

FP061

Integrated physical adaptation and community resilience through an enhanced direct access pilot in the public, private, and civil society sectors of three Eastern Caribbean small island developing states

Annual Performance Report CY2023 (for projects/programme approved under the PMFs)

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APR CY2023 Section 1: General Information - v4 2024-10-29 21:19 +09:00

[APR CY2023] Section 1: General Information

Please note that this is section 1 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

Reporting Year *

2023

Please indicate reporting year

1.1 Please indicate if information provided in this APR is disclosable outside the Green Climate Fund. *

- Yes - The Accredited Entity agrees that the information reported is disclosable.
 No - The information reported is partly confidential. The disclosable version of the APR will be attached.

If you select the second option [No - The information reported is partly confidential. The disclosable version of the APR will be attached], please follow the below steps.

- Step 1: Fill in all the sections of the APR with disclosable information.
- Step 2: Save each section using the 'PDF' function provided in the top-right corner. (Do NOT submit an APR at this step).
- Step 3: Attach all of the disclosable sections to the attachment boxes below, which will be shown once you check the second option only.
- Step 4: Update all the sections of the APR with non-disclosable information.
- Step 5: Submit the non-disclosable APR which herein enclose the disclosable APR in PDF format.

1.2 Please indicate if this report has been shared with the relevant NDA(s) for this Funded Activity

Yes

Once the APR is created in the PPMS, please use the 'Open as PDF' function to download the report in PDF format and to share with relevant authorities (i.e. NDAs) before submission. Select 'Yes' only if shared to ALL the relevant NDA(s).

Please Indicate the date of submission to NDA(s)

2024-03-31

If the APR is submitted to multiple NDAs, please indicate the latest date of submission to NDA, and provide the other dates per NDA in the further explanation box below.

Please provide further explanation, if any:

Delayed submission of APR CY2023 to the NDAs was been unavoidable on the part of the AE as the APR CY2023 was submitted by the EE passed the external deadline of February 2024. Through the review and validation process, the AE identified gaps in the report that would require additional time to address. In light of the delay, the AE requested an extension of the submission time for APR 2023.
At the time of submission, reports required for submission (such as the Procurement Plan) remain outstanding due to pending approval by the Project Management Committee. The proposed NCE budget has been provided in Section 5: Annexes and Attachments. Please note, however, that this proposed budget is in draft, pending approval from the regional Project Management Committee. The AE has submitted the APR CY2023 with readily available information and data.

1.3 Funded Activity Title (Project/Programme Title)

Integrated physical adaptation and community resilience through an enhanced direct access pilot in the public, private, and civil society sectors of three Eastern Caribbean small island developing states

1.4 Funding Proposal Reference Number

FP061

1.5 Board Meeting Number

19

1.6 Accredited Entity contacts for this APR

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1.7 Executing Entity(ies)

Full Name of Executing Entity
ATG-DOE Project Management Unit (The DOE_ATG's PMU took over the project in 2023 as a result of the project extension and the completion of the contract for the staff of the EE. This approach is in compliance with requirements.)
<small>Please confirm if the pre-filled name of Executing Entity(es) indicated in the funding proposal document is correct. Please update the information accordingly if there have been any changes since the project implementation. In addition, please clarify the Executing Entity(es) name precisely if needed. For example, if 'local financial institutions' was indicated as an EE in the funding proposal, please put their exact names here. You may want to add/remove rows if needed.</small>
Please write the name of the country where the Executing Entity is headquartered.
Antigua and Barbuda
Actual Outputs delivered by Executing Entities
Outputs 1 for all three countries; Outputs 2, 3 and 4 for Antigua and Barbuda.
<small>Please list the Outputs the executing entity is actually implementing whether it is based on the approved Funding Proposal or any other actual outputs that have been delivered.</small>

Full Name of Executing Entity
Ministry With responsibility for the Environment in Grenada [Minsitry of Ministry of Climate Resilience, the Environment and Renewable Energy in collaboration with the Department of Economic and Technical Corporation in the Ministry of, Economic Development, Planning, Tourism, ICT and Creative Economy, Grenada]
<small>Please confirm if the pre-filled name of Executing Entity(es) indicated in the funding proposal document is correct. Please update the information accordingly if there have been any changes since the project implementation. In addition, please clarify the Executing Entity(es) name precisely if needed. For example, if 'local financial institutions' was indicated as an EE in the funding proposal, please put their exact names here. You may want to add/remove rows if needed.</small>
Please write the name of the country where the Executing Entity is headquartered.
Grenada
Actual Outputs delivered by Executing Entities
All project Outputs for Grenada.
<small>Please list the Outputs the executing entity is actually implementing whether it is based on the approved Funding Proposal or any other actual outputs that have been delivered.</small>

Full Name of Executing Entity

Ministry with the responsibility for the Environment in Dominica [Ministry of Environment, Rural Modernisation and Kalinago Upliftment, Commonwealth of Dominica]

Please confirm if the pre-filled name of Executing Entity(es) indicated in the funding proposal document is correct. Please update the information accordingly if there have been any changes since the project implementation. In addition, please clarify the Executing Entity(es) name precisely if needed. For example, if 'local financial institutions' was indicated as an EE in the funding proposal, please put their exact names here. You may want to add/remove rows if needed.

Please write the name of the country where the Executing Entity is headquartered.

Commonwealth of Dominica

Actual Outputs delivered by Executing Entities

All project Outputs for Dominica.

Please list the Outputs the executing entity is actually implementing whether it is based on the approved Funding Proposal or any other actual outputs that have been delivered.

1.8 Project Duration

From

2019-07-16

To

2025-03-16

Check if the extension request for the project duration was approved by the Secretariat during the reporting period.

Please reach out to the GCF portfolio managers if you need an extension

Yes (it was extended)

No

Please enter the extended end date of project implementation.

From

2019-07-16

To

2025-03-16

1.9 Current Year of Implementation

5

Indicate the year number, e.g., '2'

1.10 Annual reporting period covered in this report

From

2023-01-01

To

2023-12-31

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 1 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 1 is complete and ready for submission.

APR CY2023 Section 2: Implementation Progress (PMFs, B15~B31) - v4 2024-10-29 21:19 +09:00

[APR CY2023] Section 2: Implementation Progress (for projects/programme approved under the PMFs)

Please note that this is section 2 of the five Annual Performance Report (APR) sections for projects/programmes that have been approved from B.15 to B.31. The APR will be considered valid only after all the five sections are filled with relevant details.

2.1 Overall (summary) project progress

SUMMARY

The “Integrated Physical Adaptation and Community Resilience through an Enhanced Direct Access (EDA) Pilot in the Public, Private, and Civil Society Sectors of Three Eastern Caribbean Small Island Developing States” formally became effective on July 16, 2019. This report covers the reporting period of January 1, 2023, to December 31, 2023. At the time of the previous APR4 (2022), implementation was at 51% overall completion. At the end of this reporting period, 61% completion was achieved. Breakdown by components, as per the EDA project implementation plan Smartsheet, is as follows:

Overall project implementation: From 51% to 61%
Output 1: From 56% to 69%
Output 2: From 25% to 46%
Output 3: From 40% to 71%
Output 4: From 56% to 58%

Three of the four disbursements have been received by the AE, which represents 99% of grant funding from the Green Climate Fund (GCF) and, at the time reporting, 58% of the funds on hand for the project have been spent.

Implementation progress per pilot country:

Output 1: From 56% to 69% with Activity 1.3. closing out at 34% completion
Output 2: Antigua from 80% to 94%, Dominica from 30% to 31, Grenada from 27% to 30%
Output 3: Antigua from 70% to 96%, Dominica from 25% to 62%, Grenada from 25% to 55%
Output 4: Antigua is at 100%, Dominica from 9% to 30%, Grenada from 59% to 65%

It is important to note that the Project Management Software (Smartsheet) used to track project implementation does not account for the weight of each activity under the Outputs. Therefore, the percentage of completion provided does not reflect the true level of implementation under Output 2, as Activity 2.3 has yet to be implemented in Dominican and Grenada, yet percentages reflect 31% and 30% completion, respectively. The AE is working to develop a weighting system per activity to ensure the accurate capture of implementation progress.

Implementation was also impacted by a delay in the obtainment of the 3rd disbursement. This delay was due to two factors: a) the execution and full effectiveness of all necessary legislative and contractual arrangements for the implementation of the Funded Activity in Dominica (a precedent for third disbursement) and; b) the process of submission of the request for disbursement was dependent on the approval of APR CY2022. This ultimately delayed the allocation of funding for Output 4 to Dominica for an 8-month period, until the approval of the APR in November, and the subsequent provision of the third disbursement to the AE in December 2023.

Implementation delays were exacerbated by the delayed submission of the Environmental Social Impact Assessments (ESIAs), Gender Action Plan, and Environmental and Social Management Plan under Output 2 for both Dominica and Grenada. Said documents were submitted to the GCF in Quarter 3 2023 and are currently under review. This pending approval impacted spending rates, and by extension, the cumulative spend of 70% of funds previously disbursed – a general condition precedent for disbursement.

As previously reported, the project is severely delayed, and all project outputs will not be completed by the project’s baseline close date. In light of this, the Department of Environment, in its capacity as the Accredited Entity to the GCF, has received no-objection letters from the National Designated Authorities of each pilot country as well as permission from the DOE-ATG’s Project Management Committee (PMC) to submit an 8-month no-cost extension request to the GCF on behalf of the participating countries. Project extension request was submitted to the GCF for consideration.

For project outputs projected to be delayed beyond the project extension date, the AE recommends the repurposing of funds in Output 1 and Output 4 for sustainable bulk procurement activities. This course of action will be managed by the AE and will advance the rate of project implementation to eliminate the need for remaining project funds to be returned to the GCF.

At the time of this report, the project continues to face the following:

An increase in national sales tax, which has been reflected in the already increasing cost of materials, in addition to limited availability of local contractors and raw materials to meet project timelines. In some cases, this has affected the project’s procurement practice of competitive bidding. In these cases, the project has engaged in sole sourcing due to lack of human resources, however procurement guidelines and best practices are applied. The project has also

experienced a slower rate of shipping due to the knock-off effects from the pandemic which has led the AE to initiate discussions on bulk procurement of construction materials for EDA countries.

The slow pace of implementation has impacted the project. It has led to delays in disbursements to the countries due to the current disbursement conditions outlined in Clause 9.01 (c) of the Funded Activity Agreement (FAA), conditions precedent for the third disbursement requires "Delivery to the Fund by the Accredited Entity of evidence, confirming that all necessary legislative and contractual arrangements for the implementation of the Funded Activity in the Commonwealth of Dominica have been executed and are fully effective." This condition was fulfilled by EDA Dominica in March 2023. However, it was communicated to the AE that the third disbursement would not be processed until the approval of the APR CY 2022. The APR was not approved until December 2023. The AE was unable to continue providing disbursements to the EEs prior to the third disbursement. Due to this, project implementation for EEs was delayed.

The lack of a contingency budget compounded by the factors highlighted above, means that there is no additional funding for implementation and this impacts on the AE and the EEs. While the project continues to face implementation delays, the remaining project management budget is unable to sustain salary payments to the regional project staff beyond the 8-month extension requested. This has been a determining factor for the AE's decision to request an 8-month no-cost extension in Q1 2024, as opposed to a 12-month no-cost extension. A longer extension was considered in 2023 due to the delay in the third disbursement. However, the DOE-ATG as a Direct Access Entity does not have the necessary funds to support an additional 100% increase in project team from 4 years to 8 years.

OVERVIEW OF THE REPORTING PERIOD

DOE ATG serves as both Accredited Entity as well as the Executing Entity for Output 1. However, this output cannot meet 100% completion considering the delay by the EDA entities in conducting gap assessments to support the reapplication for Readiness funding to facilitate the accreditation application. Based on this, this Output would likely be unable to be completed by the project implementation closing date.

Each country serves as an Executing Entity for Outputs 2, 3 and 4 within their respective pilot countries. Output 2 is at high risk of not being completed by project implementation close in two of the three pilot countries. Due to this, the proposed timeline for the NCE has taken this delay into consideration and will propose solutions to ensure Output completion by the revised implementation closing date. Output 3 has made substantial progress in all three pilot countries – considering the anticipated approval of the NCE, this Output will be completed within the project period and meet its impact targets. Despite initial implementation delays, similarly, Output 4 will be completed in all three pilot countries within the project period and meet its impact targets.

M&E RELATED ACTIVITIES DURING THE REPORTING PERIOD:

Activities completed or commenced during this reporting period:

- The EDA Interim Evaluation Report completed in April 2022 was submitted to GCF for review. The AE has taken note of lessons learnt during the Interim Evaluation and awaits the GCF approval of the report.
- GCF Remediation MEL training was held from March - May 2023 with initial training sessions held online and in-person sessions hosted in St. Lucia; This workshop provided training to eleven (11) EDA project staff from Antigua and Barbuda, Grenada, and Dominica and five (5) staff members of the OECS Commission in M&E at GCF level; The GCF team prepared and delivered training in the following areas: (i) Baseline measurement, (ii) Indicators (iii) Data (iv) Results (v) Baselines (vi) Evaluation (vii) Theory of Change (TOC)
- In Q3, two members of the project team from Antigua and Barbuda attended the Green Climate Fund Technical Dialogue for Latin America and the Caribbean in Uruguay and the EDA Project Coordinator attended the Council of Ministers on Environmental Sustainability (COM:ES 10) in Anguilla.
- During this reporting period, the Department of Environment completed its independent evaluation of the pilot Revolving Loan Programme (RLP), which examined the results, achievements, and constraints in the implementation of the RLP. The evaluation provided an analysis of lessons learned and a package of best practices from the RLP for further dissemination by the DOE to national, regional and international stakeholders. The results and knowledge products arising from this evaluation have been published on the DOE-ATG website.
- In the first quarter of 2023, the SIRF Fund, through the Department of Environment, issued a Request for Proposals (RFP) for Consultancy Services to evaluate the operationalization of the Sustainable Island Resources Framework Fund (SIRFF) and the implementation of its Pilot Small Grants Programme. International consulting firm Econoler Ltd. was subsequently awarded the consultancy. This evaluation aims to assess key achievements, lessons, and challenges of the SIRF Fund's operationalization and grant program implementation, providing a roadmap to address identified gaps and challenges and uses evidence-based approaches that draw lessons from the EDA Project as well as other contributing projects. An Inception Report was submitted in 2023, along with an updated Results Frameworks and a draft gap analysis report for the evaluation of the Grant-Making Programme and the overall operationalization of the SIRF Fund.
- Evaluations of Grenada's and Dominica's grant and loan programmes will be scheduled in 2024.

GENERAL COMMENTS AND RECOMMENDATIONS FOR NEXT STEPS:

Without project restructuring, at the current rate of implementation, FP061 will require a total of 8 years for implementation. The PMC budget for the project

as well as AE Fees cannot support this timeline. The AE has decided to submit a No-Cost Extension request to extend project implementation close from 16 January 2024, to 16 September 2024, with subsequent project closure. With this limitation on the interventions that can be employed by the AE, the DOE ATG will employ several project mitigation measures to overcome capacity and other barriers facing the project:

- Joint procurement of adaptation technology for Output 4 in Dominica.
- Budget reallocation through the shifting of funds for pilot countries from Output 2 to Output 4. This is expected to be less than 10% of the output budget. The reallocation will ensure that the funds being used will meet the project's impact indicators.
- Funds will be spent in the associated pilot country as per the baseline budget, but may be reallocated to other output budget lines.
- Funds not spent as of the NCE project implementation closing date will be returned to the GCF and the project closed.
- Output 1.3 will close at 34% completed due to previously referenced challenges encountered by the pilot countries in relation to accreditation support: (i) the EE submission of formal approval by Cabinet of the proposed DAE in each country to be accredited to the GCF was provided in YR4 of the project, (ii) the completion of the accreditation kit for countries (iii) unavailability of all EEs to participate in accreditation training sessions due to lack of staffing, (iv) project implementation, which has delayed the achievement of a track record for the EEs.
- The DOE ATG will refocus its Output 1 team to support the implementation of the mitigation measures communicated above in all pilot countries.

Provide a narrative report describing the overall progress on the implementation of the funded activity, focusing on implementation achievements, delays, and challenges according to the planned activities. For project/programme with on-lending and equity investment into the projects or companies, kindly provide information on the projects/companies where such investment was made during the calendar year including the implementation status of the invested project or companies' operation. As relevant, include references to other sections of this report (including Annexes or Attachments). Include a description of key milestones of the funded activity achieved during this reporting year (for example, during 2022 only) including any deviations from original expectations. Also, describe challenges encountered and actions undertaken to resolve these challenges, and lessons learned during the implementation, including issues related to non-compliance with GCF standards or conditions, if any. In parallel, include positive achievements and better-than-expected results. If any issues have arisen in the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project, please provide a description of those items and how they have impacted the implementation period and final targets. Kindly make sure that this section just gives an overall summary and doesn't have overlap with other sections.

2.2 Performance against the GCF investment criteria

Please check the box as applicable.

- The performance of the project/programme against the GCF investment framework criteria remains aligned with the Funding Proposal.
- The performance of the project/programme against the GCF investment framework criteria deviates from the assumptions made in the Funding Proposal.

Relevant Links

[The GCF investment criteria framework](#)

2.3 Project Outputs Implementation Status

Please note the below fields are mandatory but only a one-time activity. Please fill out the project output details regardless of any progress made so far, which will be auto-populated in the next APR and on wards.

Use 'Add Row' button to add multiple outputs and/or activities reported against one output

Project Output Name *	
Output 1: Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access	
The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.	
Project Activity Name *	
Activity 1.1 Establish implementation and oversight mechanisms with adequate capacity	
Status *	Implementation Progress *
Activity started - progress delayed	60 %
Original timeline planned for this activity *	
Q2 - 2019 to Q2 2023	
Please refer to the Implementation Plan in the FAA. If the Implementation Plan has been amended in the countersigned Amendment and Restatement Agreement (ARA), please refer to the updated plan in the ARA.	

Progress for the relevant reporting period

At the time of reporting, implementation for this activity is 100%.

AE | ATG

- The DOE ATG, as the AE for the project, negotiated an additional 8-month no-cost extension with the GCF for the pilot countries to complete project activities by 16th September 2024. Considering the slow rate of implementation and the limited funding available for project staff during a no-cost extension period, it was concluded that an 8-month no-cost extension was more realistic than a second 12-month no-cost extension. Before submission of the extension request to the GCF, meetings were held with the EEs of the project and the DOE ATG's PMC to request no-objection from the countries and subsequent permission from the Chair of the PMC to proceed with the extension request. The extension request was submitted to the GCF and is expected to be approved in Q1 2024.

- The project received its third GCF disbursement of USD 6,800,000.00 during this reporting period, and the APR4 was approved by the GCF following several rounds of updates in 2023.

- The Project Management Committee (PMC) in Antigua and Barbuda continues to meet monthly. The DOE ATG provides regular updates and requests to the PMC and SIRF Fund Board for contracts, regional project matters and project management documentation, as necessary.

- By December 2022, all manuals needed for project coordination were stored on OneDrive and Smartsheet. The GCF accreditation checklist was also prepared by the AE team. All coordination mechanisms were established, as it relates to the formation of PMCs in each country and signing of TAAs by the EEs. However, the AE will provide the EEs with updated TAAs to ensure assistance will continue to be provided to the countries after the project has ended. Additionally, major deliverables under the OECS Commission contract have been completed, to include training in M&E for project staff in the pilot countries, development of a sustainable procurement policy, M&E manuals for the pilot countries and the development of a communications strategy for the pilot countries to promote their knowledge products. Additional workshops/trainings were also held by the AE with the EEs to get countries up to speed with country requirements, and the GCF MEL consultants provided virtual and in-person Monitoring, Evaluation and Learning training to 11 staff members of the regional EDA project team. The draft accreditation kits to be provided to the EEs have been advanced and are expected to be delivered to the EEs by the end of Q4 2023. Additional support for capacity gaps has also been provided by the DOE ATG through the resharing DOE ATG's templates and manuals and virtual clarifications meetings with the EEs.

The DOE-ATG conducted an initial assessment of the MOE-DOM. To further build on this assessment, the DOE-ATG provided the MOE-DOM with an Accreditation Toolkit, which helped to identify which policies and procedures existed, and which ones needed to be further developed. The assessment noted that the MOE-DOM was not able to apply for accreditation, and needed to develop several of its policies, manuals and procedures.

The DOE-ATG assisted the MOE-DOM with this activity through the Accreditation Toolkit which was provided. The DOE-ATG has also provided the MOE-DOM with its own manuals and procedures which were intended to be used as a template for the MOE-DOM to develop its own policies and manuals based on Dominica's National standards. The manuals and procedures were also provided as an option for Dominica to use DOE-ATG's Manuals and procedures while their own documents were being developed. This was to be approved by Dominica's PMC, but to date, the DOE-ATG has received no documentation confirming DOM-PMC Approval.

The DOE-ATG provided TORs, Manuals, Procedures for:

- Grievance/Complaints
- Accounts
- Procurement
- ESS and Gender
- Prohibited Practices and Ethics
- Audit
- Project Management

The DOE-ATG has continued to request input from the MOE-DOM in order to complete this activity. However, the input has been limited, which has prevented the DOE-ATG from proceeding further. The DOE-ATG has provided its manuals and procedures to the MOE-DOM with the intention of the MOE-DOM using these manuals and procedures as templates to conduct an analysis of how the documents may be tailored to meet Dominica's national guidelines and procedures. The MOE-DOM has provided very limited feedback which has delayed this activity significantly. Multiple attempts have been made by the DOE-ATG to proceed with the focal point for Dominica, but to no avail. In Q4 2023, the DOE ATG again tried to reinitiate this activity by holding a meeting with the Dominica Team and the DOE's own Internal Auditor, with the intention of completing a GAP Analysis. Although at the close of the meeting Dominica indicated its commitment, no further feedback has been received from Dominica and this activity therefore remains outstanding.

For Grenada, The DOE-ATG conducted an initial assessment of the MOE-GRD. To further build on this assessment, the DOE-ATG provided the MOE-GRD with an Accreditation Toolkit, which helped to identify which policies and procedures existed, and which ones needed to be further developed. The assessment noted that the MOE-GRD was in a better position to apply for accreditation, however, there were several gaps that needed to be filled, including the development of several manuals, policies and procedures. The DOE-ATG assisted the MOE-GRD with this activity through the Accreditation Toolkit which was provided. The DOE-ATG has also provided the MOE-GRD with its own manuals and procedures which were intended to be used as a template for the MOE-GRD to develop its own policies and manuals based on Grenada's National standards.

The DOE-ATG provided TORs, Manuals, Procedures for:

- Grievance/Complaints
- Accounts
- Procurement
- ESS and Gender
- Prohibited Practices and Ethics
- Audit

• Project Management

The DOE-ATG has provided its manuals and procedures to the MOE-GRD with the intention of the MOE-GRD using these manuals and procedures as templates to conduct an analysis of how the documents may be tailored to meet Grenada's national guidelines and procedures. The MOE-GRD has progressed with these activities.

As it relates to facilitating the compilation of lessons learned from the project management arrangements of previous projects for the MOE GRD, the MOE-GRD has shown a stronger commitment to completing this activity.

The project has not yet conducted a final review of the policies, procedures, guides, manuals and other relevant documents for the MOE GRD. However, the DOE-ATG has continued to work with the MOE-GRD to ensure its manuals and procedures meet the requirements of the AF and GCF

EE | DOM

- One Project Management Committee Meeting was held for the reporting period to approve staff contracts and workplans.

The National Climate Change Committee (NCCC) did not meet. The EDA-DOM team requested an update on the reconstitution of the Committee but was not successful.

EE | GRD

- Four Project Management Committee (PMC) meetings were held for the reporting period;

- EDA Budget, Procurement plan and EDA Sustainable Procurement Guidelines was shared with PMC for their perusal.

- "Direct Access: Unlocking Adaptation Funding (Self-Paced)" course for accreditation was shared to the ministry and completed by most members of the EDA project team.

- PIM updated in Smartsheet;

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

To continue reporting and implementing the project.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 1: Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 1.2 Design a Sustainable Procurement system for construction supplies in pilot countries

Status *

Completed

Implementation Progress *

100

%

Original timeline planned for this activity *

Q2 2019 to Q1 2020

Please refer to the Implementation Plan in the FAA. If the Implementation Plan has been amended in the countersigned Amendment and Restatement Agreement (ARA), please refer to the updated plan in the ARA.

Progress for the relevant reporting period

DOE ATG:

- The DOE ATG continues to integrate the principles of sustainable procurement in its everyday procedures with respect to the procurement of goods, works and consultancies, with the adoption of renewable energy (RE) backup systems. To date, the DOE has deployed technologies such as Grid Interactive Renewable Energy, battery operated landscaping equipment, and mosquito traps through Outputs 3-4, to ensure suitability for homes, businesses, and CSOs. Under the NCE, DOE ATG, in its capacity as the AE, will be encouraging the use of bulk purchasing through the repurposing of funds in Output 1 and Output 4 for sustainable bulk procurement activities to support Outputs 2 and 4.

-The OECS Model Policy on Sustainable Public Procurement of Construction Materials along with guidelines and training materials were prepared in 2021. Policy Guidelines and all other resource documents have been posted on the United Nations Environment Programme (UNEP) Website. The policy includes operational procedures for assessing green procurement and the development of a sub-regional procurement system for sustainable materials. The policy and guidelines were provided to the pilot country project teams to prepare Cabinet papers and present the outcomes of the consultancy to their governments for adoption.

-During this reporting period, the OECS Model Policy on Sustainable Public Procurement of Construction Materials along with guidelines and training materials were presented to the Project Management Committee (PMC) for review and consideration for submission to Cabinet.

EE | DOM:

-The OECS Model Policy on Sustainable Public Procurement of Construction Materials along with guidelines and training materials were prepared in 2021 and provided to the pilot country project teams to prepare Cabinet papers and present the outcomes of the consultancy to their governments for adoption. During this reporting period, the OECS Model Policy on Sustainable Public Procurement of Construction Materials along with guidelines and training materials were presented to the PMC, which subsequently requested that the policy and guidelines be submitted to the Chief Procurement Officer for review. Said policy and guidelines have since been submitted to the Chief Procurement Officer for review and consideration.

EE | GRD:

-The OECS Model Policy on Sustainable Public Procurement of Construction Materials along with guidelines and training materials were prepared in 2021 and provided to the pilot country project teams to prepare Cabinet papers and present the outcomes of the consultancy to their governments for adoption. In quarter 2 2023, the OECS Model Policy on Sustainable Public Procurement of Construction Materials along with guidelines and training materials were presented to the PMC for review and consultations towards adoption.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

-The completion of the concept note related to green procurement and bulk purchasing initiative.

-Once approved, demonstration of green procurement in the purchase of hurricane resilient shutters, cooling technology and carbon fibre for adaptation, especially in the Commonwealth of Dominica where landslides are common during a rain event.

-Expectation of the confirmation and presentation of OECS Model Policy on Sustainable Public Procurement of Construction Materials to the Cabinets of the pilot countries, as well as further engagement with the OECS Commission, through the council of Ministers, to facilitate integration into the government system and the best approach for adoption by other Eastern Caribbean states.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 1: Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 1.3 Support accreditation of direct access entities in the Eastern Caribbean

Status *

Activity started - progress delayed

Implementation Progress *

34

%

Original timeline planned for this activity *

Q2 2019 to Q3 2021

Please refer to the Implementation Plan in the FAA. If the Implementation Plan has been amended in the countersigned Amendment and Restatement Agreement (ARA), please refer to the updated plan in the ARA.

Progress for the relevant reporting period

AE | DOE ATG:

-Staff hired and trained under the AE to deliver this output in years 2-4. However, countries were delayed in nominating their entities for accreditation. Dominica nominated its entity in year-4 (2023) and Grenada in year-3 (2022). Additionally, attempts made to conduct gap analysis of the nominated DAE has been met with capacity constraints by the EEs to conduct the analysis as neither of the EEs has an adequate number of permanent staff at the time of reporting. Antigua and Barbuda experienced a delay in activities related to accreditation support to the EEs in Grenada and Dominica due to the following: (i) the EE submission of formal approval by Cabinet of the proposed DAE in each country to be accredited to the GCF was provided in YR4 of the project, (ii) the completion of the accreditation kit for countries (iii) unavailability of all EEs to participate in accreditation training sessions due to lack of staffing, (iv) project implementation, which has delayed the achievement of a track record for the EEs. As a result of these delays, the AE will have to change its indicators of success as it is not likely that the entities can receive accreditation within the remaining time of the project. The change in strategy will result in the same project impact potential, but will more than likely be achieved after project completion as reported in APR CY2022. Based on implementation challenges highlighted and in light of the approaching implementation closing date, said activity will be closed as of March 2024 to allow the AE to focus its resources on providing assistance the EEs to facilitate the completion of Outputs 2-4, once NCE request has been approved.

-During this reporting period, the AE-hired staff prepared and disseminated a tool to conduct a gap analysis of the potential DAEs, conducted virtual meetings on accreditation with Ministry officials and project staff of the EEs in Dominica and Grenada, and has provided draft accreditation kits (including templates and manuals for the accreditation process) to the EEs. The AE has also drafted TA agreements for the EEs. However, these agreements have not yet been disseminated to the EEs.

EE | DOM:

-Several attempts were made by DOE ATG to ensure the timely submission of the MOE-DOM application for accreditation to the Adaptation Fund (AF), as the strategy was to utilise the GCF's fast-track accreditation process to facilitate the entity's accreditation to the GCF. However, despite attempts to complete this process through engagement with the country focal point and the Project Team, the MOE-DOM did not submit an accreditation application to the AF.

-As the AF provides Readiness Grants for countries intending to apply for accreditation, during the term of the TAA, there were eight (8) opportunities for the MOE-DOM to apply for a Readiness Grant to the AF. The team held several meetings throughout 2022 and 2023 to assist the MOE-DOM with applying for a Readiness Grant. Although there was an initial commitment to this process, the MOE-DOM did not meet its obligations and lapsed in communication and responses to the DOE-ATG.

-Towards the end of October 2023, the MOE-DOM expressed a renewed interest in moving forward with accreditation and applying for the AF Readiness Grant. The DOE-ATG has since had two meetings with the Dominica Team, where the accreditation process was outlined and discussions were initiated on the way forward with this activity. The MOE-DOM has indicated its commitment to move this process forward. The DOE-ATG has indicated its readiness to provide the assistance where necessary. The country is currently in the process of completing its GAP assessment.

Progress on Activities:

- The hiring of a legal consultant to update the environmental bill, and move forward towards passing this bill into law, as this legislation is required by GCF: No progress update has been received from MOE-DOM.

- The development of key manuals such as Procurement, Technical, M&E and ESS and Gender manuals: The DOE-ATG has made all of its manuals available to the MOE-DOM to assist with this activity. No further progress update has been received from MOE-DOM.

-Gap assessment yet to be conducted.

EE | GRD:

-The DOE-ATG assisted the MOE-GRD with an application for a Readiness Grant from the Adaptation Fund in preparation for its accreditation application to the AF. As the application underwent the review process by the AF, further support was provided by DOE ATG regarding responses to feedback and engaging with the AF.

-The DOE-ATG also facilitated a meeting between the AF and the MOE-GRD, during which the AF recommended the withdrawal of the application until a later date, as on an initial review of the application, it did not appear that MOE-GRD would be approved for the Readiness Grant.

-Since this initial application, the DOE-ATG has requested updates from the MOE-GRD on their intention to reapply for a Readiness Grant, as well as their progress with addressing gaps highlighted by the AF. This feedback remains outstanding.

-Towards the end of October 2023, the MOE-GRD expressed a renewed interest in moving forward with accreditation and applying for the AF Readiness Grant. The DOE-ATG remains committed to assisting with this process by addressing identified gaps by:

- Completing online training
- Providing mitigation actions for identified gaps
- Documenting policy processes and timelines
- Resubmitting the application in the next Project Review Cycle

Due to the ongoing DOE limitations, the initial Adaptation Fund application deadline was missed. Additionally, there remains a need to address identified gaps within a tight timeframe for resubmission of the application for the next project review cycle.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

The completion of the Accreditation tool kit and policies to support the accreditation of the entities once the entities are ready to move ahead with reapplication.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 1: Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 1.4 Facilitate effective monitoring and evaluation, and lessons learned consistent with an Enhanced Direct Access approach

Status *

Completed

Implementation Progress *

100

%

Original timeline planned for this activity *

Q3 2019 to Q3 2021

Please refer to the Implementation Plan in the FAA. If the Implementation Plan has been amended in the countersigned Amendment and Restatement Agreement (ARA), please refer to the updated plan in the ARA.

Progress for the relevant reporting period

This activity is 100% completed.

An M&E Manual and a gender-sensitive communications plan were developed and completed for use by the countries and the OECS Commission. M&E Trainings were also carried out by OECS Commission consultants and the DOE ATG in the areas of gender sensitivity, data collection, and project indicators. Quarterly project monitoring is also ongoing by M&E personnel.

During this reporting period, GCF Remediation Monitoring, Evaluation, and Learning training was held from March - May 2023, with initial training sessions held online and in-person sessions hosted in St. Lucia. This workshop provided training to eleven (11) EDA project staff from Antigua and Barbuda, Grenada, and Dominica and five (5) staff members of the OECS Commission. The GCF team prepared and delivered training in the following areas: (i) Baseline measurement, (ii) Indicators (iii) Data (iv) Results (v) Baselines (vi) Evaluation (vii) Theory of Change (TOC), as well as an overview of the GCF Standards and strategies for countries to meet the standards, and an introduction to new GCF policies.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

No key milestones and deliverables for the next reporting period as this activity is complete. However, it is the AEs intent to host three workshops for the pilot countries.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 2: Governments implement concrete adaptation measures using ecosystem-based approaches where appropriate

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 2.1 Competitively solicit priority adaptation interventions for adaptation in the public sector

Status *

Activity started - progress delayed

Implementation Progress *

100

%

Original timeline planned for this activity *

Q3 2019 to Q1 2020

Please refer to the Implementation Plan in the FAA. If the Implementation Plan has been amended in the countersigned Amendment and Restatement Agreement (ARA), please refer to the updated plan in the ARA.

Progress for the relevant reporting period

All projects for the participating countries have been identified and moved to the next stage of ESIA. In Antigua & Barbuda, adaptation interventions have been prioritized and approved before this reporting period. The DOE ATG recommends that Grenada and Dominica reconsider the projects submitted to ensure the projects, once approved by the GCF, can be completed in the remaining project period.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- Updated project scope and design of ESIA, ESMPs and GAPs for Dominica and Grenada, as necessary.
- GCF-approved ESIAAs.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 2: Implement concrete adaptation measures using ecosystem-based approaches where appropriate

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 2.2 Undertake due diligence and studies on public sector adaptation interventions as needed

Status * **Implementation Progress ***

Activity started - progress delayed 99 %

Original timeline planned for this activity *

Q2 2019 - 2022

Please refer to the Implementation Plan in the FAA. If the Implementation Plan has been amended in the countersigned Amendment and Restatement Agreement (ARA), please refer to the updated plan in the ARA.

Progress for the relevant reporting period

The following were achieved during this reporting period including the percentage of Activity 2.2 completed:

EE | DOE ATG (100%):
- DOE ATG has completed all activities under 2.2.

EE | MOE DOM (98%):
-The ESIAMP and GAP were submitted to the GCF through the AE in Q2 2023, however a GCF response review was not received until Q4 2023, requesting additional information. The ESIAMP and GAP have yet to be approved up to the end of this reporting period as review of responses are in progress.
-Line ministries continued work on proposals and necessary documents to facilitate the procurement of contractors in preparation for implementation once approval is provided by the GCF.

EE | MOE GRD (97%):
The ESIAMP and GAP were submitted to the GCF through the AE in Q2 2023, however a GCF response review was not received until Q4 2023 requesting additional information. The ESIAMP and GAP have yet to be approved up to the end of this reporting period as review of responses are in progress.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

-ESIAMPs and GAPS approved by GCF, facilitating the commencement of activity 2.3.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 2: Implement concrete adaptation measures using ecosystem-based approaches where appropriate

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 2.3 Implement pilot approaches for adaptation in public infrastructure

Status * **Implementation Progress ***

Activity started - progress delayed 50 %

Original timeline planned for this activity *

Q2 2020 - Q4 2022

Please refer to the Implementation Plan in the FAA. If the Implementation Plan has been amended in the countersigned Amendment and Restatement Agreement (ARA), please refer to the updated plan in the ARA.

Progress for the relevant reporting period

DOE ATG (85%):

During this reporting period, the Cashew Hill rehabilitation project to upgrade two culverts and road rehabilitation was completed. The Ministry of Works was unable to continue the project due to limited capacity. An independent contractor was procured to continue the construction activities. A consultant was also procured by DOE ATG to conduct a field assessment of the work completed in Cashew Hill thus far to ensure compliance with the project design for the scope of work. RFPs were published for the Briggins Pond expansion project and the construction of 5 footbridges along the Cashew Hill waterway. Following the publications, procurement for the construction of the footbridges was completed in Q2 2023. The DOE ATG received a sole bid exceeding the proposed budget for the interventions and subsequently conducted direct sole-sourcing negotiations to construct three (3) footbridges, rather than five (5) footbridges, given the high costs of the interventions.

EE | MOE DOM (24%)

- Line ministries developed TORs and Cabinet Papers for approval and procurement of contractors with the assistance of the EDA and PSIP M&E Officer in preparation for implementation once ESIAMP approval is received from the GCF.
- TORs have been published online.

EE | MOE GRD (41%)

Contracts for the implementation of adaptation interventions in Carriacou have been signed. A total of eight (8) contracts have been awarded, with five (5) now fully executed, one nearing completion, and three temporarily paused for further notice until the approval of the ESIAMP by the GCF. The upcoming highlight will be the construction of the breakwater and remedial works in Lauriston, representing a significant portion of the project budget. Design finalization, bid evaluation, and contractor selection have been completed for this critical activity.

The following adaptation interventions were completed during the reporting period:

- Removal of the shipwreck positioned towards the western end of the project site, and within the immediate vicinity of the Lauriston Point.
- Restoration of the mangrove ecosystem within Lauriston Point through a partnership with local communities, as a mangrove replanting activity. This activity is led by the Grenada Fund for Conservation.
- Commencement of coral out-planting interventions.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

It is not likely that this activity will be completed before the project ends. The DOE ATG is hoping for at least 75% completion of this project.

DOE-ATG

- All outstanding RFPs published
- Implementation and completion of final sub-projects to include the Briggins pond expansion, additional culvert widening, and the construction of footbridges
- Evaluation reports produced for evaluations conducted under Output 2
- Rescoping of project activities in Dominica and Grenada where necessary to remain within the approved GCF risk category for the EDA project
- Online publication of all evaluation reports and press releases for project progress

EE | DOM

- Launch of the sub-projects under Output 2
- Implementation and completion of the three sub-projects
- Evaluation reports produced for evaluations conducted under Output 2
- Online publication of all evaluation reports and press releases for project progress

EE | GRD

- Launch of the projects in Carriacou
- Evaluation reports produced for evaluations conducted under Output 2
- Stakeholder reports produced for PSIP stakeholder consultations hosted under Output 2
- PSIP projects in Carriacou implemented and completed.
- Online publication of all evaluation reports and press releases for project progress

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 3: Community resilience to climate impacts is enhanced through tangible adaptation benefits

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 3.1 Select community adaptation projects through a competitive small grants facility

Status * **Implementation Progress ***

Completed	100	%
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Original timeline planned for this activity *

Q3 2020 - Q1 2021

Please refer to the Implementation Plan in the FAA. If the Implementation Plan has been amended in the countersigned Amendment and Restatement Agreement (ARA), please refer to the updated plan in the ARA.

Progress for the relevant reporting period

Further to the information provided in APR CY2022, this activity has been completed in all three pilot countries. During this reporting period, DOE ATG, which utilises the Sustainable Island Resources Framework (SIRF) Fund as a service provider under Output 3, commenced a a formal independent evaluation to assess the SIRF Fund Grants Programme, and grants-making activities conducted prior to the operationalization of the SIRF Fund. The results of the evaluation as well as lessons learned will be available in the next reporting period.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Outcomes from the independent evaluation of the SIRF Fund Grants Programme in Antigua and Barbuda.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 3: Community resilience to climate impacts is enhanced through tangible adaptation benefits

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 3.2 Communities implement adaptation projects with tangible benefits

Status * **Implementation Progress ***

Activity started - progress delayed	78	%
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Original timeline planned for this activity *

Q1 2021 - Q1 2023.

Please refer to the Implementation Plan in the FAA. If the Implementation Plan has been amended in the countersigned Amendment and Restatement Agreement (ARA), please refer to the updated plan in the ARA.

Progress for the relevant reporting period

In Grenada, progress has been slow in the completion of the grant evaluation process. In Dominica and Antigua and Barbuda, the sub-projects are progressing and are expected to be completed within the revised NCE implementation closing date, once approved. The EDA activities have provided positive impacts and climate change awareness to targeted communities through public awareness sessions, workshops, media engagements, and community walk-throughs. In Antigua and Barbuda, the four (4) community-building structures, where the resilience interventions are being conducted, will be listed as shelter spaces by the National Office of Disaster Services.

EE | DOE ATG (96%)

At the time of project reporting, majority of the grant beneficiaries have completed 90% of the milestones and are expected to complete their final milestones by the end of the Q1 2024. Two (2) grant beneficiaries are on their final milestones, whilst the remaining two beneficiaries are on their third of four milestones: (i) Tindall Temple SDA successfully accomplished all major project activities, with only painting and tiling in the facility's bathroom remaining. (ii) Steps to Christ Seventh Day Adventist is on its third of four milestones and is in the process of finalizing the installation of hurricane shutters, solar panels, and electric and plumbing fixtures. To accomplish these tasks, the beneficiary requested additional funding of \$25,000.00 which was approved by the Project Management Committee in Q4 2023. (iii) Bible Speaks SDA Church is currently navigating its third milestone with one outstanding task being the completion of plastering for both internal and external walls of the shelter facility. Despite a delay caused by heavy summer rainfall, the beneficiary anticipates completing wall plastering by the end of Q4 2023. (iv) The Cashew Hill Moravian Church is embarking on its final milestone with an expected completion date in January 2024.

EE | DOM (83%)

At the time of reporting, six approved projects are under implementation. All Grant recipients have received their first disbursements, with five (5) beneficiaries having received second disbursements from the DOE ATG on behalf of the EE in Dominica. A soft implementation launch, along with training of the successful applicants on project reporting and data collection, was facilitated through the Service Provider (SP), the GEF-Small Grants Programme. The recipients were provided with information on the Output, GCF requirements and expectations, and guidance on reporting. The SP communicated the need for risk management approaches, lessons learned, visual evidence of progress, and signatories when reporting. Project reports comprising of expenditure reports, contractor reports, photos, and inspection reports have been received from five grant beneficiaries.

EE | GRD (54%)

At the time of reporting, three approved CBO projects are under implementation: Grenada Community Development Agency (GRENCODA - Construction of an Emergency Shelter), T.A. Marry Show Community College (TAMCC - Refurbishment of roof on the Administrative Building and School for Continuing Education building) and the Program for Adolescent Mothers (PAM) (Roof refurbishment Project). To date, the TAMCC project has been completed, GRENCODA is in progress at 65% complete and the Rural Development Unit (RDU), as the Service Provider under Output 3, is currently awaiting a no-objection from the Procurement Board to proceed with procuring a contractor for the third grant beneficiary, PAM, to commence its rehabilitation interventions. GRENCODA is anticipated to be completed by Q1 2024. Works for Programme for Adolescent Mothers were delayed due to capacity issues in the Ministry of Infrastructure Development (MOID). However, the bidding documents (bill of quantities and drawings) for the PAM project were provided by the MOID in Q3 2023 for publication and procurement a successful contractor for the rehabilitation interventions for PAM.

Discussions were held with RDU, the service provider for Output 3, on the most suitable process to complete the outstanding trainings for the themes: Climate Change, Shelter Management, Basic Project Management and First Aid Training. Following the discussions, it was concluded to merge the training courses into suitable packages and commence with the most feasible training package.

The packages developed were the following:

- Package One: Shelter Management and First Aid Training
- Package Two: Training on Climate Change Impact
- Package Three: Project Management - Basic

The Rural Development Unit developed and published the Terms of Reference and Expression of Interest in Q4 2023 to seek consultants to conduct Shelter Management and First Aid Training for stakeholders. The team has since received one EOI. Due to this, single sourcing will be taken into consideration for this activity. Additional TORs for other required trainings will be developed in 2024.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Completion of Output 3 sub-projects in Dominica and Antigua and Barbuda. It is anticipated that Grenada will not be completed by the project closing date.

EE | DOE-ATG

- Completion of resilience projects and launch ceremonies for four (4) faith-based organizations
- Dedicated shelter management teams in place in advance of any climate events at the four (4) faith-based organizations
- Evaluation reports produced for any evaluations conducted under Output 3
- Online publication of all evaluation reports and press releases for project progress

EE | DOM

- Completion of Stakeholder training and community consultations
- Completion of resilience projects and launch ceremonies for six (6) organizations
- Online publication all necessary reports and progress updates

GRD

- Completion of all sub-projects
- All stakeholder consultations completed including trainings in the following areas:
 1. Shelter Management and First Aid Training
 2. Training on Climate Change Impact
 3. Project Management - Basic
- Evaluation reports produced for evaluations conducted under Output 3
- Online publication of all evaluation reports and press releases for project progress

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 4: Privately owned physical assets of vulnerable populations are more resilient to climate variability and change through concessional microfinancing

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 4.1 Identify and strengthen existing systems and procedures for the revolving fund lonas programme for adaptation

Status *

Completed

Implementation Progress *

100	%
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Original timeline planned for this activity *

Q3 2020 - Q1 2021

Please refer to the Implementation Plan in the FAA. If the Implementation Plan has been amended in the countersigned Amendment and Restatement Agreement (ARA), please refer to the updated plan in the ARA.

Progress for the relevant reporting period

This activity is completed in all pilot countries.

EE | DOM

In Dominica, the Government Housing Loans Board (GHLB) was selected as the service provider for Output 4. The Subsidiary Agreement (SA) between the GHLB and the GoCD was finalized and signed in March 2023. Recommendations were made by the AE for the scope of the loan offerings to target public sector workers. The scope for the revolving loans and related framework conditions remain in alignment with the FAA conditions, including Schedule 7 on eligibility criteria. The revolving loan beneficiaries are private individuals employed within the public sector and the concessional loans will fund the strengthening of their privately owned physical assets to improve their resilience to climate vulnerability and change.

EE | GRD

In Grenada, the Grenada Development Bank was selected as the service provider.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

N/A

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 4. Privately owned physical assets of vulnerable populations are more resilient to climate variability and change through concessional microfinancing

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 4.2 Launch the private-sector revolving fund for adaptation in buildings via calls for application; details of individual projects made accessible via website

Status * Completed **Implementation Progress *** 100 %

Original timeline planned for this activity *

Q1 2021 - Q3 2021

Please refer to the Implementation Plan in the FAA. If the Implementation Plan has been amended in the countersigned Amendment and Restatement Agreement (ARA), please refer to the updated plan in the ARA.

Progress for the relevant reporting period

This activity has been completed in all pilot countries.

EE | DOM
In Dominica, the Government Housing Loans Board (GHLB) was selected as the service provider and this was publicized via the Government Information Services (GIS). The Ministry of Environment (MoE) also informed the public of the Output during its weekly radio program, information was also provided to the public via the Service Provider's radio program. Additionally, information was provided to the public on the Output via the Minister's 2024-2025 Budget address.

EE | GRD
In Grenada, a total of 325 inquiries have been received from the general public, with a few requiring the engagement of external engineers and assessors to provide recommendations on the actions to be undertaken to build the resilience of the properties in question.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

N/A

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 4. Privately owned physical assets of vulnerable populations are more resilient to climate variability and change through concessional microfinancing

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 4.3 Finance adaptation in buildings and manage repayments

Status * Activity started - progress delayed **Implementation Progress *** 57 %

Original timeline planned for this activity *

Q2 2021 - Q1 2023

Please refer to the Implementation Plan in the FAA. If the Implementation Plan has been amended in the countersigned Amendment and Restatement Agreement (ARA), please refer to the updated plan in the ARA.

Progress for the relevant reporting period

EE | DOE ATG (100%)

Activity 4.3 is completed in Antigua and Barbuda. To date, 47 loan recipients have been making monthly principal payments and interest payments to the SIRF Fund. Additional applicants will begin to benefit from reflows in the next reporting period.

During this reporting period, DOE ATG completed its independent evaluation of its pilot Revolving Loan Programme (RLP), which examined the results, achievements, and constraints in the implementation of the RLP. The evaluation provided an analysis of lessons learned and a package of best practices from the RLP for further dissemination by the DOE to national, regional and international stakeholders. The results and knowledge products arising from this evaluation have been published on the DOE ATG website.

EE | GRD (54%)

-By the end of this reporting period, US\$1.036M or 74.75% of the total funds received was committed, corresponding to a total of sixty-one (61) approved loans. Structural Upgrades (47%) and Flood and Erosion Control (30%) continue to lead the financing requests and this trend is expected to continue as the impacts of heavy rains in various areas persist. These allocations are followed by Renewable Energy (17%) and together, account for 94% of the projects financed.

-Thirty-six (36) projects have been fully disbursed to date, of which thirty-three (33) have been completed.

EE | DOM

-Dominica has yet to begin the issuing of loans. In March 2023, Dominica fulfilled the conditions precedent for the third disbursement "Delivery to the Fund by the Accredited Entity of evidence, confirming that all necessary legislative and contractual arrangements for the implementation of the Funded Activity in the Commonwealth of Dominica have been executed and are fully effective" which allowed the AE to trigger the third disbursement. However, it was communicated to the AE that the third disbursement would not be processed prior the approval of the APR CY2022. During this time, the disbursement from the AE to the service provider in Dominica could not proceed due to unavailability of funds and as such, the commencement of Output 4 activities was delayed. The third disbursement was received by the AE in December 2023, following the GCF approval of APR CY2022 in November 2023. It is expected that to complete this output by the revised NCE closing date, once approved, there needs to be risk mitigation measures deployed to avoid the return of funds to the GCF.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

In Antigua & Barbuda, additional applicants are set to benefit from the repayments in the next reporting period. It is also anticipated that Dominica and Grenada will complete 100% lending. Between the two pilot countries, four (4) reports are expected to be issued on the loan portfolio.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 4. Privately owned physical assets of vulnerable populations are more resilient to climate variability and change through concessional microfinancing

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 4.4 Implement and manage reflows

Status * **Implementation Progress ***

Activity started - progress delayed 40 %

Original timeline planned for this activity *

Q4 2021 - Q1 2023

Please refer to the Implementation Plan in the FAA. If the Implementation Plan has been amended in the countersigned Amendment and Restatement Agreement (ARA), please refer to the updated plan in the ARA.

Progress for the relevant reporting period

Reflows in Antigua and Barbuda are currently being programmed. Grenada is 54% completed for its initial capital, reflows have begun with active monitoring of the portfolio in an effort to minimise delinquency rates. Dominica will begin programming funds in Q1 2024.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- First disbursement to Dominica and the final disbursement to Grenada
- Final reports from all countries on the disbursement of the loans
- Completion of the M&E report

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 5: Project management

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 5.1 Project management consistent with an EDA programmatic approach

Status * **Implementation Progress ***

Activity started - progress delayed 75 %

Original timeline planned for this activity *

Q2 2019 to Q1 2023.

Please refer to the Implementation Plan in the FAA. If the Implementation Plan has been amended in the countersigned Amendment and Restatement Agreement (ARA), please refer to the updated plan in the ARA.

Progress for the relevant reporting period

Further to APR CY2022, Project Management is moving along, however the project is severely delayed. General milestones are:

- Interim regional Project coordinator still in place;
- Monthly reports of each staff member provided;
- No objections letters received for a second No-Cost extension;
- Monitoring, Evaluation, and Learning team for the DOE ATG was increased by one;
- Changes in the AE for the ESS and Gender officer;
- Addition of new officers in the SIRF Fund (co-financing team);
- Changes in Permanent Secretaries in both Dominica and Grenada;
- General elections in Antigua and Barbuda; Name change of the AE, "Ministry of Health, Wellness, Social Transformation, and the Environment"
- No country visits during the reporting period;
- Annual Procurement and budget plans approved by the regional PMC;
- OECS holds regional Climate change committee meeting for a second time;

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

The completion and closure of the project.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

2.4 Progress Update on the Logic Framework Indicators

Under this section, please report the 'annual value' for the reporting year only (for example, during 2023 only). Also report 'cumulative value' achieved as of the end of the reporting year as well as 'baseline', 'midterm target', 'final target', and 'expected lifetime emission reduction target'. Please note that the baseline and the respective target values should be reported for the total funding amount (GCF funding and co-financing) of the project. Also note that all these values should reflect information from the most recent/updated logical framework (logframe) agreed to with the GCF, whether through the FAA amendment process, submission and approval of the inception report, baseline assessment/study, APRs, and/or correspondence with GCF's portfolio managers on any logframe changes. If the most updated logframe deviates from that approved in the funding proposal, please provide clarification as to why there have been changes for each applicable indicator in the 'Remarks' box. For example, the clarification may include but is not limited to: 'the logframe was revised during the FAA negotiation process' or 'a baseline assessment has been completed as of August 2023 and the assessment has been submitted to the GCF for approval' or 'the revised baseline and target values have been submitted via the previous APR cycle and approved by the GCF' or 'the project logframe is being revised, and the FAA amendment process is currently ongoing with the GCF' etc. In case where a measurement methodology has been revised in agreement with the GCF for any indicators in the logframe, please attach supporting documentation describing the calculation methodology of all applicable indicators in APR Section 5 'Annexes and Attachments'.

This sub-section 2.4 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

2.4.1 Core Indicators

Select applicable core indicators

- Mitigation Core Indicator 1 - Tonnes of carbon dioxide equivalent (tCO₂eq) reduced as a result of GCF funded project/programme
- Mitigation Core Indicator 2 - Cost per tCO₂eq decreased for GCF funded project/programme
- Mitigation Core Indicator 3 - Volume of finance leveraged by GCF funding (Disaggregated by public/private source)
- Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme
- Adaptation Core Indicator 2 - Indirect Beneficiaries of GCF funded project/programme
- Adaptation Core Indicator 3 - Number of total beneficiaries relative to total population

Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme (Units: number of individuals and percentage %)

Please provide the "annual value" of the number of direct beneficiaries who benefitted from GCF funding only during the reported calendar year. The 'Cumulative Value' refers to the total ex-post number of direct beneficiaries on a cumulative basis. Please note that all values should be based on total funding (GCF funding and co-financing).

Baseline	Baseline (% of female)
0	0 %
Annual Value (Reporting Year)	Annual Value (% of female)
153	53.59 %
Cumulative Value	Cumulative Value (% of female)
2 121	52.61 %
Mid-term Target	Mid-term Target (% of female)
6 600	50 %
Final Target	Final Target (% of female)
13 200	50 %

Remarks (including changes, if any)

Antigua and Barbuda:

Output 2

-1445 residents (742 males, 703 females) benefitting from climate-resilient drainage. 151 people engaged in public awareness workshop activity on vector control, climate change adaptation, waterway management, and illegal dumping (99 females, 52 males). Fifteen (15) people trained in Green Entrepreneurship and Services related to Climate Change (8 males and 7 females).

-The construction activity within Output 2 garnered employment for residents within the Cashew Hill community and surrounding areas, amounting to 17 persons benefitting from the adoption of diversified, climate –resilient livelihood options. Gender disaggregated data unavailable at this time.

Output 3

-Four (4) grants approved by Project Management Committee:

Steps to Christ Seventh Day Adventist Church with forty-nine (49) direct beneficiaries (22 males, 27 females).

Tindall Temple Seventh Day Adventist Church with one hundred and eleven (111) direct beneficiaries, including 1 disabled (49 males, 62 females).

Bible Speaks sixty (60) direct beneficiaries, including two disabled (28 males, 32 females)

Eastern West Indies Province: Cashew Hil Moravian with eighty-four (84) direct beneficiaries, including 15 disabled (32 males, 52 females).

-Nineteen (19) people trained in Shelter Management (11 males; 8 females).

Output 4:

-44 vulnerable households benefitting from loans for climate adaptation – 18 male-headed, 26 female-headed.

Additional environmental education and trainings included:

Twenty-Five (25) people participated in the project's public awareness presentation on ESS & Gender Considerations at DOE's Arbour Day (8 males and 17 females)

Twenty-Four (24) people participated in the project's public awareness presentation on Environmental Management Systems at DOE's Arbour Day (4 males and 20 females)

Nine (9) persons participated in the project's public awareness presentation on sustainable procurement policies at DOE's Arbour Day (3 males and 6 females)

Grenada:

Output 3:

-Direct beneficiaries: To date, the T.A. Marry Show Community College (TAMCC - Refurbishment of roof on the Administrative Building and School for Continuing Education building) has been completed with twenty-four (24) direct beneficiaries (4 males, 20 females).

Output 4:

-Direct beneficiaries: 59 vulnerable households benefitting from loans for climate adaptation - 37 female-headed, 8 male-headed, 14 Joint households (both male and female party to the loans)).

Dominica:

Output 3:

-Thirty-three (33) persons trained in proposal writing, record keeping and data collection – Gender disaggregated data not available at this time.

2.4.2 A. Fund-level Impact Indicators

Select applicable impact indicators

[Important Note] Please note that at least mitigation core indicator 1 (for mitigation projects) and adaptation core indicator 1 and/or 2 (for adaptation projects) must be selected in 2.4.1 above before you select 2.4.2A, even in the case where the FAA does not specifically mention about reporting on core indicators. Since 2.4.2 is a breakdown of 2.4.1, the sum of 2.4.2 should be aligned with 2.4.1. For example, if you select M1.1 and M3.1 in 2.4.2A, 2.4.1 Mitigation Core Indicator 1 must be selected and the sum of the values reported in M1.1 and M3.1 must be entered as Mitigation Core indicator 1 value.

- M1.1 Tonnes of carbon dioxide equivalent (tCO₂eq) reduced or avoided as a result of increased low-emission energy access and power generation
- M2.1 Tonnes of carbon dioxide equivalent (tCO₂eq) reduced or avoided as a result of increased access to low-emission transport
- M3.1 Tonnes of carbon dioxide equivalent (tCO₂eq) reduced or avoided as a result of buildings, cities, industries and appliances
- M4.1 Tonnes of carbon dioxide equivalent (tCO₂eq) reduced or avoided as a result of sustainable management of forests and conservation and enhancement of forest carbon stocks
- A1.1 Change in expected losses of lives and economic assets due to the impact of extreme climate-related disasters in the geographic area of the GCF intervention
- A1.2 Number of males and females benefiting from the adoption of diversified, climate resilient livelihood options (including fisheries, agriculture, tourism, etc.)
- A1.3 Number of Fund funded projects/programmes that supports effective adaptation to fish stock migration and depletion due to climate change
- A2.1 Number of males and females benefiting from introduced health measures to respond to climate-sensitive diseases
- A2.2 Number of food secure households (in areas/periods at risk of climate change impacts)
- A2.3 Number of males and females with year round access to reliable and safe water supply despite climate shocks and stresses
- A3.1 Number and value of physical assets made more resilient to climate variability and change, considering human benefits (reported where applicable)
- A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change
- A4.2 Value of ecosystem services generated or protected in response to climate change

A1.2 Number of males and females benefiting from the adoption of diversified, climateresilient livelihood options (including fisheries, agriculture, tourism, etc.) (Units: number of individuals and percentage %)

Add up the direct/indirect beneficiaries numbers reported here and enter the sum in 2.4.1 Adaptation Core indicator 1 and/or 2. Please provide the "annual value" achieved only during the reported calendar year and the ex-post 'Cumulative Vavlue' on a cumulative basis.

Baseline	Baseline (% of female)
0	0 %
Annual Value (Reporting Year)	Annual Value (% of female)
62	62.90 %
Cumulative Value	Cumulative Value (% of female)
2 047	52.41 %
Mid-term Target	Mid-term Target (% of female)
14 000	50 %
Final Target	Final Target (% of female)
31 300	50 %

Remarks (including changes, if any)

Antigua and Barbuda:

Output 2

Male: 802

Female: 809

-1445 residents (742 males, 703 females) benefitting from climate-resilient drainage. 151 people engaged in public awareness workshop activity on vector control, climate change adaptation, waterway management, and illegal dumping (99 females, 52 males). Fifteen (15) people trained in Green Entrepreneurship and Services related to Climate Change (8 males and 7 females).

-The construction activity within Output 2 garnered employment for residents within the Cashew Hill community and surrounding areas, amounting to 17 persons benefitting from the adoption of diversified, climate –resilient livelihood options. Gender disaggregated data unavailable at this time.

Output 3:

Males: 142

Female: 181

-Four (4) grants approved by Project Management Committee:

Steps to Christ Seventh Day Adventist Church with forty-nine (49) direct beneficiaries (22 males, 27 females).

Tindall Temple Seventh Day Adventist Church with one hundred and eleven (111) direct beneficiaries, including 1 disabled (49 males, 62 females).

Bible Speaks sixty (60) direct beneficiaries, including two disabled (28 males, 32 females)

Eastern West Indies Province: Cashew Hil Moravian with eighty-four (84) direct beneficiaries, including 15 disabled (32 males, 52 females).

-Nineteen (19) people trained in Shelter Management (11 males; 8 females).

Output 4:

Male: 18

Female: 26

-44 vulnerable households benefitting from loans for climate adaptation – 18 male-headed, 26 female-headed.

Nine (9) persons participated in the project's public awareness presentation on the revolving loans process at DOE's Arbour Day (2 males and 7 females)

Additional environmental education and trainings included:

Twenty-Five (25) people participated in the project's public awareness presentation on ESS & Gender Considerations at DOE's Arbour Day (8 males and 17 females)

Twenty-Four (24) people participated in the project's public awareness presentation on Environmental Management Systems at DOE's Arbour Day (4 males and 20 females)

Nine (9) persons participated in the project's public awareness presentation on sustainable procurement policies at DOE's Arbour Day (3 males and 6 females)

Grenada:

Output 3:

Male: 4

Female: 20

-Direct beneficiaries: To date, the T.A. Marry Show Community College (TAMCC - Refurbishment of roof on the Administrative Building and School for Continuing Education building) has been completed with twenty-four (24) direct beneficiaries (4 males, 20 females).

Output 4:

Male: 8

Female: 37

-Direct beneficiaries: 59 vulnerable households benefitting from loans for climate adaptation - 37 female-headed, 8 male-headed, 14 Joint households (both male and female party to the loans)).

Dominica:

Output 3:

-Thirty-three (33) persons trained in proposal writing, record keeping and data collection – Gender disaggregated data not available at this time.

A3.1 Number and value of physical assets made more resilient to climate variability and change, considering human benefits (Units: multiple, as applicable)

Please provide the "annual value" achieved only during the reported calendar year and the ex-post 'Cumulative Vavalue' on a cumulative basis.

Select A3.1 Units (as many as applicable)

- # of assets
- Value of asset
- Other

Unit - Value of Assets

Currency

USD

Baseline	Annual Value (Reporting Year)	Cumulative Value
0	0	0
Mid-term Target	Final Target	
17	25	

Please do not use commas nor periods for thousand separators. Periods are only allowed as decimal separators.

Remarks (including changes, if any)

Antigua and Barbuda:

There is an estimated value of USD 2,580,000.00 for physical assets that will be made more resilient to climate variability and change, considering human benefits once public sector interventions have been completed. Initial property valuations have been conducted by the Inland Revenue Department (IRD) on properties along the Cashew Hill waterway, however, a valuation is to be done conducted following completion of works. The challenge presented by the IRD, is that several properties along the waterway are not legally registered assets.

Dominica:

Implementation has yet to begin.

Grenada:

Implementation has yet to begin.

A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change (Units: multiple, as applicable)

Please provide ex-post 'Current Value' on a cumulative basis.

Use 'Add row' button to add multiple units/sub-indicators

Unit		
<input checked="" type="checkbox"/> Hectares		
<input type="checkbox"/> Other (please specify)		
<input type="text" value="Hectares"/>		
Please do not use commas nor periods for thousand separators. Periods are only allowed as decimal separators.		
Baseline	Annual Value (Reporting Year)	Cumulative Value
<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0"/>
Mid-term Target	Final Target	
<input type="text" value="30"/>	<input type="text" value="45"/>	
Remarks (including changes, if any)		
<p>Antigua and Barbuda:</p> <p>Coverage of ecosystems protected not yet known. It is anticipated that upon project completion 231.34 hectares of ecosystems will be protected and strengthened.</p> <p>Dominica:</p> <p>No implementation and studies have yet to begin.</p> <p>Grenada:</p> <p>No implementation and studies have yet to begin.</p>		

Select applicable outcome indicators

Please choose Project Outcome Indicators from the list below. In case you changed your project outcome indicator names from the official ones below to project-specific ones, please choose the most relevant indicators from the below list and write the changed indicator name in the Remark text field at the bottom.

- M5.1 Institutional and regulatory systems that improve incentives for low-emission planning and development and their effective implementation
- M5.2 Number and level of effective coordination mechanisms
- M6.1 Proportion of low-emission power supply in a jurisdiction or market
- M6.2 Number of households, and individuals (males and females) with improved access to low-emission energy sources
- M6.3 MWs of low-emission energy capacity installed, generated and/or rehabilitated as a result of GCF support
- M7.1 Energy intensity/improved efficiency of buildings, cities, industries and appliances as a result of Fund support
- M8.1 Number of additional female and male passengers using low-carbon transport as a result of Fund support
- M8.2 Vehicle fuel economy and energy source as a result of Fund support
- M9.1 Hectares of land or forests under improved and effective management that contributes to CO2 emission reductions
- M10.1 Number of technologies and innovative solutions transferred or licensed to support low-emission development as a result of Fund support
- A5.1 Institutional and regulatory systems that improve incentives for climate resilience and their effective implementation.
- A5.2 Number and level of effective coordination mechanisms
- A6.1 Use of climate information products/services in decision-making in climate-sensitive sectors
- A7.1 Use by vulnerable households, communities, businesses and public-sector services of Fund-supported tools, instruments, strategies and activities to respond to climate change and variability
- A7.2 Number of males and females reached by [or total geographic coverage of] climate-related early warning systems and other risk reduction measures established/ strengthened
- A8.1 Number of males and females made aware of climate threats and related appropriate responses
- A9.1 Number of technologies and innovative solutions transferred or licensed to promote climate resilience as a result of Fund support

2.4.3 Project/Programme-level Outcome & Output Indicators

Please provide the "annual value" achieved only during the reported calendar year and the ex-post 'Cumulative Value' on a cumulative basis. If you have multiple outputs to be reported against one outcome, you need to write down the same outcome name for every output. Likewise, if you have multiple indicators to be reported against one output, you need to write down the same output name and corresponding outcome name for every indicator.

Use 'Add row' button to add multiple outcomes, outputs and/or indicators.

Results Areas		Outcome Name	
[Adaptation] Health, Food, and Water Security		A5.0 Strengthened institutional and regulatory systems access climate finance from the GCF and other funds.	
Output Name (under the afore-mentioned outcome)			
Not Applicable			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
Indicator Name			
5.2 Number and level of effective coordination mechanisms strengthening of finance related by-laws, regulations and operational procedures			
Unit			
Coordination Mechanisms			
Baseline	Annual Value (Reporting Year)	Cumulative Value	
0	0	3	
	Please do not use commas nor periods for thousand separators. Periods are only allowed as decimal separators.	Please do not use commas nor periods for thousand separators. Periods are only allowed as decimal separators.	
Mid-term Target	Final Target		
3	6		

Remarks (including changes, if any)

Antigua and Barbuda:

(Environmental Protection and Management Act (EPMA), SIRFF Board, SIRFF Regulations)

Antigua and Barbuda's Environmental Protection and Management Act (2015) was repealed and replaced by EPMA (2019), providing for the implementation of the SIRF Fund (SIRFF) Board. The SIRFF Board became fully functional in October 2019 and SIRFF Board Regulations approved in August 2020. This body oversees disbursement and monitoring of the grant and loan applications under Outputs 3 and 4.

Grenada:

At this time, GCF policies and procedures have been integrated into the following projects in Grenada: Agriculture NAP, Food Security Readiness, Caribbean Community Climate Change Centre's Resource Mobilization Action Plan, and the Project Preparation Development and Management (PPDMU) Unit's framework and handbook.

Dominica:

No progress to report for CY2023. GCF EDA Bank Account established to facilitate the housing of funds.

If applicable, please submit a supporting document describing the calculation methodology for the current values provided.

Results Areas

[Adaptation] Health, Food, and Water Security

Outcome Name

A7.0 Strengthened adaptive capacity and reduced exposure to climate risks

Output Name (under the afore-mentioned outcome)

Not Applicable

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

7.1 Use by vulnerable households, communities, businesses and public-sector services of Fund-supported tools, instruments, strategies and activities to respond to climate change and variability (Households: disaggregated by male-headed and female-headed)

Unit

Direct Beneficiaries

Baseline

0

Annual Value (Reporting Year)

23

Please do not use commas nor periods for thousand separators. Periods are only allowed as decimal separators.

Cumulative Value

119

Please do not use commas nor periods for thousand separators. Periods are only allowed as decimal separators.

Mid-term Target

6 600

Final Target

13 200

Remarks (including changes, if any)

Antigua and Barbuda:

EDA SIRFF loan application process closed as of May 2022 as funds have been fully committed. As of the close of this activity, there have been 47 loans for climate adaptation:

44 vulnerable households (One beneficiary received 2 loans for the same household; therefore, the beneficiary was counted as one)
 2 businesses use Fund supported instruments to respond to climate change and variability
 Grants for 4 community organizations were approved by the Project Management Committee on behalf of the SIRFF Board
 One (1) PSIP grant was issued in Antigua and Barbuda

Grenada:

Three approved sub-projects are under implementation following approval by the Technical Advisory Committee (TAC): GRENCODA (Construction of an Emergency Shelter), TAMCC (Refurbishment of roof on the Administrative Building and School for Continuing Education building) and the Program for Adolescent Mothers (PAM) (Roof refurbishment Project). An additional proposal has since been submitted to the TAC for review and consideration. Once approved, a request for bids will be sent out to selected contractors to expedite the process.

During this reporting period, 59 vulnerable households benefitting from loans for climate adaptation.

Dominica:

Six (6) project proposals were approved for funding by the NCCC and PMC and are under implementation. Issuance of loans has yet to begin.

If applicable, please submit a supporting document describing the calculation methodology for the current values provided.

Results Areas		Outcome Name	
[Adaptation] Health, Food, and Water Security		A7.0 Strengthened adaptive capacity and reduced exposure to climate risks	
Output Name (under the afore-mentioned outcome)			
Not Applicable			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
Indicator Name			
7.1 Number of transparent sustainable financing mechanisms supporting adaptation in the OECS sub-region			
Unit			
Funding Mechanisms			
Baseline	Annual Value (Reporting Year)	Cumulative Value	
0	0	1	
	Please do not use commas nor periods for thousand separators. Periods are only allowed as decimal separators.	Please do not use commas nor periods for thousand separators. Periods are only allowed as decimal separators.	
Mid-term Target	Final Target		
3	6		

Remarks (including changes, if any)

Antigua and Barbuda:

In Antigua and Barbuda, the SIRF Fund was established as a funding mechanism for the programming of grants and loans. The SIRFF Board became fully functional in October 2019 and SIRFF Board Regulations approved in August 2020. This body oversees disbursement and monitoring of the grant and loan applications under Outputs 3 and 4:

-EDA SIRFF loan application process closed as of May 2022 as funds have been fully committed. As of the close of this activity there have been 47 loans for climate adaptation: 44 vulnerable households (One beneficiary received 2 loans for the same household; therefore, the beneficiary was counted as one) and 2 businesses use Fund supported instruments to respond to climate change and variability

-Grants for four (4) community organizations were approved by the Project Management Committee on behalf of the SIRFF Board.

-One (1) PSIP grant was issued in Antigua and Barbuda

Grenada:

At the time of reporting, no additional funding mechanisms have been established in Grenada. The Grenada Development Bank serves as the service provider for the revolving loans process, while the Rural Development Unit serves as service provider under Output 3. To date, Three approved sub-projects are under implementation following approval by the Technical Advisory Committee (TAC): GRENCODA (Construction of an Emergency Shelter), TAMCC (Refurbishment of roof on the Administrative Building and School for Continuing Education building) and the Program for Adolescent Mothers (PAM) (Roof refurbishment Project). An additional proposal has since been submitted to the TAC for review and consideration. Once approved, a request for bids will be sent out to selected contractors to expedite the process. During this reporting period, 59 vulnerable households and 2 businesses benefit from loans for climate adaptation.

Dominica:

To date, additional funding mechanisms have not been established in Dominica, however, Government Housing Loans Board serves as the service provider under Output 4, and the Global Environment Facility (GEF) Small Grants Programme (SGP) serves as service providers under Output 3. At the time of reporting, six (6) project proposals were approved for funding by the NCCC and PMC and are under implementation, however, the issuance of loans has yet to begin.

If applicable, please submit a supporting document describing the calculation methodology for the current values provided.

Results Areas		Outcome Name	
[Adaptation] Health, Food, and Water Security		A7.0 Strengthened adaptive capacity and reduced exposure to climate risks	
Output Name (under the afore-mentioned outcome)			
Not Applicable			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
Indicator Name			
7.2 Number of direct beneficiaries (dis-aggregated by gender) of Fund-supported small grants for adaptation to respond to climate change and variability			
Unit			
Small Community Adaptation Grants			
Baseline	Annual Value (Reporting Year)	Cumulative Value	
0	0	328	
	Please do not use commas nor periods for thousand separators. Periods are only allowed as decimal separators.	Please do not use commas nor periods for thousand separators. Periods are only allowed as decimal separators.	
Mid-term Target	Final Target		
30	60		

Remarks (including changes, if any)

Antigua and Barbuda:

Four (4) Grants approved by Project Management Committee on behalf of the SIRFF Board to CSOs;Implementation underway:

- Steps to Christ Seventh Day Adventist Church with forty-nine (49) direct beneficiaries (22 males, 27 females).
- Tindall Temple Seventh Day Adventist Church with one hundred and eleven (111) direct beneficiaries, including 1 disabled (49 males, 62 females).
- Bible Speaks sixty (60) direct beneficiaries, including two disabled (28 males, 32 females)
- Eastern West Indies Province: Cashew Hil Moravian with eighty-four (84) direct beneficiaries, including 15 disabled (32 males, 52 females).

Grenada:

Four (4) proposals were submitted and reviewed by the TAC. Three (3) were approved, while one did not meet the criteria as the proposal was incomplete. Three approved sub-projects are under implementation, with an additional proposal submitted to the TAC for review and consideration. To date, the T.A. Marry Show Community College (TAMCC - Refurbishment of roof on the Administrative Building and School for Continuing Education building) has been completed with twenty-four (24) direct beneficiaries (4 males, 20 females). Data (disaggregated by gender) for three remaining grant beneficiaries not available at this time.

Dominica:

Six project proposals were approved for funding by the NCCC and PMC and are under implementation. Data (disaggregated by gender) not available at this time.

If applicable, please submit a supporting document describing the calculation methodology for the current values provided.

Results Areas		Outcome Name	
[Adaptation] Health, Food, and Water Security		A7.0 Strengthened adaptive capacity and reduced exposure to climate risks	
Output Name (under the afore-mentioned outcome)			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
Indicator Name			
7.3 Number of vulnerable households and businesses that use Fund-supported instruments to respond to climate change and variability (Households: disaggregated by male-headed and female-headed)			
Unit			
Loans			
Baseline	Annual Value (Reporting Year)	Cumulative Value	
0	25	107	
Please do not use commas nor periods for thousand separators. Periods are only allowed as decimal separators.		Please do not use commas nor periods for thousand separators. Periods are only allowed as decimal separators.	
Mid-term Target	Final Target		
200	400		
Remarks (including changes, if any)			
<p>Antigua and Barbuda: EDA SIRFF loan application process closed as of May 2022 as funds have been fully committed. As of the close of this activity, there have been 47 loans for climate adaptation: 44 vulnerable households (18 male-headed, 26 female-headed); One beneficiary received 2 loans for the same household; therefore, the beneficiary was counted as one) 2 businesses use Fund supported instruments to respond to climate change and variability.</p> <p>Additional applicants to benefit from reflows in the next reporting period.</p> <p>Grenada: Revolving loans for climate adaptation was launched, and loans disbursed to sixty- one (61) beneficiaries: 2 businesses and 59 vulnerable households (37 female-headed, 8 male-headed, 14 Joint households (both male and female party to the loans)).</p> <p>Dominica: No loans issued this reporting period.</p>			
If applicable, please submit a supporting document describing the calculation methodology for the current values provided.			

2.5 Report on changes during implementation (include actual and expected changes)

There were no changes during this implementation period, changes can be anticipate for next reporting period:

1. Output 1: Focus directed to the provision of training in green procurement and the close of activities related to the accreditation of entities.
2. The use of the bulk purchasing by the AE to reduce purchasing cost of materials and to allow for lower income families to participate in Output 4, as well as facilitate the implementaion of Output 2 in Antigua and Barbuda and Grenada at a reduced cost;
3. The movement of funds from Output 2 to Outputs 4 within country budget lines. The amount will be less than the 10% requirement to constitute a major change. This is not expected to change the project targets for the output;

The changes are not expected to result in a major change. The DOE ATG has prepared concept notes and proposals for presentation to the regional PMC. However, the regional PMC will not occur prior the submission of this report, but is set to be scheduled in March 2024.

Describe changes to the project during the reporting period. In particular, the report should cover elements such as change of beneficial ownership structure, management changes of the Accredited Entity, policies and other elements relevant for the project, and any other material change that could influence the overall outcome of the project.

2.6 Implementation challenges and lessons learned

Below is examples per risk type for reference. N.B. Choose the most relevant type if the challenge is related to multiple types.

Operational: Start-up delays, inadequate or failed internal processes/systems, people management issues, restructuring

Political: Elections and changes in government, humanitarian crises, war, civil disorder, terrorism, sanctions and embargoes, criminal activities, and other country-specific issues

Procurement: Disruption/lapse in supply chain, conflict with suppliers, market failure

Legal: Contractual breach, legal disputes, insurance issues, changes in law and regulations on the ground, third-party liabilities

Sanctions: UN-imposed or any other international sanctions

Covid-19: Covid-19 related

Compliance: Any non-compliance with any GCF policies and procedures

Environmental and Social Safeguards (ESS): Any activities against GCF ESS policy

Gender: Any activities against GCF Gender policy

Indigenous Peoples (IPs): Any activities against GCF IPs policy

Financial: Potential default on loan repayment, and asset-liability management issues

Prohibited practices: Any activities against GCF Prohibited Practices policy

Ethics and conflicts of interest: Any activities against GCF Ethics and conflicts of interest

AML/CFT: Any activities against GCF AML/CFT policy and standards

Fiduciary standards: Any activities against GCF Fiduciary standards

SEAH: Any activities against GCF SEAH policy

Whistle-blowers: Any activities against GCF Protection of whistle-blowers and witnesses policy

Other: E.g., Natural uncertainties (COVID-19), cyber security risks

Challenge encountered

Procurement delays caused by the knock-off effect of Covid-19 has resulted in an increase in project costs, particularly in Outputs 3 and 4. The impacts of high cost of materials, contractors and consultancies nationally continued to present a significant challenge to the beneficiaries of Output 3 in 2023. The beneficiaries have also faced delays in the completion of sub-projects due to constraints in accessing materials for construction (supply chain issues) and limitations in availability of contractors and construction workers. Notwithstanding this, the sub-projects are moving along.

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.

Challenge type

Impact level on the project implementation

Procurement

Moderate

Measures adopted

Some measures employed are: (i) At first, the project delayed implementation to allow for time for reduction. (ii) reduction in scope of the grants and fund raising for additional information (iii) in the case of the loans, reduction in scope and the increase in lending period for the loans to allow for low income persons to participate, (iv) bulk purchasing directly from the manufactures. This will be done by the AE and shipped to the respective countries – This approach is yet to be employed.

Please check if the above-mentioned challenge(s) has been resolved during the reporting period

The challenge(s) has been resolved during the reporting period.

Lesson learned and other remarks

The challenges were only partially addressed. Additional interventions will be undertaken in the next reporting period.

Please check if this challenge is caused by COVID-19 pandemic.

Yes

No

Please choose the severity of overall impact.

A major change(s) required

Description of levels of severity:

1. On-track with no or minor impact: No or minor impact on project implementation and corresponding annual activities.
2. Facing delays: Implementation progress faced delays in the timeline but did not require any substantial changes in the implementation plan.
3. A minor change(s) required: Changes that are not classified as Major changes but requires intervention from GCF.
4. A major change(s) required: As per paragraph 16 of the Policy on Restructuring and Cancellation - Board Decision B.22/14 paragraph (a). Please find the link to the policy document below.

[GCF Policy on Restructuring and Cancellation](#)

Select a type of the COVID-19 challenges encountered.

Supply Chain

Sample challenges for Supply Chain:

- Delays in procurement and importation of materials, and equipment due to halt in production or lack of raw material and supplies
- Logistic challenges leading to loss of business opportunities
- Need for extensions of tender submission dates

Please describe if any support is required from the GCF to address the COVID-19 impact on your project/programme.

The supply issue is one of several challenges. This however is particularly acute in SIDS where studies have shown the that cost of materials in SIDS is comparably higher. The cost of climate change interventions are high, which has made implementation of interventions difficult for beneficiaries under Outputs 3 and 4, as well as the execution of Output 2. For government beneficiaries the Government was focused on survival activities rather that this project. This caused critical delays which extended well into 2023.

Challenge encountered

During initial implementation phases within the three pilot countries, challenges were encountered with the Environmental and Social Impact Assessment (ESIA) and Gender process. These challenges primarily stem from a gap in familiarity with the Green Climate Fund (GCF) processes and procedures by the countries' respective Ministries of Finance (MOF). Traditionally, these ministries have engaged more frequently with institutions like the World Bank and the Caribbean Development Bank, which typically establish a Project Management Unit (PMU) operating with a degree of independence from the MOF. This practice has cultivated a certain organizational culture:

-The Environmental and Social Safeguards (ESS) and Gender risk framework approaches are not fully integrated or acknowledged within the operational culture of the respective Ministries.

-There is a noticeable gap in the recognition and valuation of the insights and expertise provided by the Environmental Departments (EE), with the MOFs often perceived as taking precedence, not fully accommodating the unique requirements and implementation methodologies associated with GCF projects.

-In one pilot country, project selection processes were observed to favor traditional development projects over those specifically aimed at climate action, necessitating multiple project iterations.

The pilot countries have not fully leveraged their national ESIA planning processes for integrating ESIA and Gender considerations, thereby impacting the pace and efficiency of project outcomes. This will necessitate support and intervention from the Accredited Entity (AE).

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.

Challenge type

Impact level on the project implementation

Environmental and Social Safeguards (ESS)

Moderate

Measures adopted

In response, the AE is planning to conduct consultations with the pilot countries to enhance progress and address these challenges. It has been proposed that the approach to this output be reevaluated, particularly in light of the current funding levels, which are perceived as insufficient for fostering a significant cultural shift within the typical MOF and Public Sector Investment Program (PSIP) processes.

Please check if the above-mentioned challenge(s) has been resolved during the reporting period

The challenge(s) has been resolved during the reporting period.

Lesson learned and other remarks

Please check if this challenge is caused by COVID-19 pandemic.

Yes

No

Challenge encountered

Dominica has three sub-projects under to be undertaken in Output 3, all of which are ecosystem-based and direct adaptation. One of three sub-project is within the Kalinago Territory (indigenous people); However, the EE has not yet provided its complaints mechanism to support the implementation of this sub-project. It is to be noted that AE does not have an Indigenous Peoples Policy, and therefore does not have the capacity to address complaints if they arise.

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.

Challenge type **Impact level on the project implementation**

Operational Moderate

Measures adopted

The AE is undertaking consultations with the EE to devise options for this issue.

Please check if the above-mentioned challenge(s) has been resolved during the reporting period

The challenge(s) has been resolved during the reporting period.

Lesson learned and other remarks

Please check if this challenge is caused by COVID-19 pandemic.

Yes

No

Challenge encountered

Grenada's pilot project under Output 2 focuses on adaptation. However, the sub-project is not designed to utilise an ecosystem-based approach and may have a high risk of maladaptation. The AE has emphasized that, included in Subsidiary Agreement (SA) Section 15.02. (V) and the FAA, an approval process of the ESIAMP is to be conducted by the GCF. Despite this, the following adaptation interventions included in the proposed PSIP sub-project were completed in 2023 subsequent to the approval of the ESIAMP:

- Removal of the shipwreck positioned towards the western end of the project site, and within the immediate vicinity of the Lauriston Point.
- Restoration of the mangrove ecosystem within Lauriston Point through a partnership with local communities, led by the Grenada Fund for Conservation.
- Commencement of coral out-planting

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.

Challenge type **Impact level on the project implementation**

Compliance High

Measures adopted

Interventions have been placed on hold pending the revision of the scope of work of the sub-project and the subsequent approval of the ESIA for that project by the GCF.

Please check if the above-mentioned challenge(s) has been resolved during the reporting period

The challenge(s) has been resolved during the reporting period.

Lesson learned and other remarks

Please check if this challenge is caused by COVID-19 pandemic.

Yes

No

2.7 Updated implementation timetable for the Funded Activity

Please submit the implementation time table for the Funded Activity

[FP061 Schedule 5_Proposed Implementation Plan FY 2024.xlsx](#)

2.8 [Private Sector Co-Investment Platform only] List of the ultimate beneficiaries (asset owners) or the investors (asset managers)

Please provide where GCF's investments are possibly benefitting leading green institutional investors (such as California State Retirement Savings, Norges Bank, Kuwait Investment Authority, Temasek).

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 2 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 2 is complete and ready for submission.

APR CY2023 Section 3: Financial Information - v4 2024-10-29 21:19 +09:00

[APR CY2023] Section 3: Financial Information

Please note that this is section 3 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

3.1 Annual Financial Performance Report

Please download the Financial Performance Report Template in Excel.

[Financial Performance Report Template](#)

Please note that the Financial Performance Report template has been updated with an additional master sheet where you will see a summary of data automatically extracted from multiple worksheets. AEs do not need to make any additional actions in this regard.

Please attach the Annual Financial Performance Report here.

[GCF FP061 Revised annual-performance-report-financial-information-section-APR5_Final.xlsx](#)

Please make sure you use the attached template provided by the GCF. Reports submitted in any other formats would not be accepted.

Please provide any comments as necessary.

Please see Section 5: Annexes and Attachments for supporting documents.

3.2 Unaudited Financial Statement

Submit the Unaudited financial statement (as required by FAA)

[GCF EDA - Financial Statements 2023.pdf](#)

(Semi-)Annual Audited financial statement, Interim/Final Evaluation Report should be submitted via separate and dedicated submission channels.

Please provide any comments as necessary.

3.3 Disbursement projection

Select reporting currencies *

USD

EUR

GBP

JPY

This section is for GCF internal planning purposes only. Please provide indicative disbursement projections for 2024 and 2025. The information reported herein will not be published.

Please be mindful of the Undisbursed Balance provided above and avoid overbudgeting the projected values.

Projected disbursements to be requested in all of 2024: January 1st – December 31st, 2024. Please include disbursement requests already submitted in 2024 prior to APR submission.

Projected disbursements to be requested in all of 2025 (USD): January 1st – December 31st, 2025.

Please insert 0 (zero) and elaborate the reasons in the notes box below, if no projection can be provided.

Please insert 0 (zero) if financial instruments are not applicable to this project/programme.

	Approved budget by instrument	Cumulative Amount Disbursed from GCF to AE (until the end of 2023) by instrument	Undisbursed Balance (GCF Approved Funding)-(GCF Disbursed Funds)	Projected disbursements to be requested in all of 2024 (USD)	Projected disbursements to be requested in all of 2025 (USD)	Remaining budget
Equity			0			0.0
	<input type="text"/>	<input type="text" value="0"/>		<input type="text" value="0"/>	<input type="text" value="0"/>	
Grants			200000			0.0
	<input type="text" value="20000000"/>	<input type="text" value="19800000"/>		<input type="text" value="200000"/>	<input type="text" value="0"/>	
Guarantees			0			0.0
	<input type="text"/>	<input type="text" value="0"/>		<input type="text" value="0"/>	<input type="text" value="0"/>	
In-kind			0			0.0
	<input type="text"/>	<input type="text"/>		<input type="text" value="0"/>	<input type="text" value="0"/>	
Results-Based Payment			0			0.0
	<input type="text"/>	<input type="text" value="0"/>		<input type="text" value="0"/>	<input type="text" value="0"/>	
Senior Loans			0			0.0
	<input type="text"/>	<input type="text" value="0"/>		<input type="text" value="0"/>	<input type="text" value="0"/>	
Sub-ordinated Loans			0			0.0
	<input type="text"/>	<input type="text" value="0"/>		<input type="text" value="0"/>	<input type="text" value="0"/>	
Total			200000	200000	0	0.0
	<input type="text" value="20000000"/>	<input type="text" value="19800000"/>				

Notes

The project has one remaining disbursement of USD200,000.00

Please add any further explanations on the provided disbursement projections above if necessary.

Please confirm that disbursement projections are provided as per the guiding notes above. *

Confirmed

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 3 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 3 is complete and ready for submission.

APR CY2023 Section 4: Environmental and Social Safeguards & Gender - v4 2024-10-29 21:19 +09:00

[APR CY2023] Section 4: Environmental and Social Safeguards & Gender

Please note that this is section 4 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

4.1 Implementation of environmental and social safeguards and gender elements

Please provide information on the project or programme on the following: (1) key risks and impacts as identified; (2) compliance with applicable laws and regulations including FAA conditions and covenants; and (3) progress in the implementation of environmental and social management plans and programs including monitoring activities undertaken during the implementation of the funded activity.

4.1.1 The information includes description on any changes in the key environmental and social risks and impacts as identified and arising from the implementation including any unanticipated risks and impacts (ex. from changes in laws and regulations) and, based on these if any change in the project's environmental and social risk category. In case of a change in the E&S risk category for the project, please provide an explanation.

There were no changes to the E&S risk category for the project, nor any adverse environmental, social or gender impacts during this reporting period. In Antigua and Barbuda, a postmortem of Outputs 2 and 4 was conducted by independent consultants. Under output 2, Alpha Engineering & Design Ltd was procured to conduct site specific field assessments for the resilience to Climate Change impacts in the Cashew Hill Subwatershed. This assessment included a comparative field assessment report on the Cashew Hill watercourse infrastructure, of upgraded culverts and drains, against the original designs of the interventions as a quality assurance measure. The consultancy also sought to provide recommendations on future flood mitigation interventions. Under Output 4, an independent evaluation was conducted of the DOE's Revolving Loan Programme. The following case studies were produced by the evaluators along the final evaluation report: (i) Unlocking success of the RLP and (ii) Empowering Resilience: Revolving Loans for female-headed households in Antigua and Barbuda. Outcomes of the evaluation have been published on the DOE ATG's website for public viewing.

In Antigua and Barbuda, an independent external assessment is currently being undertaken for Output 3, but it is not expected to assess adherence to the ESS and Gender risks for which the AE was accredited. Dominica and Grenada completed their ESIAMPs for the respective Output 2 and these have been submitted to the GCF for review and approval. Initial comments were received and these are currently being responded to by the EEs. Outputs 3 and 4 for all pilot countries are adhering to the risk assessment. However, for Dominica's Output 2, one of the sub-projects will be executed in the Kalinago Territory. Dominica has yet to set up its formal complaint mechanism. In the interim, the pilot countries are utilizing DOE ATG's complaints mechanism. The DOE ATG does not have an indigenous policy and it is not clear if the DOE's complaints mechanism has the capacity to handle potential complaints for this sub-project. The DOE ATG is working with Dominica to address this issue in 2024. Options for addressing this issue is the use of the complaints mechanism of entities such as the Caribbean Climate Change Centre (5Cs) – a GCF DAE, or UNDP to support project implementation. The DOE ATG has a formal risk management report for 2023 that can be provided.

4.1.2 The information should include status of compliance with applicable laws and regulations of the country as well as the relevant conditions or covenants under the FAA. This can be captured in the table below:

Status of compliance with applicable laws and regulations and the conditions and covenants specifically addressing ESS & Gender under FAA

<p>Compliance Type</p> <p>Condition</p>
<p>Compliance Title & Description</p> <p>9.01(a)(v) Delivery to the Fund by the Accredited Entity of evidence of an approved technical manual and an environmental and social safeguards policy that establish the procedures and requirements related to environmental and social safeguards for projects/programmes.</p>
<p>Status of compliance</p> <p>The AE remains compliant with the conditions provided under FAA clauses 10.02 (c), and (d), and applicable laws and regulations on environment, land, labour, among others. The AE is undertaking all adequate measures to ensure that none of the persons or activities included in the excluded activity list (as contained in Schedule 9) is funded or otherwise receives, directly or indirectly, any GCF Proceeds. The AE also ensures the project activities, the final beneficiaries and /or TA beneficiaries, as applicable, for the implementation of the funded activity are selected in accordance with the eligibility criteria.</p>

Compliance Type
Condition
Compliance Title & Description
9.01(a)(vi) Delivery to the Fund by the Accredited Entity of evidence that the Accredited Entity has conducted consultations with all relevant stakeholders of the Funded Activity in each of the three (3) Host Countries, including with possible contractors, micro-lending institutions and beneficiaries.
Status of compliance
Fulfilled.

Compliance Type
Condition
Compliance Title & Description
10.02 (b), Covenant: Ensure that no GCF Proceeds are used to support or finance, directly or indirectly, any activities with potential environmental and social risks that are equivalent to Category A pursuant to the Environmental and Social Risks Categories to be conducted as part of the Project.
Status of compliance
Compliant.

Compliance Type
Condition
Compliance Title & Description
10.02 (f), Covenant: Undertake and/or put in place any adequate measures in order to ensure that the management of the environmental and social risks and impacts arising from the Funded Activity complies at all times the recommendations, requirements and procedures set forth in the Environmental and Social Management Plan ("ESMP"), which was provided by the Accredited Entity to the Fund before the Approval Decision.
Status of compliance
Compliant.

Compliance Type
Condition
Compliance Title & Description
10.02 (g), Covenant: Obtain, or ensure that the Executing Entity(ies) and/or Final Beneficiary(ies) shall obtain, all land and rights in respect of land that are required to carry out the Funded Activity, and that such land acquisition shall not result to involuntary resettlement (either economic or physical displacement, or both) and shall promptly furnish to the Fund, upon its request, evidence satisfactory to the Fund that such land and rights in respect of the land are available for the purposes of the Funded Activity.
Status of compliance
Compliant. The approved ESIA/MP for construction under Output 2 stated that there will no displacement of persons/properties or land acquisitions. During this reporting period, there was no displacement or land acquisitions. Activities in Grenada and Dominica have not yet started.

Compliance Type
Condition

Compliance Title & Description
10.02 (h), Covenant Prior to commencing any construction works or activities for the implementation of the Project, submit to the Fund a detailed ESMP related to the relevant construction works or activities to be executed, in a form and substance satisfactory to the Fund.
Status of compliance
Compliant. - ESMP for construction projects under Output 2 in Antigua and Barbuda was completed and approved by the Fund on 8th December 2021. - ESMP and GAP for construction projects under Output 2 in Dominica were completed and submitted to the GCF for consideration. GCF responses are currently being addressed. - TORs for the ESIAMP were drafted and finalized for Grenada were completed and submitted to the GCF for consideration. GCF responses are currently being addressed.

Compliance Type
Condition
Compliance Title & Description
10.02 (c), and (d), and (ii) applicable laws and regulations on environment, land, labour, among others.
Status of compliance
The AE remains compliant with the conditions provided under FAA clauses 10.02 (c), and (d), and applicable laws and regulations on environment, land, labour, among others.

4.1.3 Provide a report on the progress made during the reporting period in implementing environmental and social management plans (ESMPs) and frameworks (ESMFs) describing achievements and specifying details outlined in the tables below.

Implementation of ESMPs and ESMFs

Activities implemented during the reporting period, including monitoring
Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access.

Outputs during the reporting period

Ongoing adaptation and resilience interventions by six (6) grantees in Dominica for Output 3; four (4) grantees in Antigua and; three (3) grantees in Grenada.

Under Output 4, sixty-one (61) loan applicants approved in Grenada, (47) approved loan applicants in Antigua & Barbuda, with additional applicants through the DOE-ATG's reflows.

Grenada communicated the selection of a DAE to the Adaptation Fund. Formal communication of the Cabinet Decision has been provided to the AE.

M&E Manuals created for the regional EDA Project and individual pilot countries through OECS Commission consultancy.

Remediation MEL Training facilitated by the GCF on Beneficiary Measurement, Indicators, Data, Results, Baselines, Evaluation and Theory of Change (TOC) for eleven (11) EDA staff from Antigua & Barbuda, Dominica and Grenada in Q2 2023.

In Antigua and Barbuda, public awareness and educational sessions were held on the DOE-ATG's grants and revolving loans process, ESS & Gender considerations, environmental management systems, sustainable public procurement, and green entrepreneurship.

Project Management Committees are in place in all 3 pilot countries to provide oversight and approvals for project management activities. Contractual Arrangements have also been made with Service Providers under Outputs 3 and 4 in the pilot countries for the programming of grants and loans.

In all three pilot countries, procurement procedures have maintained transparency and equal opportunity through the public disclosure of RFPs, project offerings and project reporting via televised and radio interviews, press releases, launch ceremonies, online publication on Government websites, and community walk-throughs (for loan offerings).

ESIAMP Reviews conducted by Line Ministries in Dominica and Grenada prior to submission to the AE for GCF Review.

Sustainable Public Procurement Policy, Guidelines, and Action Plans for the three pilot countries were presented to the PMCs in each pilot country for review and further decision on submission to Cabinet for adoption.

DOE-ATG's Complaints Mechanism practices continue to be utilized by the pilot countries. The policies of the Service Providers of the pilot countries are also applied.

Request for Proposals and Tender documents, including scoring criteria, issued under the EDA include a clause that states that: the tender process uses non-discrimination and equal opportunity hiring practices, and that persons with different abilities are encouraged to apply. Companies in which females are employed are also strongly encouraged to apply.

Key environmental, social and gender issues, risks and impacts addressed during implementation

EOIs highlighted the need for gender balance and the inclusion of persons with different abilities.

Grant recipients in Antigua and Barbuda are unable to garner full support by members to attend educational workshops and there is also a lack of interest by loans recipients in attending workshops. This has lengthened the time period of workshop execution and lowered participation numbers. The team engaged the Community Development Division and other partner agencies to improve public awareness of upcoming training offerings.

Direct communication by the AE with the Permanent Secretaries of the EEs has improved the rate of decision-making and EE-ownership of the project.

Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

Re-engage sub-regional steering committee ensuring adequate gender balance. Reconstitution of oversight committees and focal points after an election year has slowed down the rate of project implementation and continuity.

Develop Strategy to improve the frequency of PMC meetings in Dominica and Grenada.

Oversight and final review of ESIAMPs by the AE prior to submission to the GCF as a risk mitigation and compliance measure to ensure proposed projects and EIAs meet GCF standards and are aligned with project risk categories.

Re-engagement with PMCs for adoption of SPP by Cabinet for integration into the countries' procurement practices and for best practices to be shared with other OECS territories.

Activities implemented during the reporting period, including monitoring

Governments implement concrete adaptation measures using ecosystem-based approaches where appropriate.

Outputs during the reporting period

ESMP for public sector interventions in Antigua and Barbuda has been implemented for work completed in 2023 and will continue to be monitored. For project activities implemented during the reporting period with ESMP/ESIAMP in place (i.e., project activities in Antigua and Barbuda), key results of monitoring activities can be found in the ESMP Output 2 report attached in Section 5: Annexes and Attachments.

At the time of project reporting, the ESIAMPs for activities in Dominica and Grenada have been submitted for approval in Q2 2023. GCF Reviews are currently being addressed by the pilot countries. At the time of review by the AE, the proposed sub-project activities in Grenada were not designed to utilise an ecosystem-based approach and may have a high risk of maladaptation. Consultants to be contracted to update detailed designs of proposed adaptation interventions for both Dominica and Grenada to ensure alignment with ESS and timely completion within the revised NCE implementation closing date.

In Grenada, adaptation measures were carried out, including the removal of the shipwreck positioned towards the western end of the project site, and within the immediate vicinity of the Lauriston Point were carried out to reduce vulnerabilities along the coast and restoration of the mangrove ecosystem within Lauriston Point through a partnership with local communities, as a mangrove replanting activity led by the Grenada Fund for Conservation, as well as the commencement of coral out-planting interventions.

All ongoing adaptation interventions under the EDA have received physical planning approvals prior to commencement of works.

Stakeholder consultations carried out in Antigua and Barbuda and Dominica.

Key environmental, social and gender issues, risks and impacts addressed during implementation

Upon the AE's discovery of work commenced under Output 2 in Grenada, work has been halted upon approval of ESIAMP by the GCF.

Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

Need for further training in ESS & Gender so country teams can conduct accurate reviews of documents submitted by consultants with an ESS & Gender component or deliverable

Activities implemented during the reporting period, including monitoring

Community resilience to climate impacts is enhanced through tangible adaptation benefits.

Outputs during the reporting period

Ongoing adaptation and resilience interventions by six (6) grantees in Dominica for Output 3.

Ongoing adaptation and resilience interventions by four (4) grantees in Antigua and three (3) grantees in Grenada. Grantees in Antigua and Barbuda have also formed Shelter Management teams.

Ongoing adaptation and resilience interventions by loan recipients in Antigua and Barbuda and Grenada.

Key environmental, social and gender issues, risks and impacts addressed during implementation

Reconstitution of the TAC Committee in Grenada was slow to advance and this has delayed the review of the final sub project proposal submitted for the fourth grant recipient.

Several grantees experienced changes in management and has had a slow pace in reconstituting a full team of volunteers for the shelter management teams.

Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

Additional CBOs and NGOs in pilot countries should receive training in identifying and mitigating against ESS risks and project management prior to the development of project proposals

Activities implemented during the reporting period, including monitoring

Privately owned physical assets of vulnerable populations are more resilient to climate variability and change through concessional microfinancing.

Outputs during the reporting period

As of the close of this activity, there have been 47 loans for climate adaptation in Antigua and Barbuda. In Grenada, 61 loans have been issued for climate adaptation.

Implementation of climate-proofing activities continues in Grenada, and monthly loan repayments in both pilot countries are ongoing.

To date, there has been no cause for rejection due to an E&S risk.

Key environmental, social and gender issues, risks and impacts addressed during implementation

Application and information documentation highlight exclusion; and Loan approval criteria captured potential risks for applicants to address / resolve before funds are disbursed

Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

Public awareness activities and training for community members should be provided on loan opportunities and general criteria to be met. This capacity-building exercise will be in preparation for any loan offerings that may be provided in the future in any of the pilot countries.

Activities implemented during the reporting period, including monitoring

Project management

Outputs during the reporting period

Procurement and renewal of staff and equipment.

Key environmental, social and gender issues, risks and impacts addressed during implementation

Ensure transparency of national decision-making processes, RFPs include clauses on non-discrimination, equal opportunities and inclusion of persons with different abilities.

Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

4.1.4 AEs are obligated to inform executing entities, people and project beneficiaries about the GCF's Independent redress Mechanism and the AE's own Grievance Redress Mechanism. This includes bringing the contact details, accessibility, and basic procedures of such mechanisms to the attention of executing entities, people and project beneficiaries. Please provide detailed information on the steps taken by the AE to fulfill this obligation during the reporting period in the project target area and to the public, including the dissemination of information through meetings, brochures, hotlines, and other means.

Please provide detailed information including dates and venues of activities, number of attendees, confirmation that information was provided on the 1) IRM and 2) AE's grievance redress mechanism 3] project-level grievance mechanism (where applicable).

The GCF EDA project is designed to pilot the EDA of the GCF. During the initial set up of the project, the pilot countries of Grenada, Dominica and Antigua and Barbuda were to utilize the complaints mechanism provided by the Accredited Entity, until associated mechanisms were developed. However, both Grenada and Dominica continue to utilize the AE's complaints mechanism in year five (5) of the project. The development of individual grievance mechanisms is critical, particularly Dominica, as it is the only pilot country with an indigenous community, i.e. the Kalinago Territory (KT). One sub-project under Dominica's Output 2 also focuses on the Kalinago Territory. Dominica will therefore need to establish its own grievance mechanism, as the AE did not develop that capacity as part of its accreditation. The DOE ATG is engaging with Dominica to develop options to move forward with this sub-project. This will be finalized in the next reporting period. During this reporting period, the DOE-ATG also provided the MOE-DOM with its grievance/complaints procedures to be used as templates to develop MOE-DOM's own policies and manuals based on Dominica's National standards.

Project stakeholders and beneficiaries are informed of GCF's Independent Redress Mechanism through several means such as inclusion in RFPs published under Output 2 as well as training workshops aimed towards stakeholders and awareness-building presentations provided to beneficiaries.

The DOE has an approved Complaints Mechanism for the purpose of addressing concerns submitted by the public or by entities working on projects, which is in line with GCF Independent Redress Mechanism requirements. Any persons negatively impacted by project activities can communicate their concerns through the several means:

The AE's Grievance Redress Mechanism (GRM) is hosted on the DOE ATG's website at <https://environment.gov.ag/contact> where there are direct links to three (3) Complaints Forms:

i.) External Complaints / Project Complaints at <https://app.smartsheet.com/b/form/cb1a124a74464029b91c9fbc05d024f2>

ii.) Whistleblower Complaints at <https://app.smartsheet.com/b/form/54c535bc963544ebb2ddaa2e1ce18958>

iii.) Environment Complaints (Biodiversity and Protected Areas Unit) at <https://app.smartsheet.com/b/form/0b30e9d9a985438f88d3595978ec5475>

Complaints can be submitted to the following persons at:

Department of Environment

#1 Victoria Park Botanical Gardens

Factory Road, St. John's, Antigua, W.I.

Tel: +1268 462 4625

OR

Emailed to antiguaenvironmentdivision@gmail.com or doe@ab.gov.ag

An instructional video on the use of AE's GRM can be found at <https://www.youtube.com/watch?v=OTaAUiawPcA>

4.1.5 Include a description of the actions undertaken during the reporting period towards increasing the relevant stakeholders' engagement in the project environmental, social and gender elements.

The information in this subsection should be provided for all projects regardless of the E&S risk category for the project.

Implementation of the stakeholder engagement plan

Activities implemented during the reporting period

AE | ATG

Under Outputs 2, 3 and 4, the DOE-ATG held public awareness sessions on Environmental Management Systems, Sustainable Public Procurement, Grants Process and Revolving Loans Process.

Dates and venues of engagement activities

24th November 2023 at the DOE-ATG's Annual Arbour Day

Information shared with stakeholders

The EDA Project hosted a 3-hour workshop session in the Department of Environment (DOE) Conference Room on 24th November 2023 from 9:30am – 1:00pm. Each of the sessions was facilitated by local experts from the public and private sector. The overall objective of this deliverable was to provide introductory green entrepreneurship training for women, PWDs, and other vulnerable groups in the EDA Project's targeted communities in services related to the delivery of climate change information, adaptation, and resilience services.

Outputs including issues addressed during the reporting period

Seminar reports developed for each workshop session (see Section 5: Annexes and Attachments).

List of stakeholders involved in the engagement process

Private citizens were participants in the stakeholder consultations.

Please provide the list of CSOs, NGOs, or other platforms, initiatives, and organizations that were involved or continue to be engaged according to the approved funding proposal's Annex 7 – Summary of Consultations and Stakeholder Engagement Plan. Please also include the list of any other stakeholders involved in the stakeholder engagement process.

Activities implemented during the reporting period

EE| DOM

Under Output 3, an implementation launch, along with training of the successful applicants on project reporting and data collection was facilitated through the Service Provider, the GEF-SGP. The recipients were provided with information on the Output, GCF requirements and expectations, and guidance on reporting. SGP communicated the need for risk management approaches, lessons learned, visual evidence of progress, and signatories when reporting.

Under Output 4, the Ministry of Environment (MoE) also informed the public of the Output during its weekly radio program, information was also provided to the public via the Service Provider's radio program. Information was also provided to the public on Output 4 via the Minister's 2024-2025 Budget address.

Dates and venues of engagement activities

Output 4: Weekly radio interviews

Information shared with stakeholders

Output 4: Call for applications as well as information regarding eligible interventions.

Output 3: Output overview, GCF requirements and expectations, guidance on reporting, risk management approaches, lessons learned, visual evidence of progress, and signatories when reporting.

Outputs including issues addressed during the reporting period

List of stakeholders involved in the engagement process

Output 3: Six (6) Grant recipients

Please provide the list of CSOs, NGOs, or other platforms, initiatives, and organizations that were involved or continue to be engaged according to the approved funding proposal's Annex 7 – Summary of Consultations and Stakeholder Engagement Plan. Please also include the list of any other stakeholders involved in the stakeholder engagement process.

Activities implemented during the reporting period

EE| GRD

Under Output 4, outreach activities in rural communities are gaining momentum as evidenced by the increasing numbers of applications from those parishes. The team continued to engage directly with potential beneficiaries during the reporting period to assess their existing needs and provide relevant information. Further collaboration for the promotion of the Revolving Fund is being explored for implementation where feasible.

Dates and venues of engagement activities

Information shared with stakeholders

Overview of the RLP/Call for applications.

Outputs including issues addressed during the reporting period

Increases applications to the RLP.

List of stakeholders involved in the engagement process

EDA GRD Project team as well as the Grenada Development Bank.

Please provide the list of CSOs, NGOs, or other platforms, initiatives, and organizations that were involved or continue to be engaged according to the approved funding proposal's Annex 7 – Summary of Consultations and Stakeholder Engagement Plan. Please also include the list of any other stakeholders involved in the stakeholder engagement process.

Activities implemented during the reporting period
AE ATG Under Output 2, a five-day Green Entrepreneurship Training for Cashew Hill residents in Antigua.
Dates and venues of engagement activities
December 4th - 8th 2023 at the GARD Center, Antigua.
Information shared with stakeholders
Introduction and overview of Entrepreneurship (general) -Overview of Green-preneurship/Green Initiatives -Highlight of green initiatives and their contributions to an economy. -Exploring environmental awareness and its role in entrepreneurship -Environmental Impact Assessment (phases and stages) Overview of day 1 activities -Exploring project management as entrepreneurs -Exploring project management as entrepreneurs -Exploring the procurement process as entrepreneurs and implementation to the venture Business construction (creating the business plan)(whole day affair) Monitoring and evaluating the venture as entrepreneurs.(whole day affair) Presentation of business venture plans
Outputs including issues addressed during the reporting period
Training seminar report produced (see Section 5: Annexes and Attachments).
List of stakeholders involved in the engagement process
Private citizens were participants in the stakeholder consultations.
Please provide the list of CSOs, NGOs, or other platforms, initiatives, and organizations that were involved or continue to be engaged according to the approved funding proposal's Annex 7 – Summary of Consultations and Stakeholder Engagement Plan. Please also include the list of any other stakeholders involved in the stakeholder engagement process.

4.1.6 Implementation of the grievance redress mechanism - list on the grievances received in the reporting period with the description of the grievance, the date the grievance was received, and the resolution of the grievance.

Description of issues/complaints received during the reporting period	Date of receipt
During this period, no formal complaints and/or grievances were received via the DOE ATG complaints portal.	<input style="width: 100%; height: 20px;" type="text"/> Please provide information on any monitoring and follow-up activities.
Please specify to which grievance mechanism the complaint was filed (AE grievance mechanism or project-level grievance mechanism, If known, please explain relationship of the complainant to the project (for example: community member, contractor, business-owner, etc.)	
Description of resolution	Status of addressing issues/complaints
The DOE ATG will be hosting a new complaint seminar with the EEs. The DOE ATG must note, however, that the project is within its 6th year of implementation, with funds for the project to support the EEs in decline, as the AE did not anticipate an extension in years 6 and 7.	There are no complaints at this time.
Based on GRM's own policies, provide information related to phase of complaint (for example: including but not limited to Open, Open: Under investigation, Open: Problem Solving/Compliance Review, Closed)	
If you wish to share more details on the nature of grievances reported above, please download the Grievance Tracker template below and submit it as an attachment here.	
In case you want to keep the file confidential, please choose your preference accordingly in Section 1.1.	
Please click the link below to download the grievance tracker template for Section 4.1.6.	
Grievance tracker template	

Description of issues/complaints received during the reporting period	Date of receipt
<p>Update: As reporting in APR CY2022, a verbal complaint was received regarding damage inflicted to a septic tank on one of the properties along the waterway. Damage claimed to have been caused by the heavy duty equipment belonging the EDA project.</p>	<p>2022-09-20</p> <p>Please provide information on any monitoring and follow-up activities.</p>
<p>Please specify to which grievance mechanism the complaint was filed (AE grievance mechanism or project-level grievance mechanism, If known, please explain relationship of the complainant to the project (for example: community member, contractor, business-owner, etc.)</p>	
Description of resolution	Status of addressing issues/complaints
<p>DOE ATG determined that the damages had in fact occurred but noted that the septic tank which was damaged was not built to code.</p> <p>DOE ATG provided the property owner with an offer to reconstruct the structure to code, through the use of an EDA contractor. However, the owner refused the offer. In lieu of the EDA contractor, the property owner suggested the use of a relative to conduct the rebuild. DOE ATG deemed this as a conflict of interest, particularly as the structure was not to code. The property owner reaffirmed the rejection of the original offer.</p> <p>All documents were submitted to DOE ATG's legal advisor to address any potential legal action.</p>	<p>At this time, no further updates have been provided.</p>
<p>Based on GRM's own policies, provide information related to phase of complaint (for example: including but not limited to Open, Open: Under investigation, Open: Problem Solving/Compliance Review, Closed)</p>	
<p>If you wish to share more details on the nature of grievances reported above, please download the Grievance Tracker template below and submit it as an attachment here.</p>	
<p>In case you want to keep the file confidential, please choose your preference accordingly in Section 1.1.</p>	
<p>Please click the link below to download the grievance tracker template for Section 4.1.6.</p>	
<p>Grievance tracker template</p>	

4.2 Gender Action Plan

At the time of submission of this report, the Gender Action Plan report was not yet completed by the EE. The AE is requesting additional time to obtain the information and further update this report.

Provide a progress report on the gender action plan developed during project preparation stage for the reporting period. This will primarily be a report on activities undertaken and results achieved as a result of completion of an activity. Further it should also indicate if the project is on track to achieving the intended outcome(s). The reporting should be done for activities, targets and indicators already set in the action plan including on vulnerable groups (youth, poor, female heads of households, etc.) as would have been identified in the gender analysis and action plan. If activities or targets are not achieved as per plan, reasons should be provided, and recourse action should be proposed. Please include a reporting on any changes or deviations. Include a Report on implementation challenges and lessons learnt and how these will inform on-going actions and what action will be taken by when to address the challenges faced. Incorporate both quantitative data and qualitative report of the performance of such actions, and on progress on actions identified.

4.2.1 Progress on implementing the project-level gender action plan submitted with the funding proposal

Activity / Action	
Train women and vulnerable groups to identify, prioritize, implement, monitor and evaluate adaptation strategies and measures	
Indicator	
% female of people trained in each pilot country. Effective participation in pilot climate change scenarios. Completed questionnaires before and after to evaluate learning. Creation of social media intervention and hashtag to share information learned with wider community.	
Baseline	Target, including sex-disaggregation
0%	
Budget	Currency
30 000	USD
Report on annual progress	
There was limited progress with this activity during this reporting period. It should be noted however that the majority of the staff working in this area in all three project countries are female, although no specific training as carried out. During this reporting period, the AE was undergoing a staff transition. We have since brought on an ESS & Gender Consultant to assist with completing all outstanding activities of the Project.	

Activity / Action	
Inform and train women, PWDs, indigenous people, other vulnerable groups how to access and utilize the complaints mechanism and empower them as "allies" within their communities to share this knowledge with others who are likely to benefit	
Indicator	
Number of complaints received through the complaints mechanism Number of 'ally' interventions or awareness sessions conducted by women Social media campaign made by women for women	
Baseline	Target, including sex-disaggregation
0%	90% resolved
Budget	Currency
20.000	USD
Report on annual progress	
No grievances were received from stakeholders during this reporting period. No 'ally' interventions or awareness sessions conducted by women. As of 2023, no complaints were received through the complaints mechanism. There was some reshuffling of the staff managing the AE's Grievance Mechanism in 2023, and the planned training originally for 2023 has been postponed to the next reporting period. Both Grenada and Dominica are currently using the AE's Grievance/Complaints Mechanism No social media campaign designed by women for women has been completed. As indicated previously, the AE underwent some staff transition during this reporting period and has since employed an ESS & Gender Consultant to assist with completing these activities.	

Activity / Action
Nominate 1 woman and 1 Person With Disability (PWD) / vulnerable group from an NGO/CSO to the Steering Committee (oversight function)

Indicator	
At least one member of the Steering Committee represents the interests of vulnerable groups Steering Committee is gender balanced	
Baseline	Target, including sex-disaggregation
0	3
Budget	Currency
	Please select
Report on annual progress	
Men and women are part of the oversight committees in all three project countries. The inclusion of a PWD has not been completed.	

Activity / Action	
All Environmental Impact Assessments (“EIAs”) to include gender and social inclusion assessments and action plans	
Indicator	
% EIAs that include gender and social inclusion assessments and action plans	
Baseline	Target, including sex-disaggregation
0%	100%
Budget	Currency
300.000	USD
Report on annual progress	
All EIAs now include a social component. The ESIAMPs for Dominica and Grenada are currently undergoing the feedback process. The AE has contracted an ESS and Gender Consultant to assist with this activity.	

Activity / Action	
Provide entrepreneurship training for women, PWDs, and other vulnerable groups in services related to the delivery of climate change information, adaptation and resilience services, e.g. EIAs, construction, project management, procurement, M&E, etc.	
Indicator	
Number of women, PWDs, other vulnerable groups enrolled in continuous training in entrepreneurship as it relates to climate change adaptation and resilience services. Partnership with entrepreneurship agency and/or universities to facilitate training. Development and submission of business plans and assignment of mentors.	
Baseline	Target, including sex-disaggregation
TBD	20% Staff and owners of the businesses in the project area received training with 50% of trainees to be females.
Budget	Currency
50.000	USD
Report on annual progress	
No women, PWDs, other vulnerable groups enrolled in continuous training in entrepreneurship as it relates to climate change adaptation and resilience services. Community targets for capacity building activities that are gender inclusive are to be finalized by each project country. In Antigua, on the job training continues to be available for administrative staff. The DOE-ATG has also contracted community members to become entrepreneurs by maintaining the waterways using electric landscaping equipment.	

Activity / Action	
Sustainable procurement of goods and services to include a fixed percentage of women and PWDs in tenders to ensure that they benefit from economic opportunities created through the construction and agricultural sectors.	
Indicator	
Number of contracts awarded to women/ PWDs Clear percentage of women and PWDs indicated in procurement process	
Baseline	Target, including sex-disaggregation
TBD	1
Budget	Currency
30.000	USD
Report on annual progress	
<p>During the reporting period, the DOE continued to carry out supervision of PSIP Project for the oversight of construction under the project in Antigua. This team is women led. Hanka Construction was also hired to completed the foot-bridges at the Project site, a company which is owned by the sole female contractor in Antigua. The DOE is also continuing to ensure the equal contracting opportunities for women under the project in Antigua and Barbuda.</p> <p>Grenada: Part of the PSIP project has been contracted to Diane Roberts of Roberts Caribbean Ltd.</p> <p>Contracts for PSIP projects in Dominica have been awarded to line ministries comprising of both men and women on staff.</p>	

Activity / Action	
Nominate 1 woman and 1 PWD from a CSO/NGO to represent these key issues on the decision-making body for the public sector / on-granting committee/loans board	
Indicator	
# of women from CSO/NGO to represent in the decision-making body for the public sector	
Baseline	Target, including sex-disaggregation
0	3
Budget	Currency
	Please select
Report on annual progress	
<p>All National Steering Committees have over 50% female representation on the committees.</p> <p>While there are no CSOs or NGOs on the Steering Committees, in Antigua, its Technical Advisory Committee (provides technical advice to the PMC) and includes CSO/NGO representation which contribute to the prioritisation of gender in project decision-making. The project will be making greater efforts to ensure the prioritisation of gender and the representation of vulnerable groups.</p>	

Activity / Action
Train women, persons with disabilities and other vulnerable groups to ensure that community assets are more resilient to climate variability and change

Indicator	
Number of women, PWDs, CSOs and NGOs trained (%) in protecting public infrastructure assets, including community shelters Participation in resilience scenarios to protect public infrastructure assets Phased pitch competition for women and PWD- led CSOs/ NGOs to bolster community shelters against climate change Award of grants in three phases, with relevant monitoring and evaluation, for the top sixty (60) proposals Time from receipt of proposal to approval to first grant disbursement A media team films the process of bolstering public infrastructure assets and includes interviews with women and PWDs	
Baseline	Target, including sex-disaggregation
TBD	
Budget	Currency
30.000	USD
Report on annual progress	
The training conducted during the previous reporting period continues to be relevant to the Project. The Communication Strategy was finalized during the previous reporting period. Training activities commenced during the previous reporting period will continue until project completion.	

Activity / Action	
Launch media campaign to advertise this training and EDA Revolving Fund opportunities available	
Indicator	
Number of applicants received Media outreach (print, social media and television) 400 micro-finance loans awarded with relevant monitoring, evaluation and learning systems in place Time from receipt of application to approval to first loan disbursement Approval of loans in four phases, with relevant monitoring and evaluation, for the four hundred (400) proposals	
Baseline	Target, including sex-disaggregation
0	400 175
Budget	Currency
70.000	USD
Report on annual progress	
Media campaign for revolving loan programme in Antigua Barbuda, particularly for the Cashew Hill Community has been ongoing since September 2020 to inform homeowners and businesses on the programme. The project began receiving applications in 2021 and this continued in 2023. Media campaign was conducted utilizing the DOE ATG website, media interviews with the EDA team, stakeholder consultations at the DOE and handing out flyers in the targeted community. Grenada: Weekly outreach via radio and community walkabouts have continued during this reporting period. Dominica: The loan program was launched and weekly outreach is conducted via traditional media has commenced	

4.3 Planned activities on environmental and social safeguards for the next reporting period

The work plans for the NCE year is still being developed. The DOE ATG has hired an ESS consultant who will be compiling reports as well as data from the EEs in Grenada and Dominica. At the time of this report, the DOE ATG has an ESS and Gender officer retained on staff. However, the capacity of this officer is still being built to prepare accurate and verifiable reports. The DOE will provide capacity building to this officer through the newly retained ESS consultant.

Provide a list of activities in the ESMP to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products. Please include the monitoring schedule concerning ESS (including other potential vulnerable groups and indigenous people) for the next annual reporting period.

4.4 Planned activities on gender elements for the next reporting period

In Dominica and Grenada, activities under output 2 have not yet started, while output 3 is under implementation. Activities under output 4 in Dominica are expected to begin in the first quarter of 2024. Due to this, planned activities on gender which were scheduled for previous periods will be executed in 2024. This includes the following:

- Train women and vulnerable groups to identify, prioritize, implement, monitor and evaluate adaptation strategies and measures under output 2, 3 and 4
- Inform and train women, PWDs, indigenous people, other vulnerable groups how to access and utilize the complaints mechanism and empower them as "allies" within their communities to share this knowledge with others who are likely to benefit.
- Under Output 3, the provision of two training packages: (1) Shelter Management and First Aid and (2) Training on climate change impact and basic project management, for the provision of practical skillsets.
- Bulk Purchasing concept note draft to support sustainable procurement of goods and services utilizing OECS' sustainable procurement policy guidelines. Once adopted by the EDA countries' governments, to include a fixed percentage of women and PWDs in tenders to ensure that they benefit from economic opportunities created through the construction and agricultural sectors.
- Media campaign to advertise training and EDA Revolving Fund opportunities available.

In all three pilot countries, the implementation of the Gender Action Plan has been delayed over the life of the project. The following activities are planned GAP activities for the upcoming reporting year and are currently underway:

- Develop training materials and initiate formal training of trainers and certification that target women, persons with disabilities, indigenous peoples and socially vulnerable groups in Dominica and Grenada, to aid them in identifying, prioritizing, implementing, monitoring and evaluating adaptation measures. This will be conducted under Output 3.
- Nominate 1 woman and 1 PWD/vulnerable group from an NGO/CSO to the Steering Committee (oversight function) under Outputs 3 and 4
- Sustainable procurement of goods and services to include a fixed percentage of women and PWDs in tenders to ensure that they benefit from economic opportunities created through the construction and agricultural sectors under Outputs 2 and 3
- Revise the Stakeholder Engagement Strategy and work plan for the project.
- Under Output 2, provide entrepreneurship training for women, persons with disabilities, indigenous peoples and socially vulnerable groups in services related to the delivery of climate change information and adaptation services. This training will prepare them to participate in Environmental and Social Impact - Assessments (ESIAs), construction, project management and monitoring and evaluation.
- Continued reporting of the incremental progress made in Antigua and Barbuda's gender action plan for Output 2, and the implementation of such.

Provide a list of activities in the gender action plan to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products including processes that will be involved to implement the activities effectively. Please include the monitoring schedule concerning gender activities for the next annual reporting period. Report on actions taken on any of the recommendations made by the secretariat (if applicable) to improve the level of integration of gender issues in the project.

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 4 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 4 is complete and ready for submission.

APR CY2023 Section 5: Annexes and Attachments - v4 2024-10-29 21:19 +09:00

[APR CY2023] Section 5: Annexes and Attachments

Please note that this is section 5 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

Annex 1: Accredited Entity compliance reports

Self-assessment reports, Report on Actions pursuant to Clause 18.02, if applicable. Self-assessment reports: In accordance with the AMA requirement in Clause 13.01 of the Accreditation Master Agreement, with the Fiduciary Principles and Standards, ESS and Gender Policy. Report on Actions pursuant to Clause 18.02: Only applicable to International Accredited Entities. In accordance with the Monitoring and Accountability Framework, a report on its actions carried out or planned to be carried out pursuant to Clause 18.02 of the Accreditation Master Agreement.

Please provide comments on the annexes attached above if any.

Procurement information (on a voluntary basis)

Please download the procurement information template available in Excel below.

[Procurement information template](#)

Please attach the procurement information here.

Please provide information on goods and services procured under GCF-funded projects/programmes. The information is being collected to increase transparency of activities undertaken with GCF resources. This exercise does not imply or require any change in the procurement processes already agreed with the GCF and is purely for information purposes. Please provide information on cumulative procurement activities undertaken in each project/programme funded by the GCF.

Attachments

Please submit any attachments (if any).

[FP061-EDA ATG - ESMP Field Report 2023.pdf](#)

[FP061-EDA-ATG-Seminar Report_Environmental Management Systems-2023.pdf](#)

[FP061-EDA-ATG-Seminar Report_ESS and Gender Considerations-2023.pdf](#)

[FP061-EDA-ATG-Seminar Report_Sustainable Public Procurement Practices-2023.pdf](#)

[FP061-EDA ATG-Green Entrepreneurship Training 2023.pdf](#)

[FP061-EDA-ATG-Seminar Report_SIRFF Grants and Revolving Loans Process-2023.pdf](#)

[fp061-DRAFT-Proposed Budget for 2024-as at 2024-03-26.xlsx](#)

GCF Correspondence _ FP061 GCF-EDA - Disbursement 3 - Conditions Evidence .pdf

Revolving Loan Procedure and Investment Guide for GCF (V1).pdf

GCF FP061 Revolving Loan Programme Monthly Portfolio Statement - 1 Jun 2020 to 31 Dec 2023.PDF

GCF FP061 Revolving Loan Programme Monthly Portfolio Statement - 1 Jan 2023 to 31 Dec 2023.PDF

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 5 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 5 is complete and ready for submission.