable impact indicators

- M1.1 Tonnes of carbon dioxide equivalent (tCO₂eq) reduced or avoided as a result of increased low-emission energy access and power generation
- M2.1 Tonnes of carbon dioxide equivalent (tCO₂eq) reduced or avoided as a result of increased access to low-emission transport
- M3.1 Tonnes of carbon dioxide equivalent (tCO₂eq) reduced or avoided as a result of buildings, cities, industries and appliances
- M4.1 Tonnes of carbon dioxide equivalent (tCO₂eq) reduced or avoided as a result of sustainable management of forests and conservation and enhancement of forest carbon stocks
- A1.1 Change in expected losses of lives and economic assets due to the impact of extreme climate-related disasters in the geographic area of the GCF intervention
- A1.2 Number of males and females benefiting from the adoption of diversified, climate resilient livelihood options (including fisheries, agriculture, tourism, etc.)
- A1.3 Number of Fund funded projects/programmes that supports effective adaptation to fish stock migration and depletion due to climate change
- A2.1 Number of males and females benefiting from introduced health measures to respond to climate-sensitive diseases
- A2.2 Number of food secure households (in areas/periods at risk of climate change impacts)
- A2.3 Number of males and females with year round access to reliable and safe water supply despite climate shocks and stresses
- A3.1 Number and value of physical assets made more resilient to climate variability and change, considering human benefits (reported where applicable)
- A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change
- A4.2 Value of ecosystem services generated or protected in response to climate change

A3.1 Number and value of physical assets made more resilient to climate variability and change, considering human benefits (Units: multiple, as applicable)

Please provide ex-post 'Current Value' on a cumulative basis.

Select Units (as many as applicable)

- Persons
- Economic Assets
- Other

Unit - Economic Assets**Currency**

USD

Baseline	Current Value	Mid-term Target	Final Target
0	0	17	25

Remarks (including changes, if any)**Antigua and Barbuda: (0)**

A property evaluator is expected to be brought on by December 2022 to conduct the valuation to determine value of physical assets made more resilient to climate variability and change.

Coverage of ecosystems protected not yet known. Awaiting submission of full PSIP proposal from the Ministry of Works to begin implementation of adaptation interventions. Preparatory works have begun following the approval of the ESIAMP in December. It is anticipated that upon project completion 231.34 hectares of ecosystems will be protected and strengthened.

Grenada: (0)

A project was selected in Carriacou to be implemented.

Dominica: (0)

Three (3) Concept Notes were developed for selected projects; Full proposals are currently being finalised by the PSIP Unit; A Term Sheet was developed and signed by both parties. A Terms of Reference for EIASMP was developed, approved and is currently being advertised.

A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change (Units: multiple, as applicable)

Please provide ex-post 'Current Value' on a cumulative basis.

Use 'Add row' button to add multiple units/sub-indicators

Unit			
Hectares			
Baseline	Current Value	Mid-term Target	Final Target
0	0		
Remarks (including changes, if any)			
<p>Antigua and Barbuda: (0)</p> <p>A property evaluator is expected to be brought on by December 2022 to conduct the valuation to determine value of physical assets made more resilient to climate variability and change.</p> <p>Coverage of ecosystems protected not yet known. Awaiting submission of full PSIP proposal from the Ministry of Works to begin implementation of adaptation interventions. Preparatory works have begun following the approval of the ESIAMP in December. It is anticipated that upon project completion 231.34 hectares of ecosystems will be protected and strengthened.</p>			
<p>Grenada: (0)</p> <p>A project was selected in Carriacou to be implemented.</p>			
<p>Dominica: (0)</p> <p>Three (3) Concept Notes were developed for selected projects; Full proposals are currently being finalised by the PSIP Unit; A Term Sheet was developed and signed by both parties. A Terms of Reference for EIASMP was developed, approved and is currently being advertised.</p>			

2.4.3 Project/Programme-level Outcome & Output Indicators

Please provide ex-post 'Current Value' on a cumulative basis. If you have multiple outputs to be reported against one outcome, you need to write down the same outcome name for every output. Likewise, if you have multiple indicators to be reported against one output, you need to write down the same output name and corresponding outcome name for every indicator.

Use 'Add row' button to add multiple outcomes, outputs and/or indicators.

Results Area Type	Outcome Name		
Adaptation	A5.0 Strengthened institutional and regulatory systems access climate finance from the GCF and other funds.		
Output Name (under the afore-mentioned outcome)			
Not Applicable			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
Indicator Name			
5.2 Number and level of effective coordination mechanisms strengthening of finance related by-laws, regulations and operational procedures			
Unit			
Coordination Mechanisms			
Baseline	Current Value	Mid-term Target	Final Target
0	3	3	6
Remarks (including changes, if any)			
<p>Antigua and Barbuda: (3)</p> <p>(Environmental Protection and Management Act (EPMA), SIRFF Board, SIRFF Regulations)</p> <p>Antigua and Barbuda's Environmental Protection and Management Act (2015) was repealed and replaced by EPMA (2019), thus clearing the way for the operationalization of the SIRF Fund (SIRFF) Board.</p> <p>The SIRFF Board became fully functional in October 2019 and will oversee disbursement and monitoring of the grant and loan applications.</p> <p>SIRFF Board Regulations approved in August 2020, allowing the Fund to begin programming loans.</p> <p>Grenada: GCF policies and procedures integrated into the Agriculture NAP, Food Security Readiness (recently approved), Caribbean Community Climate Change Center Resource Mobilization Action Plan final draft completed, three (3) concept notes submitted to GCF, Project Preparation Development and Management Unit framework development and handbook completed.</p> <p>Dominica: Activities have not commenced.</p>			

Results Area Type	Outcome Name		
Adaptation	A7.0 Strengthened adaptive capacity and reduced exposure to climate risks		
Output Name (under the afore-mentioned outcome)			
Not Applicable			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			

Indicator Name			
7.1 Use by vulnerable households, communities, businesses and public-sector services of Fund-supported tools, instruments, strategies and activities to respond to climate change and variability (Households: disaggregated by male-headed and female-headed)			
Unit			
Direct Beneficiaries			
Baseline	Current Value	Mid-term Target	Final Target
0	41	6600	13200
Remarks (including changes, if any)			
<p>Antigua and Barbuda: 20 loan agreements have been signed out of 47 loan applications approved by SIRF Fund Board, including 18 households and 2 businesses. Two of the households and one business will receive funding in 2022.</p> <p>Grenada: Implementation has yet to begin.</p> <p>Dominica: Service providers have been approved by Cabinet. Component 3 has been launched and the Call for Proposals has been published. Disbursements have yet to begin.</p>			

Results Area Type	Outcome Name		
Adaptation	A7.0 Strengthened adaptive capacity and reduced exposure to climate risks		
Output Name (under the afore-mentioned outcome)			
Not Applicable			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
Indicator Name			
7.1 Number of transparent sustainable financing mechanisms supporting adap-tation in the OECS sub-region			
Unit			
Funding Mechanisms			
Baseline	Current Value	Mid-term Target	Final Target
0	1	3	6
Remarks (including changes, if any)			
<p>Antigua and Barbuda: (1) SIRF Fund</p> <p>Grenada: (0) Cabinet submission awaiting approval.</p> <p>Dominica: (0) PSIP Unit/Min. of Planning and Economic Development (MoPED) and GEF-Small Grants Programme have been approved as Service Providers for Components 2 and 3 respectively. A Term Sheet between the Ministry of Environment and MoPED, was developed and signed by the parties. Government Housing and Loans Board has been approved as Service Provider; A Subsidiary Agreement was developed for approval and signing by the relevant parties.</p>			

Results Area Type	Outcome Name
Adaptation	

A7.0 Strengthened adaptive capacity and reduced exposure to climate risks

Output Name (under the afore-mentioned outcome)

Not Applicable

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

7.2 Number of direct beneficiaries (dis-aggregated by gender) of Fund-supported small grants for adaptation to respond to climate change and variability

Unit

Small Community Adaptation Grants

Baseline

0

Current Value

Mid-term Target

6

Final Target

12

Remarks (including changes, if any)

Antigua and Barbuda:

Four (4) grant applicants have been approved for grant funding. However, grant agreements have not been signed nor have funds been disbursed.

Grenada:

A subsidiary agreement was signed between the Environment Division and the Rural Development Unit (RDU). Call for proposals launched, and applications have been received.

Dominica:

A Letter of Collaboration and a Concept Note were developed and accepted by the GEF-Small Grants Programme through a Letter of Acceptance; A Call for Proposals and press release have been published; On-granting Manual was developed by EDA Project Office and approved by the Project Management Committee; Component 3 was launched on December 29th, 2021.

Results Area Type

Adaptation

Outcome Name

A7.0 Strengthened adaptive capacity and reduced exposure to climate risks

Output Name (under the afore-mentioned outcome)

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

7.3 Number of vulnerable households and businesses that use Fund-supported instruments to respond to climate change and variability (Households: disaggregated by male-headed and female-headed)

Unit

Loans

Baseline

0

Current Value

Mid-term Target

200

Final Target

400

Remarks (including changes, if any)**Antigua and Barbuda:**

20 loan agreements have been signed out of 47 loan applications approved by the SIRF Fund Board, including 18 households and 2 businesses. Two of the households and one business will receive funding in 2022.

Grenada:

A Term Sheet and Subsidiary Agreement were finalised and sent to Legal Affairs for a final review. Investment guides and other manuals have been developed.

Dominica: Government Housing Loans Board has been approved as Service Provider; A Subsidiary Agreement was developed for approval and signing by the relevant parties.

If applicable, please submit a supporting document describing the calculation methodology for the current values provided.

2.5 Report on changes during implementation (include actual and expected changes)

Describe changes to the project during the reporting period. In particular, the report should cover elements such as change of beneficial ownership structure, management changes of the Accredited Entity, policies and other elements relevant for the project, and any other material change that could influence the overall outcome of the project.

The project management structure of the EDA remains unchanged. The DOE ATG continues to fulfill its role as AE and EE for Output 1. There has also been increased collaboration with the OECS Commission for the execution of Activities 1.2 and 1.4, as reflected in Section 2.3 of this report. During the period of review of the FMCAs, the AE supported the EEs in Dominica and Grenada and managed their funds. The FMCAs were submitted to the GCF, and, during the next reporting period, the EEs will manage their funds.

The Executing Entity in Dominica remains as the Ministry with responsibility for the Environment, which is closely supported by the National Designated Authority. In the case of Grenada, the Ministry with responsibility for the Environment and the Department of Economic and Technical Corporation (DETC)/ NDA, jointly share responsibilities for executing the country's EDA. This decision was made based on a decision by the Government of Grenada to give DETC the overarching responsibility for coordinating donor-funded projects.

The Project Management Committee (PMC) in Antigua and Barbuda continues to provide oversight for the regional project. During the present reporting period, two regional Project Management Committee meetings were held, and efforts are still ongoing to seek to have the Chairs of Dominica and Grenada's PMCs, along with a representative from the OECS Commission's PMU join Antigua and Barbuda's PMC meetings when critical EDA related activities are on the agenda. Similar to the role of the Technical Advisory Committee (TAC) at the AE, the National Climate Change Committees (NCCC) in Dominica and Grenada are providing technical oversight for project activities.

Noted changes in the period included the exit of the EDA Project Coordinator. This prompted the transitioning of the Deputy EDA Project Coordinator to assume the EDA Project Coordinator position. Other changes included staffing changes in Grenada

Describe changes to the project during the reporting period. In particular, the report should cover elements such as change of beneficial ownership structure, management changes of the Accredited Entity, policies and other elements relevant for the project, and any other material change that could influence the overall outcome of the project.

2.6 Implementation challenges and lessons learned

Challenge encountered

Delays in hiring staff within the Executing Entities and the lengthy contract negotiation and approval processes in Dominica and Grenada.

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.

Challenge type

Procurement

Impact on the project implementation

High

Measures adopted

- The AE provided the procurement guidelines, aided with the drafting of TORS and contracts, and oversaw the evaluation processes.
- Project staff in Grenada, whose contracts were stalled, had been paid on a month-to-month basis
- Meetings were held with EEs to advise about the need for such processes to be handled expeditiously.

Please check if the above-mentioned challenge(s) has been resolved during the reporting period

The challenge(s) has been resolved during the reporting period.

Lesson learned and other remarks

- The AE continues to note that all hiring within the public sector in Dominica and Grenada must be approved by the Cabinet. This process can take between 2 to 6 weeks, depending on government priorities at the weekly Cabinet meetings. Given the shift in priorities as a result of Covid-19 in 2020, procurement was significantly delayed.
- The AE continues to advise that enabling Permanent Secretaries and the Project Management Committees to approve hiring decisions would help with fast-tracking procurement.

Please check if this challenge is caused by COVID-19 pandemic.

Yes

No

Challenge encountered

Newly appointed personnel must be introduced to the project and buy-in sought.

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.

Challenge type

Operational

Impact on the project implementation

Moderate

Measures adopted

- The AE arranged meetings with new personnel to provide background information. EDA staff coordinated engagements and presented status updates.
- High-level communication between the Project Manager (Director of the DOE) and senior officials were used to build relationships

Please check if the above-mentioned challenge(s) has been resolved during the reporting period

The challenge(s) has been resolved during the reporting period.

Lesson learned and other remarks

- Lack of succession planning within agencies continues to affect project ownership in the short term and contributes to loss of project institutional knowledge.
- Incoming personnel may have their own vision for the project; hence it is very important to quickly engage new appointees to achieve buy-in.

Please check if this challenge is caused by COVID-19 pandemic.

Yes

No

Challenge encountered

PSIP Units in Dominica and Grenada struggled to implement Output 2. Lack of internal capacity and the absence of a clear vision of national priorities continue to impede the advancement of activities.

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.

Challenge type	Impact on the project implementation
Operational	High
Measures adopted <ul style="list-style-type: none"> - Clear eligibility guidelines for funding were developed by the AE and shared with the EEs. - Regular coordination meetings were held to share technical expertise to advance the process. - The AE participated in bilateral meetings to provide guidance and help EDA staff and public sector officials understand the required processes. 	
Please check if the above-mentioned challenge(s) has been resolved during the reporting period <input type="checkbox"/> The challenge(s) has been resolved during the reporting period.	
Lesson learned and other remarks <ul style="list-style-type: none"> - Output 2 is significantly delayed. Implementation will now occur in years 4 and 5 instead of 3 and 4 as previously indicated in the implementation plan. If activities do not commence at the beginning of year 4 (July 2022). The AE will increase its level of technical assistance to assist EEs to implement the Output. 	
Please check if this challenge is caused by COVID-19 pandemic. <input type="radio"/> Yes <input checked="" type="radio"/> No	

Challenge type	Impact on the project implementation
Financial	High
Challenge encountered Since the last APR, the Accredited Entity has continued to encounter financial difficulties that will impede its continued ability to provide the necessary support for EDA project activities, due to the depletion of the AE Fees and the next disbursement being based on progress of Grenada. Fees were utilized to support a range of activities and services in the first two years of project implementation. Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.	
Measures adopted <ul style="list-style-type: none"> - The AE continues to support staff and has been engaging the GCF in renegotiating the terms of the disbursement. 	
Please check if the above-mentioned challenge(s) has been resolved during the reporting period <input type="checkbox"/> The challenge(s) has been resolved during the reporting period.	
Lesson learned and other remarks <ul style="list-style-type: none"> - The AE has exhausted all of its alternate financial resources and will be challenged to provide adequate support for EDA activities without the next disbursement of AE Fees and project financing. While the Government of Antigua and Barbuda would have provided a financial backstop to the AE, given the economic challenges that resulted from the Covid-19 pan-demic, this option is not available at this time. - The AE has made a request to the GCF for a full disbursement of AE Fees in advance of the next disbursement of project funds. This would allow the DOE ATG to ramp up its support to the EEs and advance project implementation 	
Please check if this challenge is caused by COVID-19 pandemic. <input type="radio"/> Yes <input checked="" type="radio"/> No	

Challenge type	Impact on the project implementation
Operational	High
Challenge encountered Inordinate amount of time taken to review and process project documents. Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.	

Measures adopted

Bilateral and Coordination meetings were held to discuss the issue and to devise strategies to overcome the delays incurred.

Proposed Technical Agreements were modified to Technical Memorandum of Understanding and related project activities restructured to allow for activity completion within the available remaining time.

Please check if the above-mentioned challenge(s) has been resolved during the reporting period

The challenge(s) has been resolved during the reporting period.

Lesson learned and other remarks

- The AE continues to advise that enabling Permanent Secretaries and the Project Management Committees to approve hiring decisions would help with fast-tracking procurement.
 - As a result of the inadequate amount of time remaining in the project, the lack of a formal Cabinet Decision for Accreditation, from Dominica, to authorize the accreditation process for the Ministry of Environment, and the time is taken to review and approve documents, the AE will seek to restructure the accreditation activity and amend the outcome indicators.

Please check if this challenge is caused by COVID-19 pandemic.

Yes

No

Challenge encountered

Covid-19 related travel restrictions impeded efforts to execute country mission visits among the AE and EEs.

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.

Challenge type

Operational

Impact on the project implementation

Moderate

Measures adopted

Arrangements were made to utilize virtual solutions to supplement country visits as much as possible.

Please check if the above-mentioned challenge(s) has been resolved during the reporting period

The challenge(s) has been resolved during the reporting period.

Lesson learned and other remarks

The AE will make arrangements for country missions in 2022 to enhance the technical assistance process.

Please check if this challenge is caused by COVID-19 pandemic.

Yes

No

Please choose the severity of overall impact.

Description of levels of severity:

1. On-track with no or minor impact: No or minor impact on project implementation and corresponding annual activities.
2. Facing delays: Implementation progress faced delays in the timeline but did not require any substantial changes in the implementation plan.
3. A minor change(s) required: Changes that are not classified as Major changes but requires intervention from GCF.
4. A major change(s) required: As per paragraph 16 of the Policy on Restructuring and Cancellation - Board Decision B.22/14 paragraph (a). Please find the link to the policy document below.

[GCF Policy on Restructuring and Cancellation](#)
Select a type of the COVID-19 challenges encountered.

Sample challenges for Field Activities:

- Delays in travels, planned training, workshops, conferences, events, and awareness-raising events
- Limited access to project sites especially outer islands
- Postponed field missions for collecting/validating information, and conducting consultations with local stakeholders
- Measures required to ensure the security and safety of workers
- Delays in pilot projects, feasibility/baseline studies

Please describe if any support is required from the GCF to address the COVID-19 impact on your project/programme.

Challenge encountered

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.

Challenge type

Impact on the project implementation

Measures adopted

Please check if the above-mentioned challenge(s) has been resolved during the reporting period
 The challenge(s) has been resolved during the reporting period.

Lesson learned and other remarks

Please check if this challenge is caused by COVID-19 pandemic.
 Yes

 No

Please choose the severity of overall impact.

A minor change(s) required

Description of levels of severity:

1. On-track with no or minor impact: No or minor impact on project implementation and corresponding annual activities.
2. Facing delays: Implementation progress faced delays in the timeline but did not require any substantial changes in the implementation plan.
3. A minor change(s) required: Changes that are not classified as Major changes but requires intervention from GCF.
4. A major change(s) required: As per paragraph 16 of the Policy on Restructuring and Cancellation - Board Decision B.22/14 paragraph (a). Please find the link to the policy document below.

[GCF Policy on Restructuring and Cancellation](#)

Select a type of the COVID-19 challenges encountered.

Supply Chain

Sample challenges for Supply Chain:

- Delays in procurement and importation of materials, and equipment due to halt in production or lack of raw material and supplies
- Logistic challenges leading to loss of business opportunities
- Need for extensions of tender submission dates

Please describe if any support is required from the GCF to address the COVID-19 impact on your project/programme.

2.7 Updated implementation timetable for the Funded Activity

Please submit the implementation time table for the Funded Activity

[Updated Implementation Timeline for the Funded Activity.pdf](#)

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 2 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 2 is complete and ready for submission.

APR CY2021 Section 3: Financial Information - v5 2022-02-26 21:53 +09:00

[APR CY2021] Section 3: Financial Information

Please note that this is section 3 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

	Currency
3.1 Approved Budget for entire project period as per FAA	usd
GCF Funding (Equity)	
0	
GCF Funding (Grants)	
20000000	
GCF Funding (Guarantees)	
0	
GCF Funding (Loans)	
0	
GCF Funding (Results-Based Payment)	
0	
3.1.1 Total GCF Funding	
20000000	

	Currency
3.2 Co-financing	usd
Co-financing (Equity)	
Co-financing (Grants)	
2569360	
Co-financing (Guarantees)	
Co-financing (In-kind)	
Co-financing (Loans)	
Co-financing (Results-Based Payment)	
3.2.1 Total Co-financing	
2569360	

Please confirm the afore-mentioned values are different as per your knowledge. *

No differences to be reported.

3.3 Disbursements Details (Cumulative to this reporting period)

3.3.1 Total GCF Disbursement	Currency
3000000	usd

GCF Equity Disbursement

0

GCF Grants Disbursement

3000000

GCF Guarantees Disbursement

0

GCF Loans Disbursement

0

GCF Results-Based Payment Disbursement

0

GCF Loans Disbursement

0

GCF Senior-Loans Disbursement

0

GCF Subordinated-Loans Disbursement

0

GCF Grants Disbursement

3000000

GCF Non-reimbursable Grants Disbursement

3000000

GCF Reimbursable Grants Disbursement

0

Please confirm the afore-mentioned values are different as per your knowledge. *

No differences to be reported.

3.3.2 Co-Financing Disbursement

0

Choose currency

Please select

Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.3.3 Total Project Disbursement

3000000

Choose currency

USD

Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

Please provide comments on sub-section 3.3, if any.

Choose currency

Please select

3.4 Expenditure details (Cumulative to this reporting period)**GCF Equity Expenditures**

0

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

GCF Grants Expenditures

1155557.78

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

GCF Guarantees Expenditures

0

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

GCF Loans Expenditures

0

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.4.1 GCF Expenditures

1155557.78

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.4.2 Co-financing Expenditures

424841.33

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.4.3 Total Project Expenditures

1580399.11

Please provide comments on sub-section 3.4, if any.**3.5 Investment & Other Income (Cumulative to this reporting period)****Reporting Level for investment**

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

- Project Level
- Accredited Entity Portfolio Level

Choose currency

Please select

Please provide comments on sub-section 3.5, if any.**3.6 Report on AE fees (Cumulative to this reporting period)****Reporting Level for AE fees**

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

- Project Level
- Accredited Entity Portfolio Level

Choose currency

Please select

Project Level AE Fees

-19234.13

Please provide comments on sub-section 3.6, if any.**3.7 Annual Financial Performance Report****Please download the Financial Performance Report Template in Excel.**[Financial Performance Report Template](#)

This sub-section 3.7 is not applicable for REDD+ Results-Based Payments Projects. Please provide a separate 'Financial Progress Details' in Section 6.

Please attach the Annual Financial Performance Report here.[Attachment 1 - GCF EDA APR III Financial Report As of December 2021 \(1\) \(1\).xlsx](#)**3.8 Unaudited Financial Statement****Submit the Unaudited financial statement (as required by FAA)**

(Semi-)Annual Audited financial statement, Interim/Final Evaluation Report should be submitted via separate and dedicated submission channels.

Please provide comments on the attachment.

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 3 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 3 is complete and ready for submission.

APR CY2021 Section 4: Environmental and Social Safeguards & Gender - v5 2022-02-26 21:53 +09:00

[APR CY2021] Section 4: Environmental and Social Safeguards & Gender

Please note that this is section 4 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

4.1 Implementation of environmental and social safeguards and gender elements

Please provide information on the project or programme on the following: (1) key risks and impacts as identified; (2) compliance with applicable laws and regulations including FAA conditions and covenants; and (3) progress in the implementation of environmental and social management plans and programs including monitoring activities undertaken during the implementation of the funded activity.

4.1.1 The information includes description on any changes in the key environmental and social risks and impacts as identified and arising from the implementation including any unanticipated risks and impacts (ex. from changes in laws and regulations) and, based on these if any change in the project's environmental and social risk category. In case of a change in the E&S risk category for the project, please provide an explanation.

There were no notable changes to environmental and social risk during this reporting period. This is based on the assessment of project activities that were achieved and/or planned for the next reporting period. Key risk categories monitored were i.) transparency in decision making and selection of projects; ii.) equal opportunities and inclusion of the socially vulnerable; and iii.) exclusion activities.

In the case of Antigua and Barbuda's project, mitigation measures – such as the Complaints and Grievance Mechanism, are established to ensure high levels of transparency and accountability and provide recourse when necessary. While Dominica and Grenada's project activities are not yet advanced, both countries also have access to the AE's online platform. TORs and RFPs developed for Antigua and Barbuda include all relevant clauses to address the key risks, and these documents will be used as guidance for Dominica and Grenada.

4.1.2 The information should include status of compliance with applicable laws and regulations of the country as well as the relevant conditions or covenants under the FAA. This can be captured in the table below:

Status of compliance with applicable laws and regulations and the conditions and covenants specifically addressing ESS & Gender under FAA

Compliance Type Condition
Compliance Title & Description 9.01(a)(v) Delivery to the Fund by the Accredited Entity of evidence of an approved technical manual and an environmental and social safeguards policy that establish the procedures and requirements related to environmental and social safeguards for projects/programmes;
Status of compliance Fulfilled
Compliance Type Condition

Compliance Title & Description

9.01(a)(vi) Delivery to the Fund by the Accredited Entity of evidence that the Accredited Entity has conducted consultations with all relevant stakeholders of the Funded Activity in each of the three (3) Host Countries, including with possible contractors, micro-lending institutions and beneficiaries;

Status of compliance

Fulfilled

Compliance Type

Condition

Compliance Title & Description

10.02 (b), Covenant: Ensure that no GCF Proceeds are used to support or finance, directly or indirectly, any activities with potential environmental and social risks that are equivalent to Category A pursuant to the Environmental and Social Risks Categories to be conducted as part of the Project;

Status of compliance

Compliant

Compliance Type

Condition

Compliance Title & Description

10.02 (f), Covenant: Undertake and/or put in place any adequate measures in order to ensure that the management of the environmental and social risks and impacts arising from the Funded Activity complies at all times the recommendations, requirements, and procedures set forth in the Environmental and Social Management Plan ("ESMP"), which was provided by the Accredited Entity to the Fund before the Approval Decision;

Status of compliance

Compliant

Compliance Type

Condition

Compliance Title & Description

10.02 (g), Covenant: Obtain, or ensure that the Executing Entity(ies) and/or Final Beneficiary(ies) shall obtain, all land and rights in respect of land that is required to carry out the Funded Activity and that such land acquisition shall not result to involuntary resettlement (either economic or physical displacement, or both) and shall promptly furnish to the Fund, upon its request, evidence satisfactory to the Fund that such land and rights in respect of the land are available for the purposes of the Funded Activity;

Status of compliance

Compliant. The approved ESIA/MP for construction projects under Output 2 in Antigua and Barbuda has confirmed that there will be no acquisition of land or displacement of persons/property during the implementation of works.

Note that activities in Dominica and Grenada have not yet started.

Compliance Type

Condition

Compliance Title & Description

10.02 (h), Covenant Prior to commencing any construction works or activities for the implementation of the Project, submit to the Fund a detailed ESMP related to the relevant construction works or activities to be executed, in a form and substance satisfactory to the Fund

Status of compliance

Compliant.

- ESMP for construction projects under Output 2 in Antigua and Barbuda has been completed and approved by the Fund on 8th December 2021

TORS will be adapted for consultancies in Dominica and Grenada

4.1.3 Provide a report on the progress made during the reporting period in implementing environmental and social management plans (ESMPs) and frameworks (ESMFs) describing achievements and specifying details outlined in the tables below.

Implementation of ESMPs and ESMFs**Activities implemented during the reporting period, including monitoring**

Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access

Outputs during the reporting period

- Oversight committees (PMC) established in Dominica and Grenada;
- Implementation manuals updated for Dominica and Grenada;
- Technical Assistance Agreement developed by AE for the execution of Output 1

Key environmental, social and gender issues, risks and impacts addressed during implementation

TORs highlighted the need for gender balance and the inclusion of persons with different abilities.

Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

Re-engage sub-regional steering committee ensuring adequate gen-der balance

Activities implemented during the reporting period, including monitoring

Governments implement concrete adaptation measures using ecosystem-based approaches where appropriate

Outputs during the reporting period

ESMP for public sector interventions in Antigua and Barbuda produced and approved by the GCF.

Key environmental, social and gender issues, risks and impacts addressed during implementation

Finalized ESMP highlights potential ESS risks in the Cashew Hill watershed and outlines practical measures to minimize risks.

Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention**Activities implemented during the reporting period, including monitoring**

Community resilience to climate impacts is enhanced through tangible adaptation benefits

Outputs during the reporting period

- Grants manual developed;
- On-granting documentation finalized including guidelines for operationalizing Output 3

Key environmental, social and gender issues, risks and impacts addressed during implementation

Call for Proposals and application documentation include information on exclusion activities; and
Selection criteria designed to flag ESS risks in project activities

Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

CBOs, NGOs will receive training in identifying and mitigating against ESS risks prior to the development of project proposals

Activities implemented during the reporting period, including monitoring

Privately owned physical assets of vulnerable populations are more resilient to climate variability and change through concessional microfinancing

Outputs during the reporting period

- Term Sheet for engagement finalized by SIRFF Board;
- Call for Applications launched in Antigua and Barbuda

Key environmental, social and gender issues, risks and impacts addressed during implementation

- Application and information documentation highlight exclusion; and
- Loan approval criteria will capture potential risks that applicants to address/resolve before funds are disbursed

Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

4.1.4 AEs are obligated to inform executing entities, people and project beneficiaries about the GCF's Independent redress Mechanism and the AE's own Grievance Redress Mechanism. This includes bringing the contact details, accessibility, and basic procedures of such mechanisms to the attention of executing entities, people and project beneficiaries. Please provide detailed information on the steps taken by the AE to fulfill this obligation during the reporting period in the project target area and to the public, including the dissemination of information through meetings, brochures, hotlines, and other means.

Please provide detailed information including dates and venues of activities, number of attendees, confirmation that information was provided on the 1) IRM and 2) AE's grievance redress mechanism 3] project-level grievance mechanism (where applicable).

Implementation of the grievance redress mechanism

Project executing entities and key stakeholders were informed of the GCF's policies relating to grievances and redress during project development, post-approval, and at the three-day Inception Workshop. Antigua and Barbuda's GCF Build project team and local stakeholders are set to receive training on the AE's Grievance Redress Mechanism (GRM) in 2022.

The AE's GRM is hosted on the DOE ATG's website at <https://environment.gov.ag/contact> where there are direct links to three (3) Complaints Forms:

i.) External Complaints / Project Complaints

at <https://app.smartsheet.com/b/form/cb1a124a74464029b91c9fbc05d024f2>

ii.) Whistleblower Complaints

at <https://app.smartsheet.com/b/form/54c535bc963544ebb2ddaa2e1ce18958>

iii.) Environment Complaints (Biodiversity and Protected Areas Unit) at

<https://app.smartsheet.com/b/form/0b30e9d9a985438f88d3595978ec5475>

The DOE will be conducting training workshops in 2022 on updates to its complaints/grievance mechanism process

Complaints could be submitted in persons at:

Department of Environment

#1 Victoria Park Botanical Gardens

Factory Road

St. John's, Antigua, W.I.

Tel: +1268 462 4625

OR Emailed to "antiguaenvironmentdivision@gmail.com" or doe@ab.gov.ag.

An instructional video on the use of AE's GRM can be found at "<https://www.youtube.com/watch?v=OTaAUiawPcA>"

An instructional video on the use of AE's GRM can be found at <https://www.youtube.com/watch?v=OTaAUiawPcA>

4.1.5 Include a description of the actions undertaken during the reporting period towards increasing the relevant stakeholders' engagement in the project environmental, social and gender elements.

The information in this subsection should be provided for all projects regardless of the E&S risk category for the project.

Implementation of the stakeholder engagement plan

Activities implemented during the reporting period

Output 3 – Grants Inception Workshop

Dates and venues of engagement activities

Date: 18th February 2021
Venue: Virtual

Information shared with stakeholders

Overview of the EDA Project to include:

- Budget
- Indicative Adaptation Activities
- Eligibility Criteria
- Steps in the Grants Process
- Required Grant Proposals
- Technical Expert Committee and Technical Evaluation Committee
- Grants Kit
- Required deliverables

Outputs including issues addressed during the reporting period

Output 3 Inception Workshop Report

Activities implemented during the reporting period

Climate Change and Water-way Management Work-shop

Dates and venues of engagement activities

Date: 28th April 2021
Venue: In-Person

Information shared with stakeholders

- Information on Climate Change and climate change impacts
- Information on Waterways and Water-way Management

Outputs including issues addressed during the reporting period

Climate Change and Waterway Management Work-shop report

13 stakeholders trained and informed on general climate change issues and waterway management (6 males; 7 females)

Activities implemented during the reporting period

Grant Proposal Writing Workshop

Dates and venues of engagement activities

Date: 22nd April, 2021
Venue: In-Person

Information shared with stakeholders

- Information on the GCF grant process and grant writing

Outputs including issues addressed during the reporting period

25 stakeholders trained in practical grant proposal writing (16 males; 9 females)

Grant Proposal Writing Workshop Report

Activities implemented during the reporting period

Shelter Management Work-shop

Dates and venues of engagement activities

Dates: 26th and 27th April 2021
Venue: In-Person

Information shared with stakeholders

- Information on management of shelters to include:
- Administration of Shelters
- Vulnerable groups
- Physical spacing and health considerations
- Shelter operation
- Trauma psycho-social Support recovery for children

Outputs including issues addressed during the reporting period

22 stakeholders trained in shelter management and the development of shelter management plans (12 males; 10 females)

Shelter Management Workshop Report

Activities implemented during the reporting period

Arbour Day – Presentation

Dates and venues of engagement activities

Dates: 26th November 2021
Venues: In-Person and Virtual

Information shared with stakeholders

- Information on
- Climate change im-pacts
- Background on the EDA Project,
- The availability and benefit of climate financing

Outputs including issues addressed during the reporting period

EDA Seminar report

Activities implemented during the reporting period

Public Consultation

Dates and venues of engagement activities

Date: 24th December 2021
Venue: In-Person

Information shared with stakeholders

- Information on:
- Background on the EDA Project
- Information on Component 2
- Information on Component 3
- Information on Component 4

Outputs including issues addressed during the reporting period

Interview on the Cashew Hill Watercourse Infrastructure Rehabilitation project. See link:
<https://www.youtube.com/watch?v=EUBrtbWfJAQ>

Activities implemented during the reporting period

Bilateral meeting with Ministers with responsibility for the Environment in Antigua and Barbuda and Grenada, DOE officials, and EDA team leaders from Grenada and Antigua

Dates and venues of engagement activities

Information shared with stakeholders

- Updates on the implementation challenges of the EDA Project in Grenada

Outputs including issues addressed during the reporting period

The Grenada team requested and was granted an opportunity to propose an action to accomplish Output 2. A concept note was subsequently submitted.

Activities implemented during the reporting period

Cabinet Meeting

Dates and venues of engagement activities

Date: 24th November 2021

Venue: Cabinet of Antigua and Barbuda

Information shared with stakeholders

Updates on Components 1,2,3 and 4 of the EDA Project to include:

- The Executing Entities accreditation status and progress on Component 1
- The construction of drains and ponds in Cashew Hill for Component 2
- Component 3 provision of grants to the Cashew Hill Community
- Component 4 and the provision of loans to homeowners and businesses in the Cashew Hill community

Outputs including issues addressed during the reporting period**Activities implemented during the reporting period**

Bilateral meeting held between the Ministers with responsibility for the Environment of Grenada and Antigua and Barbuda, Antigua and Barbuda DOE officials, and EDA team leaders in Grenada and Antigua.

Dates and venues of engagement activities

Date: 30th November 2021

Venue: Virtual

Information shared with stakeholders

This meeting was held to allow the Minister from Grenada to i.) receive up-dates on the implementation challenges; ii.) consent to proposed mitigation measures suggested by the AE; and iii.) provide leadership in re-establishing commitment to EDA implementation and success.

Outputs including issues addressed during the reporting period

The Grenada team acknowledged the slow pace of implementation and suggested that it be given an opportunity to propose an option for accomplishing its objectives for Output 2, before any further consideration on restructuring the output. The AE agreed and the Grenada team has since submitted a concept note which was reviewed by the AE.

Activities implemented during the reporting period

Meeting with Public Sector Investment Programme Unit (Dominica)

Dates and venues of engagement activities

Dates for Coordination Meeting /Updates:

Jan, 2021

Feb, 2021

Mar-15, 2021

Jul-24, 2021

Sep-1, 2021

Oct-27, 2021

Venues: Virtual – ZOOM

Information shared with stakeholders

- Status updates on Project Concept Notes were discussed on each of the three projects.
- PSIP reminded of the time frame for implementation.
- Co-financing was dis-cussed
- The PSIP Unit was informed of correspondence from the DOE and the signing of the PSIP Term Sheet was discussed.
- Discussed challenges and way next steps
- ESIAMP Consultant ToR Review and published

Outputs including issues addressed during the reporting period

NEXT STEPS:

- PSIP Unit revised Co-financing and provided the document to EDA;
- PSIP Unit to continue to work with line minis-tries to fast forward completion of project Concepts and Proposals
- EDA/PSIP finalized Term Sheet and signed
- PSIP to request Full Proposals from Minis-tries and assisted in the population of same.
- ESIAMP Consultant ToR developed, approved, and published

Activities implemented during the reporting period

Global Environment Facility- Small Grants Programme (GEF-SGP)
(Dominica)

Dates and venues of engagement activities

Dates of Coordination Meeting/Update:

Jan-28, 2021
Feb, 2021
Mar-31, 2021
May, 2021
Jul-6, 2021
Jul-22, 2021
Sept- 13, 2021
Oct-28, 2021
Dec-21, 2021
Dec-23, 2021

Venue: Virtual – WhatsApp/ZOOM/Telephone

Information shared with stakeholders

- Updated SP on Agreement status
- Respond to SP's questions on the need for a TAC/, GEF's SGP launch, and additional projects not discussed previously
- Potential projects were shared with the EDA team by the SP.
- Use of CN as application
- Grant Agreement following feedback from the AG's Office
- Clarification on organizations eligible for receiving funding

Outputs including issues addressed during the reporting period

GEF updated Concept Proposal to reflect EDA criteria
GEF reached out to community organisations to inform on the EDA Project and the availability of funding and projects which may be eligible for funding under the EDA Project.

Activities implemented during the reporting period

Division of Agriculture/[PSIP Projects]

Dates and venues of engagement activities

Date of Meetings:

Jul-8, 2021 |
Jul-26, 2020 |
August 13, 2021 |

Venues: In per-son/Virtual-ZOOM

Information shared with stakeholders

Assistance provided by the EDA Project Office in the development of Concept Notes

Outputs including issues addressed during the reporting period

The EDA team met with the DoA team and assisted in the development of the Concept Notes which were long overdue. Provided technical and administrative support.

EDA Team reviewed the final document and resubmitted it to PSIP to provide relevant co-financing figures

EDA Project Office requested Full Proposals forms from DoA to assist in the development/enhancement of PSIP forms;

EDA worked with the relevant line ministries to complete CN and submitted it to PSIP.

Activities implemented during the reporting period

Knowledge Sharing - Public

Dates and venues of engagement activities

Dates:

Apr-16,2021|

2:30pm-3:30pm

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Medium: Radio

Information shared with stakeholders

Information on the project. Outlined outputs and activities, eligible for funding under each output.

Outputs including issues addressed during the reporting period

Updating the EDA website with relevant information.

Activities implemented during the reporting period

Readiness progress meeting

Dates and venues of engagement activities

Date of meeting:

26 Jan 2021,

Venue: Virtual

Information shared with stakeholders

Readiness proposal/ Pro-ject document – budget, procurement, and implementation plan.

Outputs including issues addressed during the reporting period

Identification and assignment of preliminary tasks needed to start on tasks needed to kick off readiness

Excel spreadsheet combining budget, procurement, and implementation plan.

Activities implemented during the reporting period

PSIP – meeting with PS and technical staff for project selection

Dates and venues of engagement activities

Date of Meeting:

27 Jan 2021

Venue: In-person

Information shared with stakeholders

EDA PSIP criteria for projects

Outputs including issues addressed during the reporting period

Selection of three projects from PSIP list: Beausejour drainage, Dragon Bay jetty, and Mt. Craven

Activities implemented during the reporting period

NCCC (TAC) meeting

Dates and venues of engagement activities

Date of Meeting:
17 Feb 2021

Venue: Virtual

Information shared with stakeholders

Submission of concept notes for consideration for PSIP

Outputs including issues addressed during the reporting period

Project were deemed needing a stronger project rationale. Sent back for revamping

Activities implemented during the reporting period

Site visit

Dates and venues of engagement activities

Date of Meeting:
3 March 2021

Venue: in person

Information shared with stakeholders

• Beausejour and Drag-on Bay site visit

Outputs including issues addressed during the reporting period

Deemed plausible to fit GCF criteria

Activities implemented during the reporting period

Site visit

Dates and venues of engagement activities

Date of Meeting:
27 March 2021

Venue: In-person

Information shared with stakeholders

• Mt. Craven site visit

Outputs including issues addressed during the reporting period

Deemed unsuitable for the GCF criteria

Activities implemented during the reporting period

Technical Meeting Beausejour/Dragon Bay

Dates and venues of engagement activities

Date of Meeting:

9 April 2021

Venue: Virtual

Information shared with stakeholders

- Determination for potential placement of the Jetty and assessment of the community drainage issue.

Outputs including issues addressed during the reporting period

Continued updates to concept notes for Beausejour & Dragon Bay

Activities implemented during the reporting period

Technical advisory meeting site visit - with Lyndon Bullen and Olando Harvey – Jetty placement

Dates and venues of engagement activities

Date of Meeting:

13 April 2021

Venue: In-person

Information shared with stakeholders

Physical Review of the bay area and drainage with the civil engineer

Outputs including issues addressed during the reporting period

Recommendations were made for the continued updates to concept notes for Beausejour & Dragon Bay

Activities implemented during the reporting period

Technical meetings with the following govt professionals
Lydon Bullen- Min. of Works

Olando Harvey – Marine biologist – Fisheries division
Trevor Thompson Min. of agriculture

Dates and venues of engagement activities

Date: July 2021

Venue: In-person

Information shared with stakeholders

Draft PSIP concepts note: Beausejour drainage, Drag-on Bay jetty and coral nursery, Lauriston restoration, and rehabilitation project

Outputs including issues addressed during the reporting period

Enhancement of various areas of the PSIP concepts.

Activities implemented during the reporting period

Project update meeting PS Stephen

Dates and venues of engagement activities

Date 22 November 2021

Venue: 1 In-person and virtual

Information shared with stakeholders

Updated PSIP concepts: Beausejour drainage, Dragon Bay jetty and coral nursery, Laursiton restoration and rehabilitation project

Outputs including issues addressed during the reporting period

Refocused on the Lauriston Restoration & Rehabilitation Project as the best option. NCCC approved

Activities implemented during the reporting period

Meeting to finalise Lauriston Concept note for NCCCC approval and submission to the DOE Antigua.

Dates and venues of engagement activities

Date of Meeting: December 2021

Information shared with stakeholders

Work done to complete the draft concept note for the Lauriston restoration and rehabilitation Project

Outputs including issues addressed during the reporting period

Draft concept note submitted

Activities implemented during the reporting period

Meeting with Minister Steil and PS Stephen (Grenada)

Dates and venues of engagement activities

Dates of Meetings:

January 21ST, 28TH, 2021

Venue: In-person

Information shared with stakeholders

Updates on EDA project Component 3, ways Project Managers and ministers can use their influence to expedite progress. These meetings were held almost monthly.

Outputs including issues addressed during the reporting period

Issues addressed were project delays, alternatives to achieving milestones

Activities implemented during the reporting period

Meetings with NGOs in Grenada and Carriacou (Grenada)

Dates and venues of engagement activities

Dates of Meetings:

Feb-16, 17, 2021 –

Venue: Virtual

Information shared with stakeholders

Provide background information and possible opportunities for funding under the EDA

Outputs including issues addressed during the reporting period

Providing NGOS with relevant information and support to develop summary concepts for submission.

NEXT STEPS:

Continue working with NGOS to clarify any questions and support development of concepts.

Activities implemented during the reporting period

Meetings with NCCC (Grenada)

Dates and venues of engagement activities

Dates: February 17th (PSIP), May 31st (ON GRANTING), September (PSIP)

Venue: Virtual – MS Teams

Information shared with stakeholders

Provide updates and share On-Granting manual for component 3 for review and feedback as well as share list of potential PSIP projects

Outputs including issues addressed during the reporting period

NCCC gave its commitment to support the project and SPs in attendance gave their commitments to meet with EDA staff.

NEXT STEP:

On granting- Upon completion of review, manual will be sent to PMC for review and updated accordingly.

PSIP- once projects are approved, if any, they will be shared with PMC

Activities implemented during the reporting period

Meeting with Minister for Climate Resilience & the Environment and the Minister of Ministry of Tourism, Civil Aviation, Climate Resilience & the Environment

Grant Writing (Grenada)

Dates and venues of engagement activities

Dates: April 29, 2021

May 10TH and 11TH

Venue: Virtual – MS Teams

Information shared with stakeholders

The purpose of the meeting was to discuss the Projects that fall under the scope of Climate Resilience and the Environment, and the way forward.

Development and Revision of on granting manual.

Outputs including issues addressed during the reporting period

Ways of improving templates and reporting mechanisms and flow charts.

NEXT STEPS:

Edit comments to be incorporated in documents for following meetings.

Activities implemented during the reporting period

Women of Parliament Caucus (Grenada)

Dates and venues of engagement activities

Dates: Feb-18, 2021

Venue: Virtual – MS Teams

PM Meeting

Information shared with stakeholders

Discussing possible support and collaboration with infrastructure on projects in line with EDA.

Outputs including issues addressed during the reporting period

Cabinet Submission where the Ministry w/ responsibility for the Environment was nominated as the entity to be accredited to the GCF needs to be provided

NEXT STEPS:

PS to check Cab Sec for Submission/Approval;

CC candidates to be engaged for an interview before the end of December;

Dr. George to make a presentation of potential PSIP project to January's NCCC meeting

Activities implemented during the reporting period

PSIP Technical staff meeting (Grenada)

Dates and venues of engagement activities

Dates: Jan 27, 2021

Venue: In person

Information shared with stakeholders

Meeting held to select possible projects for PSIP

Outputs including issues addressed during the reporting period**Activities implemented during the reporting period**

Meetings with GDB (Grenada)

Dates and venues of engagement activities

Dates: June 28th, July 29th, September 29th

Venue: Virtual

Information shared with stakeholders

Several meetings were held with Natasha Joseph from GDB to assist in the Development of manuals and discuss contract negotiations

Outputs including issues addressed during the reporting period

Development of the Investment Guide, Borrower's Manual, and finalization of Contract negotiations.

NEXT STEPS: Completion of all component manuals and contract negotiations.

Activities implemented during the reporting period

EDA Project Implementation Planning – OECS Commission
(Antigua and Barbuda)

Dates and venues of engagement activities

Dates: Jun-12, 2020 |

Venue: Virtual – Google Meet

Project Coordination Meeting

Information shared with stakeholders

Status of counter-signed contract from the Director General's office;

Progress of recruitment for KIMS, M&E, and Sustainable Procurement Consultants

Update on OECS Accreditation process and technical assistance to be offered under the EDA project;
Work planning and institution of monthly meetings between the DOE and OECS

Outputs including issues addressed during the reporting period

DOE will participate in procurement, i.e. aid in the evaluation and interview processes;

Director-General has signed the contract and it should have already been forwarded back to the DOE

NEXT TIME:
DG's office to forward the signed contract. EDA team to arrange 25% payment once the contract is received.

Procurement Team to forward evaluation kits to DOE team members to be involved in the recruitment process.

Activities implemented during the reporting period

Public

Dates and venues of engagement activities

On-going
(in-person, virtual, online, print media)

Information shared with stakeholders

Information on project timelines and proposed activities – explaining delays and setbacks;
Types and choice of projects and expected impact for the residents and the wider watershed;
Opportunities for jobs, training, and up-skilling;

Outputs including issues addressed during the reporting period

Upgrading the public disclosure platform

Activities implemented during the reporting period

OECS KIM – Engagement with Entity, Grenada Environmental Division, seeking accreditation

Dates and venues of engagement activities

Dates: January 26, 2021
Venue: Activity - FMCA Completion discussion (Virtual Meeting)

Information shared with stakeholders

Guidance on FMCA completion provided for successful completion

Outputs including issues addressed during the reporting period

Grenada to complete FMCA.

Activities implemented during the reporting period

OECS M&E - Build Capacity at national level to support data aggregation at regional level

Dates and venues of engagement activities

March-May 2021
Dates: July – September 2021

Information shared with stakeholders

- Brief Introduction to Results-Based Management (RBM) + monitoring – Part 1
- Brief Introduction to Results-Based Management (RBM) + monitoring – Part 1 (Grenada training)
- Brief Introduction to RBM + Smart Indicators (all countries)
- Brief Introduction to M&E: MOV Exercise

GCF Environmental and Social Standards - An Introduction & its application and monitoring

Outputs including issues addressed during the reporting period

Results-Based Management Documentation on Planning, Monitoring, and Control.

- Practical Exercise 1 - To review Component 2 of the Environmental and Social Management Plan per country to contextualise it, update it and turn it into a practical tool that can be effectively monitored by the country teams.
- IFC Questions for Training
- Homework Assignment - Country Teams considered (i) capital projects and (ii) community-based projects and update possible risks related to the issues in Tables provided as part of the Performance Standard (PS 4) on Community Health, Safety and Security

Activities implemented during the reporting period

OECS Procurement - Provide ESS, Gender, and fiduciary services contingent on specific needs of nominated AEs

Dates and venues of engagement activities

Dates: July and September 2021

Information shared with stakeholders

Workshops dealing with GCF Environmental and Social Standards and the development of country Environmental and Social Management Plans

Outputs including issues addressed during the reporting period

Environmental and Social Management Plans

Activities implemented during the reporting period

OECS Procurement - Introduction of project consultants

Dates and venues of engagement activities

Date: January 14, 2021

Information shared with stakeholders

- Introduce consultants and new team members
- Review consultants' work plans
- Discuss next steps

Outputs including issues addressed during the reporting period

- Work Plans
- Consultants

Activities implemented during the reporting period

OECS Procurement - Review of preliminary findings of market analysis and status assessment

Dates and venues of engagement activities

Date: February 18, 2021

Information shared with stakeholders

- Review preliminary findings of market readiness analysis of construction materials and status assessment
- Discuss challenges and next steps

Outputs including issues addressed during the reporting period

Market readiness analysis of construction materials
status assessment

Activities implemented during the reporting period

OECS Procurement - Review of model policy and status assessment

Dates and venues of engagement activities

Date: March 18, 2021

Information shared with stakeholders

- Review and discuss findings and recommendations of the Status Assessment and the OECS Model Policy for SPP of construction materials
- Discuss planning for bilateral meetings

Outputs including issues addressed during the reporting period

OECS Model Policy for SPP of construction materials

Activities implemented during the reporting period

OECS Procurement - Stakeholder consultation Antigua and Barbuda

Dates and venues of engagement activities

Date: April 1, 2021

Information shared with stakeholders

To discuss the draft OECS Model Policy, determine the priority sustainability considerations of the selected construction materials, define the best approach for integrating these considerations into public procurement practices, and begin mapping out the road ahead.

Outputs including issues addressed during the reporting period

OECS Model Policy on Sustainable Public Procurement of Construction Materials

Activities implemented during the reporting period

OECS Procurement - Final Model Policy, Market Analysis and Guidelines outline

Dates and venues of engagement activities

Date: May 18, 2021

Information shared with stakeholders**Outputs including issues addressed during the reporting period****Activities implemented during the reporting period**

OECS Procurement - National workshop in ATG/DOM/GRD

Dates and venues of engagement activities

Date: May 28, 2021

Information shared with stakeholders

Stakeholders were also engaged in the development of Sustainable Public Procurement Action Plans for each of the three pilot countries. The training was also provided on the Procurement Guidelines for Sustainable Construction Materials.

Outputs including issues addressed during the reporting period

- Procurement Guidelines, describing how sustainability considerations for lumber and concrete (as well as aggregates) could be integrated into the public tendering process.
- Sustainable Public Procurement Action Plans

4.1.6 Implementation of the grievance redress mechanism - list on the grievances received in the reporting period with the description of the grievance, the date the grievance was received, and the resolution of the grievance.

Description of issues/complaints received during the reporting period

Please specify to which grievance mechanism the complaint was filed (AE grievance mechanism or project-level grievance mechanism, if known, please explain relationship of the complainant to the project (for example: community member, contractor, business-owner, etc.))

Date of receipt

Please provide information on any monitoring and follow-up activities.

Description of resolution

Status of addressing issues/complaints

Based on GRM's own policies, provide information related to phase of complaint (for example: including but not limited to Open, Open: Under investigation, Open: Problem Solving/ Compliance Review, Closed)

If you wish to share more details on the nature of grievances reported above, please download the Grievance Tracker template from the FAQ and submit it as an attachment here.

In case you want to keep the file confidential, please choose your preference accordingly in Section 1.1.

4.2 Gender Action Plan

The EDA Gender Action Plan, detailed in the activities outlined in Section 4.2.1, identifies principle project activities through which gender-specific strategies, actions and outcomes will be monitored during the project implementation period. Progress anticipated by the end of this reporting period was impacted by the delay in project implementation (as previously described in Section 2), particularly in Dominica and Grenada. Implementation of the activities in Antigua has also been delayed due to the impact of the COVID-19 pandemic, particularly restrictions on in-person gatherings. Training for vulnerable groups for example via virtual means rather than through in-person meetings, is not always possible as internet access is not always available for certain groups. However, during the reporting period, the project was able to commence some training programmes through a hybrid system (accommodating some persons online and those who are unable to join online, in-person). As the project continues implementation in 2022, this will form the permanent strategy for completing training workshops under the project.

While there has been progress on the implementation of the GAP in Antigua and Barbuda, this is not the case for Grenada and Dominica as project implementation in both countries has been significantly delayed. The AE team will be working with the Grenada and Dominica team to finalize a workplan in the first quarter of 2022 which includes specific activities for the implementation of the GAP

Please see Section 4.2.1 below for more detailed information on challenges encountered and lessons learned during the reporting period.

Provide a progress report on the gender action plan developed during project preparation stage for the reporting period. This will primarily be a report on activities undertaken and results achieved as a result of completion of an activity. Further it should also indicate if the project is on track to achieving the intended outcome(s). The reporting should be done for activities, targets and indicators already set in the action plan including on vulnerable groups (youth, poor, female heads of households, etc.) as would have been identified in the gender analysis and action plan. If activities or targets are not achieved as per plan, reasons should be provided, and recourse action should be proposed. Please include a reporting on any changes or deviations. Include a Report on implementation challenges and lessons learnt and how these will inform on-going actions and what action will be taken by when to address the challenges faced. Incorporate both quantitative data and qualitative report of the performance of such actions, and on progress on actions identified.

4.2.1 Progress on implementing the project-level gender action plan submitted with the funding proposal

Activity / Action	
Train women and vulnerable groups to identify, prioritize, implement, monitor and evaluate adaptation strategies and measures	
Indicator	
% females of people trained	
Baseline	Target, including sex-disaggregation
0%	100 people trained (50% fe-male)
Budget	Currency
30000.00	USD
Report on annual progress	
<p>Antigua and Barbuda</p> <p>During the reporting period, the project held three training sessions geared at identifying, prioritizing, implementing, monitoring, and evaluating adaptation strategies and measures: training shelter managers (total of 22 persons in attendance), training in practical grant proposal writing (total of 25 persons in attendance) and training in Climate Change Adaptation and Waterway Management (total of 13 persons). Forty-three percent (43%) of people trained were female.</p> <p>The highest female representation was in climate change adaptation and waterway management sessions with women accounting for roughly 54% of attendees. In the grant proposal writing training, women accounted for 24% of participants, and women accounted for 45% of attendees for shelter management training.</p> <p>Training also included vulnerable groups including Community-Based Organisations (CBO), Women NGOs as well as representatives of the Persons with Disabilities organization. Training will continue in 2022 with a greater focus on increasing ensuring the equal participation of women</p> <p>Dominica No recorded training sessions during the reporting period</p> <p>Grenada No recorded training sessions during the reporting period</p>	

Activity / Action	
Inform and train women, PWDs, indigenous people, other vulnerable groups how to access and utilize the complaints mechanism and empower them as "allies" within their communities to share this knowledge with others who are likely to benefit	
Indicator	
Number of complaints received through the complaints mechanism	
Number of ally interventions or awareness sessions conducted by women	
Baseline	Target, including sex-disaggregation
0%	90% re-solved
Budget	Currency
20000.00	USD

Report on annual progress**Complaints**

- 0 complaints received through the complaints mechanism

As of 2022, no complaints have been received through the complaint's mechanism. Following up on training conducted in 2020, the AE Complaints and Grievance Mechanism will conduct training workshops in 2022. This falls within the DOE's mandate to complete formal training workshops every two years on the complaints/grievance mechanism.

While Grenada has approved the use of the AE's Complaints / Grievance Mechanism, the AE awaits progress on a complaints/grievance system for Dominica.

Training videos on the use of the DOE Complaints Mechanism will be provided to Dominica and Grenada before their loan disbursements

Interventions or awareness sessions conducted by women

- 0 ally interventions or awareness sessions conducted by women

Activity / Action

Nominate 1 woman and 1 Person With Disability (PWD) / vulnerable group from an NGO/CSO to the Steering Committee (oversight function)

Indicator

At least one member of the Steering Committee represents the interests of vulnerable groups

Baseline

0

Target, including sex-disaggregation

3

Budget

0

Currency

Please select

Report on annual progress

0 members of the Steering Committee represents the interests of vulnerable groups

- There have been no further updates on the inclusion of vulnerable groups in oversight committees in Grenada and Dominica due to delays in project implementation. However, efforts to include more males and vulnerable groups will be undertaken in 2022.

Activity / Action

All Environmental Impact Assessments ("EIAs") to include gender and social inclusion assessments and action plans

Indicator

% EIAs that include gender and social inclusion assessments and action plans

Baseline

0%

Target, including sex-disaggregation

100%

Budget

300000.00

Currency

USD

Report on annual progress

20 % EIAs that include gender and social inclusion assessments and action plans

- Approved ESIA for Antigua and Barbuda include social and gender considerations as well as indicators to monitor during the implementation of the project.

- TORS for all ESIA's require gender and social assessments and action plans and are reviewed by the ESS and Gender team. Reports will not be approved, nor will project activities commence without these aspects being completed and found to be satisfactory.

Activity / Action

entrepreneurship training for women, PWDs, and other vulnerable groups in services related to the delivery of climate change information, adaptation, and resilience services, e.g. EIAs, construction, project management, procurement, M&E, etc.

Indicator

Number of women, PWDs, other vulnerable groups enrolled in continuous training in entrepreneurship as it relates to climate change adaptation and resilience services

Baseline

TBD

Target, including sex-disaggregation

20% Staff and owners of the businesses in the project area received training with 50% of trainees to be females

Budget

50000.00

Currency

USD

Report on annual progress

Further to 2020's report, due to capacity issues within the Antigua and Barbuda Entrepreneurial Development Program (EDP), the PMU within the DOE will be conducting this exercise internally. Assessment is expected to be completed by July 2022 with the commencement of training in the latter half of the year.

Targets for capacity-building activities that are gender-inclusive to be finalized by individual countries.

Activity / Action

Sustainable procurement of goods and services to include a fixed percentage of women and PWDs in tenders to ensure that they benefit from economic opportunities created through the construction and agricultural sectors

Indicator

Number of contracts awarded to women/ PWDs

Baseline

TBD

Target, including sex-disaggregation**Budget**

30000.00

Currency

USD

Report on annual progress

Antigua and Barbuda

1 contract awarded in Antigua and Barbuda to female-led

1 contract awarded to a woman for supervision of construction works under Output 2.

- During the reporting period, the DOE compiled supervision of PSIP Project Implementation body for the oversight of construction under the project in Antigua. This team is women-led.

- The Department is also continuing to ensure equal contracting opportunities for women under the project in Antigua and Barbuda.

- As project activities are delayed in Grenada and Dominica, this activity has not been monitored. However, monitoring of this activity will commence in 2022.

Activity / Action

Nominate 1 woman and 1 PWD from a CSO/NGO to represent these key issues on the decision-making body for the public sector / on-granting committee/loans board

Indicator

Ensures that rights, needs, and challenges faced by women and PWDs are adequately represented and that gender is prioritized within the decision-making body

Baseline

0

Target, including sex-disaggregation

3

Budget	Currency
0	Please select
Report on annual progress	
<p>- All National Steering Committees have over 50% female representation on the Committees.</p> <p>- While there are no CSOs or NGOs on the Steering Committees, in Antigua, it's Technical Advisory Committee (which provides technical advice to Antigua and Barbuda's PMC) includes CSO/NGO representation which contributes to the prioritization of gender in project decision-making. The project will be making greater efforts to ensure the prioritization of gender and the representation of vulnerable groups.</p>	

Activity / Action	
Train women, persons with disabilities, and other vulnerable groups to ensure that community assets are more resilient to climate variability and change	
Indicator	
Number of women, PWDs, CSOs, and NGOs trained (%) in protecting public infrastructure assets, including community shelters	
Baseline	Target, including sex-disaggregation
TBD	
Budget	Currency
30000.00	USD
Report on annual progress	
<p>Antigua and Barbuda</p> <p>While the Communication Strategy has not been finalized, training activities have commenced. During the reporting period, the team began shelter management training. A total of 22 persons was trained which had 45% female representation and included representatives from vulnerable groups including persons with disabilities</p> <p>Implementation of this activity is expected to advance in 2022 for Dominica and Grenada.</p>	

Activity / Action	
Launch media campaign to advertise this training and EDA Revolving Fund opportunities available	
Indicator	
Number of applicants received	
Baseline	Target, including sex-disaggregation
0	400
Budget	Currency
210000.00	USD
Report on annual progress	
<p>20 applications received (as of 31st December 2021).</p> <p>- Media campaign for the revolving loan program in Antigua Barbuda, particularly for Cashew Hill and surrounding communities has been ongoing to inform homeowners and businesses on the programme.</p> <p>- Project activities in Dominica and Grenada are delayed.</p>	

4.3 Planned activities on environmental and social safeguards for the next reporting period

--

- Provide further training for project team and stakeholders in Dominica, Grenada and at the OECS Commission in the use of the AE's Complaints and Redress Mechanism – which will serve the sub-regional project. Grenada's PMC has approved the use of the AE's mechanism; the AE awaits approval from Dominica. The DOE ATG has provided an [instructional video](#) and links for submitting [project related](#), [whistleblower](#) and [environmental](#) complaints.

- Conduct a capacity assessment and develop and deliver capacity building training activities for MOE DOM and MOE GRD, as a part of the Technical Assistance provided by the DOE ATG under Outputs 1.1 and 1.3. Training will target all existing oversight and decision-making committees;

- Conduct capacity building training for public sector agencies, civil society and community-based organizations and private citizens in for implementing countries on AE's code of conduct, policy against sexual misconduct, conflict of interest and fair access to information;

- Conduct independent ESIA's for all Output 2 projects in Dominica and Grenada, and develop individual ESMPs for each construction project;

- Provide quarterly updates to the EDA risk register; and

- Integrate ESS into M&E Manuals and accompanying training as part of overall M&E compliance and capacity building activities

Provide a list of activities in the ESMP to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products. Please include the monitoring schedule concerning ESS (including other potential vulnerable groups and indigenous people) for the next annual reporting period.

4.4 Planned activities on gender elements for the next reporting period

- Revise the Stakeholder Engagement Strategy and workplan;

- Complete the gender sensitive communications strategy and training plan for EDA staff and stakeholders;

- Develop training material and initiate training of trainers and certification that target women, persons with disabilities, indigenous peoples and socially vulnerable groups, to aid them in identifying, prioritizing, implementing, monitoring and evaluating adaptation measures;

- Provide entrepreneurship training for women, persons with disabilities, indigenous peoples and socially vulnerable groups in services related to the delivery of climate change information and adaptation services. This training will prepare them to participate in Environmental and Social Impact Assessments (ESIAs), construction, project management and monitoring and evaluation;

- Finalize TORS for ESIA's in Dominica and Grenada, to include gender-focused assessment and action plans, and report on the incremental progress made in Antigua and Barbuda's gender action plan for Output 2; and

Gender-sensitive monitoring will be a critical aspect of the Country M&E Manuals and training activities being developed, which will be implemented for Q2 and Q3 2021. Additionally, the ESS and GAP-related commitments are integrated into the M&E Matrix to support regular tracking, monitoring, and reporting on project progress.

Provide a list of activities in the gender action plan to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products including processes that will be involved to implement the activities effectively. Please include the monitoring schedule concerning gender activities for the next annual reporting period. Report on actions taken on any of the recommendations made by the secretariat (if applicable) to improve the level of integration of gender issues in the project.

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 4 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 4 is complete and ready for submission.

APR CY2021 Section 5: Annexes and Attachments - v5 2022-02-26 21:53 +09:00

[APR CY2021] Section 5: Annexes and Attachments

Please note that this is section 5 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

Annex 1: Accredited Entity compliance reports

Self-assessment reports, Report on Actions pursuant to Clause 18.02, if applicable. Self-assessment reports: In accordance with the AMA requirement in Clause 13.01 of the Accreditation Master Agreement, with the Fiduciary Principles and Standards, ESS and Gender Policy. Report on Actions pursuant to Clause 18.02: Only applicable to International Accredited Entities. In accordance with the Monitoring and Accountability Framework, a report on its actions carried out or planned to be carried out pursuant to Clause 18.02 of the Accreditation Master Agreement.

Please provide comments on the annexes attached above if any.

Attachment 1: EDA Implementation Sheet (Smartsheet)
 Attachment 2: EDA Communication Strategy
 Attachment 3: Signed Agreement - RDU (Grenada)
 Attachment 4: Signed Concept Note With RDU (Grenada)
 Attachment 5: On-Granting Manual (Grenada)
 Attachment 6: OECS Model Policy on Sustainable Public Procurement of Construction Materials
 Attachment 7: Market Readiness Analysis of Sustainable Construction Materials
 Attachment 8: Sustainable Public Procurement Guidelines
 Attachment 9: Guide To Knowledge Management
 Attachment 10: Operation Procedures For Management of Records & Information
 Attachment 11: KIMS Needs Assessment And GAP Analysis for the OECS
 Attachment 12: Sustainable Procurement Action Plan Dominica
 Attachment 13: Sustainable Procurement Action Plans Grenada
 Attachment 14: Sustainable Procurement Action Plans Antigua & Barbuda
 Attachment 15: Concept Note EDA GEF SGP (Dominica)
 Attachment 16: EDA Joint Partnership Letter (Dominica)
 Attachment 17: Letter of Collaboration Dominica SGP
 Attachment 18: MOE Call For Summary Proposal (Dominica)
 Attachment 19: On-Granting Manual (Dominica)
 Attachment 20: Signed PSIP Term Sheet (Dominica)
 Attachment 21: EDA APR3 Logframe
 Attachment 22: Grant Final Evaluation (Summary Proposals) Output 3 (Antigua & Barbuda)
 Attachment 23: EDA Output 3 Full Proposal Final Evaluation Report
 Attachment 24: Output 3 Inception Workshop (Antigua & Barbuda)

Attachments

Please submit any attachments (if any).

[EDA Implementation Plan Sheet.pdf](#)

[EDA Communication Strategy and Plan.pdf](#)

[Signed Agreement with RDU.pdf](#)

Signed Concept Note with RDU.pdf

Operational Guidelines_CCAF GCF EDA June 29 2021.pdf

OECS Model SPP Policy Final - 11 May 2021.pdf

Market Readiness Analysis Final.pdf

Guidelines SPP OECS.pdf

A Guide to Knowledge Management.pdf

Operations Procedures for Life Cycle Management of Record & Information.pdf

KIMS Needs Assessment and Gap Analysis Report - FGD 2020.docx.pdf

John Oliver Moss - Product 5 - SPP Action Plan - Dominica.pdf

John Oliver Moss - Product 5 - SPP Action Plan - Grenada.pdf

John Oliver Moss - Product 5 - SPP Action Plan - Antigua and Barbuda.pdf

concept note EDA GEF SGP (Dominica).pdf

EDA Joint Partnership Letter (Dominica).pdf

Letter_Collaboration Dominica _SGP.pdf

MOE Call for Summary Proposal (Dominica).pdf

On-GrantingManual (Dominica).docx

Signed PSIP Term Sheet (Dominica).pdf

EDA APR3_Log Frame.pdf

Grant Final Evaluation (Summary Proposal) EDA Output 3 (Antigua & Barbuda).pdf

EDA Output 3 Signed Full Proposal Evaluation Report (Antigua & Barbuda).pdf

EDA - Output 3 - Inception Workshop Report (Antigua & Barbuda).pdf

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 5 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 5 is complete and ready for submission.

APR Completeness Check - v2 2022-03-09 15:05 +09:00

[APR CY2021] Completeness Check

OPM portfolio managers will check the submitted APR and give a preliminary assessment of the report in this stage.

Step 1: GCF portfolio managers will provide comments in the comment box given against every section's title.

Please note that comments made on the report directly will be shared with the accredited entities.

Step 2: After providing comments, portfolio managers provide a rating on the below scale for every section, and if required, can provide comments on the overall completeness assessment of the APR.

This section's rating and comments are internal to GCF and will not be shared with the accredited entities.

Scale:

1 = Complete

0 = Incomplete

Step 3: After providing internal and external comments and ratings, the last step is to take action (Block/Pass).

Block signifies that the APR didn't clear the completeness check, and the AE needs to provide further information and/or revert to comments made by the GCF portfolio manager.

Pass signifies that the APR is complete and ready for Review by the inter-divisional review team.

Section 1: General Information

Provide rating here:

1. Rating: *

Complete

Section 2: Implementation Progress

Provide rating here:

2. Rating: *

Incomplete

Section 3: Financial Information

Provide rating here:

3. Rating: *

Incomplete

Section 4: Project Specific on Environmental and Social Safeguards & Gender

Provide rating here:

4. Rating: *

Complete

Section 5: Annexes and Attachments

Provide rating here:

5. Rating: *

Incomplete

Overall Annual Performance Report

Overall comments by the reviewer (OPTIONAL)

Based on Clause 10.02 (m) of the FAA, kindly submit:

- (i) Evidence of the public disclosure of the monitoring and evaluation reports for any GCF-funded project/programme implemented through the Accredited Entity's grant award programme, as well as of the annual reports on the results of the operation of the revolving fund programme; and
- (ii) Provide the minutes of the Accredited Entity's technical evaluation committee meetings evidencing the process of consideration and approval of any grant proposals received under a grant award project/programme;

Additionally, following Finance comments on the APR2020, kindly submit a full report of the additional co-financing contribution from the GoAB/MoW for CY2021.

It is noted that the final baseline assessment was submitted to GCF on January 10th, 2022 & the ESMP on Dec 8th, 2021. If possible, kindly attach the final versions here too.

Thank you in advance.

Provide overall rating here:

Overall rating: *

Incomplete

[DPM] APR Review - v2 2022-05-05 16:51 +09:00

[APR CY2021] DPM Review

Please read the below instructions before initiating your review of this report.

DPM portfolio managers will carry out a detailed review assessment of the submitted APR in this phase.

Step 1: The reviewer will provide comments in the comments box given against every subsection's title.

Please note that comments made directly on the APR will be shared with the AE.

Step 2: The reviewer can use the middle pane's comment box (located under these instructions) to register any comments from the report's review assessment. These comments are stored internally in GCF and will not be shared with the AE.

Step 3: After providing comments, if the reviewer concludes that the assessment for this particular APR is complete and doesn't require any further inputs from the AE, the reviewer will click on the pass button present at the bottom right corner.

If the reviewer assesses that AE needs to reflect on the comment provided after this review and further round(s) of assessment is required, then the reviewer will click on the block button.

Please note that your comments are still not shared with the AE, and it's now in the Portfolio Manager's list to provide a decision and thereafter all the comments will be shared with the AE.

At this phase, the reviewer can reassign the report to another individual using the 'Reassign' function present at the bottom right corner.

Reviewer's comments: (Please note that these comments will not be shared with the AE)

There are several areas that the AE would need to update.

Please confirm by ticking the below checkbox if the review assessment is completed. *

Yes, the assessment is complete.

[ESS/IP] APR Review - v2 2022-06-14 14:34 +09:00

[APR CY2021] ESS/IP Review

Please read the below instructions before initiating your review of this report.

ORMC ESS and IP Specialists will carry out the detailed review assessment of section 4 of the submitted APR in this phase.

Step 1: The reviewer will provide comments in the comments bubble box given against every subsection's title.

Please note that comments made directly on the report will be shared with the AE after the decision from OPM Portfolio Manager.

Step 2: The reviewer can use the middle pane's comment box under these instructions to register any comments from the report's review assessment. These comments are stored internally in GCF and will not be shared with the AE.

Step 3: After providing comments, if the reviewer concludes that the assessment for this particular APR is complete and doesn't require any further inputs from the AE, the reviewer will click on the pass button present on the bottom right corner.

If the reviewer assesses that AE needs to reflect on the comment provided after this review and further round(s) of assessment is required, then the reviewer will click on the block button.

Please note that your comments are still not shared with the AE, and it's now in the Portfolio Manager's list to provide a decision and thereafter all the comments will be shared with the AE.

Reviewer's comments: (Please note that these comments will not be shared with the AE)

Note that these comments are not shared with the accredited entity.

Please confirm by ticking the below checkbox if the review assessment is completed. *

Yes, the assessment is complete.

[Gender] APR Review - v2 2022-05-19 18:06 +09:00

[APR CY2021] Gender Review

Please read the below instructions before initiating your review of this report.

ORMC Gender specialists will carry out the detailed review assessment of section 4 of the submitted APR in this phase.

Step 1: The reviewer will provide comments in the comments bubble box given against every subsection's title.

Please note that comments made directly on the report will be shared with the AE after the decision from OPM Portfolio Manager.

Step 2: The reviewer can use the middle pane's comment box under these instructions to register any comments from the report's review assessment. These comments are stored internally in GCF and will not be shared with the AE.

Step 3: After providing comments, if the reviewer concludes that the assessment for this particular APR is complete and doesn't require any further inputs from the AE, the reviewer will click on the pass button present on the bottom right corner.

If the reviewer assesses that AE needs to reflect on the comment provided after this review and further round(s) of assessment is required, then the reviewer will click on the block button.

Please note that your comments are still not shared with the AE, and it's now in the Portfolio Manager's list to provide a decision and thereafter all the comments will be shared with the AE.

Reviewer's comments: (Please note that these comments will not be shared with the AE)

Very general GAP -more of an assessment- still in the process of delivering.

Under 2. general progress. During 2021, there continued to be delays caused by a variety of factors; yet positive gains in performance against the GCF criteria have been registered. Project implementation has seen increased activity mainly in the area of outputs 3 and 4. Based on the primary measurable benefits outlined in the Funding Proposal, the AE maintains that the EDA will contribute to transformational change that will benefit at least 5% of the total population in the three project countries, of which 50% are females.

Milestone reported for next reporting period: Gender Action Plan completed and published.

4.2 GAP progress: While there has been progress on the implementation of the GAP in Antigua and Barbuda, this is not the case for Grenada and Dominica as project implementation in both countries has been significantly delayed. The AE team will be working with the Grenada and Dominica team to finalize a work plan in the first quarter of 2022 which includes specific activities for the implementation of the GAP.

This reporting: less advance on gender mainstreaming and adjusted GAP with concrete targets and timelines still to be delivered, sex disaggregated data are reported in the few trainings that were realized. Explanation on why Grenada and Dominica did perform were received on last year comments: these islands have been receiving support in implementing the GAP at the pace of in-country activities. The OECS Commission's M&E Consultant has been conducting a series of trainings on the Project's ESS and Gender related outcomes and activities – to equip the country teams to conduct gender sensitive monitoring. This is helping the project teams to develop a thorough understanding of the activities and plans are being outlined for how they will be implemented in each island.

Noted:

1. 20 % EIAs that include gender and social inclusion assessments and action plans

- Approved ESIA for Antigua and Barbuda include social and gender considerations as well as indicators to monitor during the implementation of the project.
- TORS for all EIAs require gender and social assessments and action plans and are reviewed by the ESS and Gender team. Reports will not be approved, nor will project activities commence without these aspects being completed and found to be satisfactory.

2. Entrepreneurship training for women, PWDs, and other vulnerable groups in services related to the delivery of climate change information, adaptation, and resilience services, e.g. EIAs, construction, project management, procurement, M&E, etc.

Further to 2020's report, due to capacity issues within the Antigua and Barbuda Entrepreneurial Development Program (EDP), the PMU within the DOE will be conducting this exercise internally. Assessment is expected to be completed by July 2022 with the commencement of training in the latter half of the year. Targets for capacity-building activities that are gender-inclusive to be finalized by individual countries.

3. Sustainable procurement of goods and services to include a fixed percentage of women and PWDs in tenders to ensure that they benefit from economic opportunities created through the construction and agricultural sectors

Antigua and Barbuda

1 contract awarded in Antigua and Barbuda to female-led

1 contract awarded to a woman for supervision of construction works under Output 2.

Women on decision-making bodies

- All National Steering Committees have over 50% female representation on the Committees.
- While there are no CSOs or NGOs on the Steering Committees, in Antigua, it's Technical Advisory Committee (which provides technical advice to Antigua and Barbuda's PMC) includes CSO/NGO representation which contributes to the prioritization of gender in project decision-making. The project will be making greater efforts to ensure the prioritization of gender and the representation of vulnerable groups.

Antigua and Barbuda

While the Communication Strategy has not been finalized, training activities have commenced. During the reporting period, the team began shelter management training. A total of 22 persons was trained which had 45% female representation and included representatives from vulnerable groups including persons with disabilities

Implementation of this activity is expected to advance in 2022 for Dominica and Grenada.

To AE:

1. Delays noted due to lack of updated Gender Action Plan and gender on the ground analysis activities due to Covid-19. Reporting with some sex disaggregated data not yet in all activities. For next reporting updated GAP is urgent. Please update your GAP with targets, timeline, implementing agencies, smart indicators and gender budget. Under 4.2

Provide a progress report on the adjusted gender action plan. This will primarily be a report on activities undertaken and results achieved as a result of completion of an activity. Further it should also indicate if the project is on track to achieving the intended outcome(s). The reporting should be done numbering activities, targets and indicators already set in the action plan including on vulnerable groups (youth, poor, female heads of households, etc.) as would have been identified in the gender analysis and action plan. If activities or targets are not achieved as per plan, reasons should be provided, and recourse action should be proposed. Please include a reporting on any changes or deviations. Include a Report on implementation challenges and lessons learnt and how these will inform ongoing actions and what action will be taken by when to address the challenges faced. Incorporate both quantitative data and qualitative report of the performance of such actions, and on progress on actions identified.

2. Do you have gender expertise in PMU in all 4 countries, do you have a gender budget? What is the gender balance in your PMU?

3. When do you plan to do a gender training for all staff?

4. 4.2.1.1 Antigua and Barbuda

'During the reporting period, the project held three training sessions geared at identifying, prioritizing, implementing, monitoring, and evaluating adaptation strategies and measures: training shelter managers (total of 22 persons in attendance), training in practical grant proposal writing (total of 25 persons in attendance) and training in Climate Change Adaptation and Waterway Management (total of 13 persons). Forty-three percent (43%) of people trained were female. Training also included vulnerable groups including Community-Based Organisations (CBO), Women NGOs as well as representatives of the Persons with Disabilities organization.

Noted. Training will continue in 2022 with a greater focus on increasing ensuring the equal participation of women.

Be aware to be more precise in reporting, specify for each training total amount of participants, gender disaggregated data including disables or vulnerable people. '22 persons in attendance' (= how many women/men/youth/vulnerable?).

5. How, when and who will make the grievance mechanism gender responsive?

6. 'Inform and train women, PWDs, indigenous people, other vulnerable groups how to access and utilize the complaints mechanism and empower them as "allies" within their communities to share this knowledge with others who are likely to benefit'. How will you consult and capture knowledge of women and above mentioned groups, taking them into account as 'agents of change' for climate resilient water activities?

7. Noted. The project will be making greater efforts to ensure the prioritization of gender and the representation of vulnerable groups. How do you plan to do this, do you have a strategy with set targets and expected outcomes? Do you have expertise to do so on board?

Thank you!

Note that these comments are not shared with the accredited entity.

Please confirm by ticking the below checkbox if the review assessment is completed.

Yes, the assessment is complete.

[Finance] APR Review - v4 2022-06-06 11:39 +09:00

[APR CY2021] Finance Review

Please read the below instructions before initiating your review of this report.

DSS/Finance managers will carry out the detailed review assessment of section 3 of the submitted APR in this phase.

Step 1: The reviewer will provide comments in the comments bubble box given against every subsection's title.

Please note that comments made directly on the report will be shared with the AE after the decision from OPM Portfolio Manager.

Step 2: The reviewer can use the middle pane's comment box under these instructions to register any comments from the report's review assessment. These comments are stored internally in GCF and will not be shared with the AE.

Step 3: After providing comments, if the reviewer concludes that the assessment for this particular APR is complete and doesn't require any further inputs from the AE, the reviewer will click on the pass button present on the bottom right corner.

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Reviewer's comments: (Please note that these comments will not be shared with the AE)

Waiting for AE's responses and also for revised APR.

Note that these comments are not shared with the accredited entity.

Please confirm by ticking the below checkbox if the review assessment is completed.

Yes, the assessment is complete.